

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

In re AMERICAN EXPRESS FINANCIAL
ADVISORS SECURITIES LITIGATION

Master File No. 04 Civ. 1773 (DAB)

**NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION,
MOTION FOR ATTORNEYS' FEES AND EXPENSES, AND
SETTLEMENT FAIRNESS HEARING**

Your legal rights are affected -- Please read this notice carefully.

To: All persons and entities who, at any time from and including March 10, 1999 through and including April 1, 2006, (a) paid a fee for financial advisory services as described in the American Express or Ameriprise Financial Advisory Services Brochure and the Financial Advisory Service Agreement; or (b) purchased through American Express Financial Advisors (now Ameriprise) any mutual fund in the American Express or Ameriprise Preferred Provider Program, Select Group Program, or other similar program (see list of funds in Schedule 1); or (c) purchased through American Express Financial Advisors any mutual fund sold under the American Express, AXP, or RiverSource brand (see list of funds in Schedule 2); or (d) paid a fee for financial advice, financial planning, or other financial advisory services rendered in connection with the American Express or Ameriprise Strategic Portfolio Service program, Wealth Management Service program, or Separately Managed Account program:

**If you meet the above class definition,
you could get a payment from a class action settlement.**

A federal court authorized this notice. This is not a solicitation from a lawyer.

- The settlement resolves class action litigation arising out of certain business practices of American Express Company, American Express Financial Corporation (now known as RiverSource Investments LLC), American Express Financial Advisors Inc. (now known as Ameriprise Financial Services, Inc.), and James M. Cracchiolo (“Defendants”). Plaintiffs are individuals who purchased financial plans or other financial advisory services, and/or invested money with Defendants.
- In their lawsuits, the investors complain that they were sold financial plans and/or advice that, instead of being tailored to their individual circumstances, contained standardized recommendations designed to steer them into investing in Defendants’ proprietary mutual funds and other proprietary investment products and certain non-proprietary “Preferred” or “Select” mutual funds.
- Plaintiffs allege that Defendants steered clients into the so-called Preferred or Select Funds (collectively, the “Preferred Funds”) to obtain the fees Defendants would receive (a) from directed brokerage, in which the investment managers of the Preferred Funds directed securities trading business to Defendants, and (b) from revenue sharing, whereby American Express received a portion of the fees that the investment managers of the Preferred Funds charged the Preferred Funds. Plaintiffs claim that the conflicts of interest inherent in Defendants’ financial plans and/or financial advisory services, and the compensation arrangements between Defendants and the Preferred Funds, were inadequately disclosed to investors. Defendants also steered investors into their own proprietary funds – called AXP Funds – to obtain revenue sharing fees paid by the AXP Funds’ investment advisor.

YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT:	
SUBMIT A CLAIM FORM	The only way to get a payment.
EXCLUDE YOURSELF	Get no payment. This is the only option that allows you to ever be part of any other lawsuit concerning the facts giving rise to the legal claims in this case.
COMMENT ON THE SETTLEMENT	Write to the Court about why you support or oppose the settlement.
GO TO A HEARING	Ask to speak in Court about the fairness of the settlement.
DO NOTHING	Get no payment. Release claims.

- To settle the litigation, Defendants will pay \$100 million into a settlement fund for the benefit of class members. Defendants will also pay all of the reasonable costs associated with notifying class members of the settlement and all reasonable costs associated with administering the settlement.
- The settlement also requires Defendants to take certain remedial measures relating to the business practices that gave rise to this litigation. These measures are set out in full as Schedule 3 to this Notice.
- These rights and options—**and the deadlines to exercise them**—are explained in this notice.
- The Court in charge of this case still has to decide whether to approve the settlement. Payments will be made if the Court approves the settlement and after any appeals are resolved.

SUMMARY NOTICE

Statement of Plaintiff Recovery

Under the terms of the proposed settlement, Defendants have established a cash settlement fund of \$100 million, which is accruing interest. Reasonable costs associated with giving notice to the class and administering the settlement will not be deducted from the settlement fund, but will instead be paid separately by Defendants. After deduction of any attorneys' fees and costs awarded by the Court, the parties will distribute the entire settlement fund, together with interest, to class members in accordance with the Plan of Allocation. The parties estimate that approximately 2,450,000 persons are eligible to claim a share of the settlement fund. If you purchased an AXP Fund your minimum recovery will be \$20. If you purchased a Preferred Fund your minimum recovery will be \$50, subject to certain contingencies described in Schedule 4. If you purchased an American Express financial plan or other financial advice, you may be entitled to additional settlement compensation. Your recovery will depend on the total number of claims actually submitted by class members, the value of those claims under the Plan of Allocation, and other considerations. Please see the response to Question 10 below and Schedule 4 for details on the calculation of your recovery.

Statement of Potential Outcome of Case

The parties disagree on both liability and damages and do not agree on the average amount of damages per class member that would be recoverable if Plaintiffs were to have prevailed at trial. Defendants deny that they are liable to Plaintiffs or the class and deny that Plaintiffs or the class members have suffered any damages.

Statement of Attorneys' Fees and Costs Sought

Plaintiffs' counsel will submit an application for an award of attorneys' fees and for reimbursement of expenses incurred in connection with the prosecution of this litigation from the settlement fund, in an amount not to exceed twenty seven percent (27%) of the settlement fund, and reimbursement of expenses not to exceed \$1 million, or approximately \$11 for each member of the class eligible to participate in the settlement. Plaintiffs' counsel have prosecuted this litigation on a contingent fee basis, and have advanced the expenses of the litigation, in the expectation that if they were successful in obtaining a recovery for the class they would be paid

from such recovery. In this type of litigation, counsel are often awarded a percentage of the common-fund recovery as their attorneys' fees.

Further Information

You may get further information about the litigation and this notice by calling 1-888-212-5605 toll-free.

Plaintiffs' counsel are Girard Gibbs LLP, 601 California Street, 14th Floor, San Francisco, California 94108; Milberg Weiss & Bershad LLP, One Pennsylvania Plaza, New York, New York 10119-0165; and Stull Stull & Brody, 6 East 45th Street, New York, New York 10017.

Reasons for the Settlement

Plaintiffs' counsel state the principal reason for the settlement is that it represents a favorable outcome for the class, arrived at after comprehensive investigation and analysis of the factual and legal issues surrounding class members' claims. Plaintiffs' counsel further state that the proposed settlement is in the best interests of the class as a whole given Defendants' willingness to settle now for a cash payment of \$100 million, payment of notice and settlement administration expenses and adoption of remedial measures to address the alleged problems giving rise to the lawsuit, balanced against the risks presented by the unresolved issues that might have been decided in Defendants' favor, the expense and delay of continued litigation, the risks of taking the case to trial, and the risks and delay presented by an appeal in the event of a favorable outcome at trial.

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BASIC INFORMATION

1. Why did I get this notice package?

You or someone in your family may have purchased one of the mutual funds, financial plans, or fee-for-advice services at issue in this case.

The Court directed that this notice be sent to you because you have a right to know about a proposed settlement of a class action lawsuit that will resolve claims based on those mutual funds, financial plans, or fee-for-advice services, and about all of your options, before the Court decides whether to approve the settlement. If the Court approves the settlement, an administrator appointed by the Court will distribute the settlement money at Defendants’ expense.

This package explains the lawsuit, the settlement, your legal rights, what benefits are available, who is eligible for them, and how to get them.

The Court overseeing the case is the United States District Court for the Southern District of New York, and the case is known as In re American Express Financial Advisors Securities Litigation, Case No. 04 Civ. 1773 (DAB). This case has been assigned to United States District Judge Deborah A. Batts. The people who sued are called “Plaintiffs.” The people being sued, who are called “Defendants,” are American Express Company; American Express Financial Corporation (now known as RiverSource Investments LLC); American Express Financial Advisors Inc. (now known as Ameriprise Financial Services, Inc.); and James M. Cracchiolo, a corporate officer. Another set of entities, called the “Nominal Defendants,” are also parties to the settlement and consist of the mutual funds sold under the American Express® or AXP® brand (or its successor brand RiverSourceSM). The parties anticipate that this settlement will also resolve a related case known as Haritos v. American Express Financial Advisors, Inc., Case No. 02-2255 PHX-PGR, pending in the United States District Court for the District of Arizona (the “Arizona Case”). The Arizona Case asserts a claim similar to some of the claims asserted in this litigation.

2. What is this lawsuit about?

The following is a summary of Plaintiffs’ allegations.

Defendants (referred to collectively as “American Express”) claim to offer personalized and objective financial planning and advice to their clients. Instead, during the period covered by the lawsuit, American Express gave clients plans and/or advice that, Plaintiffs allege, was tainted by inadequately disclosed conflicts of interest. Specifically, clients who purchased financial advice, financial plans, or other financial advisory services were given investment recommendations that were improperly influenced by American Express’s financial interests rather than the individual needs of American Express clients. Certain non-proprietary mutual funds (the

*“Preferred Funds”) paid American Express to be included among the funds frequently recommended in American Express’s financial plans or advice. American Express was paid for selling Preferred Funds through (1) “directed brokerage,” whereby the **investment advisors to the Preferred Funds** directed a certain amount of securities trading business to American Express; and/or (2) “revenue sharing,” whereby American Express received a portion of the fees that the investment managers of the Preferred Funds charged their investors. American Express benefited from the management fees it charged investors in the AXP Funds. For a portion of the relevant time period, American Express also received revenue sharing payments in connection with the sale of AXP Funds. These arrangements were not sufficiently disclosed to clients.*

Based on these factual allegations, Plaintiffs assert claims against Defendants under Sections 12(a)(2) and 15 of the Securities Act of 1933; Section 10(b) of the Securities Exchange Act of 1934 and Securities and Exchange Commission Rules 10b-5(a)-(c) and 10b-10 promulgated thereunder; Section 20(a) of the Securities Exchange Act of 1934; the Investment Advisers Act of 1940, 15 U.S.C. §§ 80b-5, 80b-6; the Minnesota Uniform Deceptive Trade Practices Act, Minnesota Consumer Fraud Act, Minnesota False Advertisement Act, and Minnesota Unlawful Trade Practices Act; and for breach of fiduciary duty and unjust enrichment.

The following is a summary of Defendants’ defenses.

Defendants contend that their financial plans and advisory services are personalized, free of undisclosed conflicts of interest, and consistent with the financial planning standards endorsed by the Certified Financial Planner Board of Standards, Inc. Defendants adequately disclosed all potential conflicts of interest, and revenue sharing and directed brokerage arrangements that form the basis of Plaintiffs’ claims. In any event, Defendants’ business practices caused no damage or loss because such practices had no impact on the value of Defendants’ advice or the performance of Plaintiffs’ investments. Defendants have always contended, and continue to contend, that they offer quality financial plans, advisory services, and financial products to help clients achieve their financial goals.

3. Why is this a class action?

In a class action, one or more people called class representatives (in this case, Plaintiffs Leonard D. Caldwell, Carol M. Anderson, Donald G. Dobbs, Kathie Kerr, Susan M. Rangeley, and Patrick J. Wollmering) sue on behalf of people who have similar claims. All these people together constitute a plaintiff class. This is a class action because one court resolves all class-wide issues for all people who meet the class definition, except for those who exclude themselves from the class.

4. Why is there a settlement?

The Court did not decide in favor of or against Plaintiffs or Defendants. Instead, these parties agreed to a settlement. The settlement was reached through mediation after the parties had fully briefed a motion to dismiss the case but before the Court ruled on the motion. Through the settlement, the parties avoid the risks, delay, and cost of preparing for and going to trial, and the affected class members will get compensation. Plaintiffs and their attorneys think the settlement is in the best interests of the class as a whole. The plaintiffs’ attorneys in the Arizona Case have also reviewed and approved of the terms of this settlement.

WHO IS IN THE CLASS

To see if you will get money from this settlement, you first have to decide if you are a member of the class in this case.

5. How do I know if I am part of the class?

For purposes of the settlement, the Court has provisionally approved this definition of the class: All persons and entities who, at any time from and including March 10, 1999 through and including April 1, 2006 (“Class Period”), (a) paid a fee for financial advisory services as described in the American Express or Ameriprise Financial Advisory Services Brochure and the Financial Advisory Service Agreement; or (b) purchased through American Express Financial Advisors any mutual fund in the American Express or

Ameriprise Preferred Provider Program, Select Group Program, or other similar program (see list of funds in Schedule 1); or (c) purchased through American Express Financial Advisors any mutual fund sold under the American Express, AXP, or RiverSource brand (see list of funds in Schedule 2); or (d) paid a fee for financial advice, financial planning, or other financial advisory services rendered in connection with the American Express or Ameriprise Strategic Portfolio Service (“SPS”) program, Wealth Management Service (“WMS”) program, or Separately Managed Account (“SMA”) program.

6. Who is not included in the class?

Excluded from the class are Defendants; Nominal Defendants; members of Defendant James M. Cracchiolo’s immediate family; **persons who have been** employees or agents of any of the Defendants and Nominal Defendants (including financial advisors) **at any time during the Class Period**; any entity in which any Defendant or Nominal Defendant has or had a controlling interest; and the legal affiliates, representatives, heirs, controlling persons, successors, and predecessors in interest or assigns of any such excluded party.

Also excluded from the class are all persons and entities who timely and validly request exclusion from the class in accordance with the requirements described in this notice under question 13 below.

7. I am still not sure if I am included.

If you are still not sure whether you are included, you can ask for free help. You can call 1-888-212-5605 or visit www.FinancialFeeSettlement.com for more information.

THE SETTLEMENT BENEFITS: WHAT YOU GET

8. What does the settlement provide?

Defendants have paid \$100 million into an interest-bearing account. This amount plus interest makes up the settlement fund. After deducting Court-awarded attorneys’ fees and expenses and taxes on income earned by the fund, the balance of this fund will be allocated among all class members who send in a valid proof of claim (included with this Notice).

Defendants will also pay all reasonable costs of notifying class members of the settlement and all reasonable costs of settlement administration. These costs, which are expected to be substantial, will not be charged to the settlement fund.

In addition, Defendants will adopt and implement the remedial measures set out in full in Schedule 3. In summary, these remedial measures require that Defendants (a) provide free training to all financial advisors on mutual fund selection; (b) send a written reminder to all financial advisors and field managers that their investment recommendations must be based primarily on individual suitability considerations; (c) send a written reminder to all financial advisors and field managers that product sales contests must be sponsored by home office and must weigh all mutual fund families equally if mutual funds are to be included in any contest; (d) send a written reminder to advisors that all financial advisors’ recommendations of mutual funds to clients be accompanied by appropriate written disclosures explaining financial advisor compensation and any fees/expenses associated with the mutual funds being recommended; (e) prominently display on their website links to disclosures on mutual fund fees and expenses and advisor compensation; and (f) prominently display on their internal financial advisor website a link to enhanced information on client suitability and guidelines for product sales and disclosure of fees, expenses, and compensation. In accordance therewith, Ameriprise directs the Class members’ attention to the Investor’s Guide to Purchasing Mutual Funds on Ameriprise’s website located at www.ameriprise.com/amp/global/docs/200757.pdf.

HOW YOU GET A PAYMENT: SUBMITTING A PROOF OF CLAIM AND RELEASE FORM

9. How can I get a payment?

To qualify for payment, you must send in a proof of claim form. A proof of claim form is attached to this notice. Read the instructions carefully, fill out the form as directed, include all the documents the form asks for, sign it, and mail it postmarked no later than July 10, 2007. If you do not submit a proof of claim form, you will not receive a payment under the settlement.

10. How will my payment be determined?

Plaintiffs have proposed a Plan of Allocation for distributing settlement money among class members. At the fairness hearing, the Court will consider whether to approve this plan as well as the settlement. Under the settlement, the amount available for distribution will be the balance of the settlement fund remaining after deduction of Court-awarded attorneys' fees and litigation expenses ("Net Settlement Fund"). The Net Settlement Fund will be distributed among those class members who timely submit valid claims. The following description of the Plan of Allocation is qualified in its entirety by reference to the Plan of Allocation itself, attached as Schedule 4 to this notice.

Under Plaintiffs' proposed plan, claimants who bought AXP funds will be allocated payments of \$20 each and claimants who bought Preferred Funds will be allocated payments of \$50 each. If the total amount allocated to these categories exceeds 25 percent of the Net Settlement Fund, then the amount allocated to purchasers of Preferred Funds will be reduced so as to bring the total amount down to the 25-percent limit, and the individual allocation to those claimants will be reduced equally. If, however, the reduction would cause the payment to each Preferred Fund claimant to fall below \$30, then more money will be allocated to the Preferred Fund claimants, equal to (a) the amount necessary to bring each payment back up to \$30, or (b) 5 percent of the Net Settlement Fund, whichever is less.

The balance of the Net Settlement Fund remaining after these allocations will be divided among claimants who paid a fee for financial advisory services pursuant to a Financial Advisory Service Agreement; or paid a fee in connection with an account in the Strategic Portfolio Service program ("**SPS**"), Wealth Management Service program ("**WMS**"), or Separately Managed Account program ("**SMA**"), for services that included financial advice, financial planning, or other financial advisory services. Generally speaking, these claimants will be allocated payments in proportion to the dollar amounts of the financial advisory service fees or **WMS, SMA or SPS** fees they paid. The amount of the payment will depend on how many other class members file claims, when the fees in question were paid, whether the claimant also purchased an AXP proprietary product during the Class Period (such as an AXP mutual fund, annuity, or life insurance policy), and other factors.

The distribution to a claimant who falls into more than one of the four categories will be the total of the amounts allocated for each applicable category. Payment will be by check.

11. When will I get my payment?

The Court will hold a hearing on June 4, 2007 at 10:00 a.m., to decide whether to approve the settlement. Under the terms of the settlement, the money will not be distributed until there is no possibility of appeal. If there are no appeals, the parties will seek to distribute your share of the settlement fund within six months of receipt by the claims administrator of claims data to be provided by Defendants. An appeal by a class member would likely delay your payment.

12. What am I giving up to get a payment or stay in the class?

Unless you exclude yourself, you will continue to be a member of the class, and that means that if the settlement is approved, you will release all "Released Claims" against the "Released Persons," and you will be prohibited from bringing or participating in any other cases concerning the "Released Claims" against the "Released Persons."

“Released Claims” means any and all claims, debts, demands, rights or causes of action or liabilities whatsoever (including, but not limited to, any claims for damages, interest, attorneys’ fees, expert or consulting fees, specific performance, injunction, and any other fees, costs, expenses, liabilities, and/or remedies whatsoever), whether based on federal, state, local, statutory or common law or any other law, rule or regulation, whether fixed or contingent, accrued or un-accrued, liquidated or un-liquidated, at law or in equity, matured or un-matured, whether class, individual or derivative in nature, whether or not asserted, threatened, alleged, or litigated, at law, equity or otherwise, including both known claims and Unknown Claims (as defined, below), that (i) have been asserted in this Action by the Plaintiffs or their attorneys or any of them against any of the Released Persons; or (ii) could have been asserted in any forum by the Plaintiffs or Class Members or their attorneys or any of them or the successors and assigns of any of them against any of the Released Persons; including claims that arise out of or are based upon (a) the allegations, transactions, facts, matters or occurrences, representations or omissions alleged, involved, set forth, or referred to in the Consolidated Amended Class Action Complaint filed in this Action on or about September 24, 2004, and the Complaint (as defined), (b) the offer and sale of financial advice, financial planning, and/or other financial advisory services pursuant to a Financial Advisory Service Agreement, or the SPS, WMS or SMA programs, (c) fees paid for financial advice, financial planning, and/or other financial advisory services provided pursuant to a Financial Advisory Service Agreement, or the SPS, WMS or SMA programs, (d) the rendering of financial advice, financial planning, and/or other financial advisory services for a fee in connection with the purchase or sale of AXP Funds (as defined) or other proprietary investment products, (e) the rendering of financial advice, financial planning, and/or other financial advisory services for a fee in connection with the purchase or sale of Preferred Funds (as defined), (f) the purchase or sale of AXP Funds and/or Preferred Funds through AEFA by Class Members, or (g) the receipt or payment of revenue sharing and/or directed brokerage in connection with the purchase or sale of AXP Funds or Preferred Funds. “Released Claims” shall not include suitability claims unless such claims are alleged to arise out of the common course of conduct that was alleged, or could have been alleged, in the Action, as more fully described herein. “Released Claims” shall not include derivative claims by shareholders of the AXP Funds, on behalf of those funds, against the Defendants, including the action styled, *Gallus v. American Express Financial Corporation and AEFA*, Case No. 04-4498 (DWF/JSM) (D. Minn.).

“Released Persons” means Defendants, Nominal Defendants, and all of their parent companies, affiliates, subsidiaries, divisions, successors-in-interest, successors, predecessors-in-interest, predecessors, and assigns, as well as all agents, employees, financial advisors, affiliated independent contractors, managers, officers, directors, attorneys, and other persons representing them or acting on their behalf during the Class Period.

The release will prevent you from suing Defendants over claims that arise from or are based on the offer and sale of financial planning services or financial advice provided to you by Defendants, including claims to recover the fees you paid for financial advisory services or advice and claims that you were “steered” toward particular investments that were more profitable for American Express. The release will also prevent you from suing on claims that arise from or are based on your purchases through Defendants of any of the American Express mutual funds listed in Schedule 1 and any of the “Preferred Program Funds” listed in Schedule 2. The release applies to the period between March 10, 1999 and April 1, 2006. If you think you have a claim against Defendants, you should contact one of Plaintiffs’ Co-Lead Counsel (at no expense) or another attorney (at your own expense) for assistance.

If you remain a class member, all of the Court’s orders will apply to you and legally bind you.

EXCLUDING YOURSELF FROM THE SETTLEMENT

If you do not want a payment from this settlement, but you want to retain any right to sue or continue to assert any of the Released Claims on your own against any Defendant or other Released Person, then you must take steps to get out of the class. This is called excluding yourself from the class, and is sometimes referred to as “opting out” of the class.

13. How do I get out of the class and settlement?

To exclude yourself from the class and settlement, you must send a written request by mail that clearly states your name, address, and telephone number; states that you request to be excluded from the class and settlement in the American Express Financial Advisors Securities Litigation; and is signed by you. You must mail your exclusion request, postmarked no later than May 7, 2007, to:

AEFA Securities Litigation Settlement
c/o The Garden City Group, Inc.
PO Box 9089
Dublin, OH 43017-0989

You cannot exclude yourself by phone or e-mail. If you exclude yourself from the class, you will not be entitled to any payment under the settlement, and you will have no right to comment in support of, or in opposition to, the settlement. If you exclude yourself from the class, you will not be legally bound by anything that happens in this lawsuit, and you may be able to sue (or continue to sue) Defendants and other Released Persons in the future.

14. If I do not exclude myself (that is, if I stay in the class), can I bring a lawsuit for the same thing later?

No. Unless you exclude yourself, you give up any rights to bring a lawsuit asserting any of the Released Claims against any Defendant or other Released Person. If you have a pending lawsuit that you believe concerns the Released Claims or the same matters alleged in this case, speak to your lawyer in that lawsuit immediately. You will likely have to exclude yourself from this class and settlement to continue your own lawsuit. Remember, the exclusion deadline is May 7, 2007.

15. If I exclude myself, can I get money from this settlement?

No. You will, however, retain your right to bring a lawsuit, continue to pursue an existing lawsuit, or be part of a different lawsuit asserting a Released Claim against a Released Person. If you exclude yourself, do not send in a proof of claim form.

THE LAWYERS REPRESENTING YOU

16. Do I have a lawyer in this case?

Yes. The Court ordered that the following law firms will represent you and the other Class Members: Girard Gibbs LLP, 601 California Street, Suite 1400, San Francisco, California 94108; Milberg Weiss & Bershad LLP, One Pennsylvania Plaza, New York, New York 10119-0165; and Stull Stull & Brody, 6 East 45th Street, New York, New York 10017. These law firms are called Plaintiffs' Co-Lead Counsel. You can contact them toll-free at 1-888-212-5605. If you want to be represented by your own lawyer, you may hire one at your own expense.

17. How will the lawyers be paid?

Plaintiffs' Co-Lead Counsel will submit an application for an award of attorneys' fees and for reimbursement of expenses from the settlement fund in an amount not to exceed 27% the settlement fund, and reimbursement of expenses incurred in connection with the prosecution of this litigation not to exceed \$1 million, plus interest on such fees and expenses at the same rate as earned by the settlement fund. A portion of any attorneys' fees awarded to Plaintiffs' Co-Lead Counsel will be allocated to plaintiffs' counsel in the Arizona Case to compensate them for their services in that litigation, including substantial motion practice and discovery in support of their motion for class certification. Plaintiffs' Co-Lead Counsel will apply for authorization to pay, from the amount awarded by the Court for attorneys' fees and reimbursement of expenses, incentive awards of \$5,000 to each of the six class representatives in this action and each of the five plaintiffs in the Arizona Case.

Plaintiffs' Co-Lead Counsel, without further notice to the class, may subsequently apply to the Court for fees and expenses they incur in connection with any proceedings after the fairness hearing (described below).

COMMENTING ON THE SETTLEMENT

18. How can I comment in support of, or in opposition to, the settlement?

If you are a class member, you may comment in support of, or in opposition to, the settlement and/or the application for attorneys' fees and expenses. The Court will consider your views. To comment on the settlement, you must send a signed statement saying that you support or oppose the proposed settlement or the application for attorneys' fees in In re American Express Financial Advisors Securities Litigation, Master File No. 04 Civ. 1773 (DAB). **You must include your name, address, telephone number, and your signature; and state the reasons why you support or oppose the proposed settlement or the application for attorneys' fees. Mail your comments to each of the following addresses, postmarked no later than May 7, 2007:**

COURT	PLAINTIFFS' CO-LEAD COUNSEL	DEFENSE COUNSEL
In re American Express Financial Advisors Sec. Lit., Case No. 04 Civ. 1773 (DAB) Clerk of Court United States District Court for the Southern District of New York Daniel Patrick Moynihan United States Courthouse 500 Pearl Street, Room 2510 New York, NY 10007-1312	Girard Gibbs LLP Daniel C. Girard 601 California Street 14 th Floor San Francisco, CA 94108 Tel. (415) 981-4800	Wilmer Cutler Pickering Hale and Dorr LLP Peter K. Vigeland 399 Park Avenue New York, NY 10022 Tel. (212) 230-8800

19. What is the difference between commenting on the settlement and excluding myself?

Commenting is simply telling the Court that you support or oppose the settlement. You may comment upon the settlement only if you stay in the class. Excluding yourself is telling the Court that you do not want to be part of the class and the settlement at all.

THE COURT'S FAIRNESS HEARING

The Court will hold a hearing to decide whether to approve the settlement. You may come to the hearing and you may ask to speak, but you do not have to.

20. When and where will the Court decide whether to approve the settlement?

The Court will hold a fairness hearing on June 4, 2007, at 10:00 a.m., at the United States District Court for the Southern District of New York, Daniel Patrick Moynihan United States Courthouse, 500 Pearl Street, New York, New York, in Courtroom 24B. At this hearing, the Court will consider whether the settlement is fair, reasonable, and adequate. If written comments in support or in opposition to the settlement have been submitted, the Court will consider them. The Court will listen to people who have made a timely request to speak at the hearing. The Court also may decide how much to award Plaintiffs' Co-Lead Counsel for attorneys' fees and reimbursement of expenses, and whether and in what amount incentive awards should be given to the lead plaintiffs. After the hearing, the Court will decide whether to approve the settlement.

21. Do I have to come to the Fairness Hearing?

No. Plaintiffs' Co-Lead Counsel will answer questions the Court may have. But you may attend at your own expense. If you send written comments in support of or in opposition to the settlement, you do not have to come to Court to talk about them. As long as you mailed your written comments on time, the Court will consider them. You also may pay your own lawyer to attend, but it is not necessary.

22. May I speak at the fairness hearing?

You may ask the Court for permission to speak at the fairness hearing. You may appear at the fairness hearing in person or through an attorney who is retained and paid by you. To ask the Court's permission to appear at the fairness hearing, you must include with your written comments, described under question 18 above, a statement that you intend to appear at the fairness hearing in In re American Express Financial Advisors Securities Litigation. If you intend to have any witnesses testify or intend to introduce any evidence at the fairness hearing, you must list the witnesses and evidence in your written comments. Be sure to comply with all of the other listed requirements for submitting written comments, including the postmark deadline of May 7, 2007. You cannot speak at the hearing if you exclude yourself.

IF YOU DO NOTHING

23. What happens if I do nothing at all?

If you do nothing, you will get no money from this settlement. Unless you exclude yourself, you will not be able to bring a lawsuit, continue to pursue an existing lawsuit asserting any Released Claim against any Released Person, or be part of a different lawsuit asserting any Released Claim against any Released Person.

GETTING MORE INFORMATION

24. How do I get more information?

This notice summarizes the proposed settlement. More details are in a Stipulation of Settlement dated January 18, 2007. You can get a copy of the Stipulation of Settlement by calling the claims administrator, The Garden City Group, Inc., toll-free at 1-888-212-5605 or by visiting the website of the claims administrator, The Garden City Group, Inc., at www.FinancialFeeSettlement.com. At the website, you will also find other information to help you determine whether you are a class member and whether you are eligible for a payment. You can also call the claims administrator at the above number with questions about submitting a proof of claim. Any other questions should be directed to Plaintiffs' Co-Lead Counsel identified under question 16 above. Please do not call the Court.

Date: February 15, 2007.

BY ORDER OF THE COURT
DEBORAH A. BATTIS
UNITED STATES DISTRICT JUDGE

PROPRIETARY FUNDS

IBLUX	AXP BLUE CHIP ADVANTAGE FUND - CLASS A	ALEAX	AXP LARGE CAP EQUITY A	IPRBX	AXP PROGRESSIVE FUND - CLASS B	RRCBX	RVS ABSOLUTE RETURN CURRENCY & INCOME FD CL B	IDEBX	RVS DIVERSIFIED EQUITY INCOME FUND - CLASS B
IDBCX	AXP BLUE CHIP ADVANTAGE FUND - CLASS B	ALEBX	AXP LARGE CAP EQUITY B	IPRCX	AXP PROGRESSIVE FUND - CLASS C	RRCCX	RVS ABSOLUTE RETURN CURRENCY & INCOME FD CL C	ADECX	RVS DIVERSIFIED EQUITY INCOME FUND - CLASS C
AXACX	AXP BLUE CHIP ADVANTAGE FUND - CLASS C	ARQCX	AXP LARGE CAP EQUITY C	IPRYX	AXP PROGRESSIVE FUND - CLASS Y	RRCIX	RVS ABSOLUTE RETURN CURRENCY & INCOME FD CL I	ADIIX	RVS DIVERSIFIED EQUITY INCOME FUND - CLASS I
IBCXY	AXP BLUE CHIP ADVANTAGE FUND - CLASS Y	ALYZC	AXP LARGE CAP EQUITY Y	IRDAX	AXP RESEARCH OPPORTUNITIES FUND - CLASS A	RRCYX	RVS ABSOLUTE RETURN CURRENCY & INCOME FD CL Y	IDQYX	RVS DIVERSIFIED EQUITY INCOME FUND - CLASS Y
ACBAX	AXP CORE BOND A	IMRFX	AXP MANAGED ALLOCATION A	IROBX	AXP RESEARCH OPPORTUNITIES FUND - CLASS B	ASGFX	RVS AGGRESSIVE GROWTH FUND CL A	INUTX	RVS DIVIDEND OPPORTUNITY FUND - CLASS A
ICBBX	AXP CORE BOND B	IMRCX	AXP MANAGED ALLOCATION B	IROCX	AXP RESEARCH OPPORTUNITIES FUND - CLASS C	ARGBX	RVS AGGRESSIVE GROWTH FUND CL B	IUTBX	RVS DIVIDEND OPPORTUNITY FUND - CLASS B
ICBCX	AXP CORE BOND C	IDRYX	AXP MANAGED ALLOCATION Y	ARO1Z	AXP RESEARCH OPPORTUNITIES FUND - CLASS Y	APCGX	RVS AGGRESSIVE GROWTH FUND CL C	ACUIX	RVS DIVIDEND OPPORTUNITY FUND - CLASS C
ICBYX	AXP CORE BOND Y	AMIDX	AXP MID CAP INDEX FUND - CLASS D	ADIDX	AXP S&P 500 INDEX D	APAIX	RVS AGGRESSIVE GROWTH FUND CL I	RSOIX	RVS DIVIDEND OPPORTUNITY FUND - CLASS I
INDYX	AXP DISCOVERY A	AXMCC	AXP MID CAP INDEX FUND - CLASS E	ADIEX	AXP S&P 500 INDEX E	APYGX	RVS AGGRESSIVE GROWTH FUND CL Y	AIUYX	RVS DIVIDEND OPPORTUNITY FUND - CLASS Y
IDIBX	AXP DISCOVERY B	AMVAX	AXP MID CAP VALUE A	INSEX	AXP SELECTIVE A	INMUX	RVS BALANCED FUND CL A	RMBAX	RVS EMERGING MARKETS BOND FUND - CLASS A
IDCYX	AXP DISCOVERY C	AMVBX	AXP MID CAP VALUE B	ISEBX	AXP SELECTIVE B	IDMBX	RVS BALANCED FUND CL B	RMBBX	RVS EMERGING MARKETS BOND FUND - CLASS B
IDVYX	AXP DISCOVERY Y	AMVCX	AXP MID CAP VALUE C	ASLCX	AXP SELECTIVE C	IDMCX	RVS BALANCED FUND CL C	RMBCX	RVS EMERGING MARKETS BOND FUND - CLASS C
INBNX	AXP DIVERSIFIED BOND A	AMYCZ	AXP MID CAP VALUE Y	IDEXY	AXP SELECTIVE Y	IDMYX	RVS BALANCED FUND CL Y	RSMIX	RVS EMERGING MARKETS BOND FUND - CLASS I
ININX	AXP DIVERSIFIED BOND B	INMUX	AXP MUTUAL A	IFINX	AXP SHORT DURATION US GOVERNMENT A	ICALX	RVS CALIFORNIA TAX-EXEMPT FUND - CLASS A	RMBYX	RVS EMERGING MARKETS BOND FUND - CLASS Y
AXBXC	AXP DIVERSIFIED BOND C	IDMBX	AXP MUTUAL B	ISHOX	AXP SHORT DURATION US GOVERNMENT B	ACABX	RVS CALIFORNIA TAX-EXEMPT FUND - CLASS B	IDEAX	RVS EMERGING MARKETS FUND CL A
IDBYX	AXP DIVERSIFIED BOND Y	IDMCX	AXP MUTUAL C	ISHOX	AXP SHORT DURATION US GOVERNMENT C	ICATX	RVS CALIFORNIA TAX-EXEMPT FUND - CLASS C	IEMBX	RVS EMERGING MARKETS FUND CL B
INDBX	AXP DIVERSIFIED EQUITY INCOME A	IDMYX	AXP MUTUAL Y	AXFCX	AXP SHORT DURATION US GOVERNMENT C	ICAYX	RVS CALIFORNIA TAX-EXEMPT FUND - CLASS Y	IEMCX	RVS EMERGING MARKETS FUND CL C
IDEBX	AXP DIVERSIFIED EQUITY INCOME B	INDDX	AXP NASDAQ 100 INDEX FUND - CLASS D	IDFYX	AXP SHORT DURATION US GOVERNMENT Y	ACBAX	RVS CORE BOND FUND - CLASS A	IEMIX	RVS EMERGING MARKETS FUND CL I
ADECX	AXP DIVERSIFIED EQUITY INCOME C	AXIEX	AXP NASDAQ 100 INDEX FUND - CLASS E	ASAAZ	AXP SMALL CAP ADVANTAGE A	ICBBX	RVS CORE BOND FUND - CLASS B	IEMYX	RVS EMERGING MARKETS FUND CL Y
IDQYX	AXP DIVERSIFIED EQUITY INCOME Y	INNDX	AXP NEW DIMENSIONS A	ASABX	AXP SMALL CAP ADVANTAGE B	ICBCX	RVS CORE BOND FUND - CLASS C	IEVAX	RVS EQUITY VALUE FUND - CLASS A
INUTX	AXP DIVIDEND OPPORTUNITY A	INDBX	AXP NEW DIMENSIONS B	ADVCC	AXP SMALL CAP ADVANTAGE C	ABDIX	RVS CORE BOND FUND - CLASS I	IEVAX	RVS EQUITY VALUE FUND - CLASS A
IUTBX	AXP DIVIDEND OPPORTUNITY B	ANDCX	AXP NEW DIMENSIONS C	AXSIZ	AXP SMALL CAP ADVANTAGE Y	ICBYX	RVS CORE BOND FUND - CLASS Y	IEVCX	RVS EQUITY VALUE FUND - CLASS C
ACUIX	AXP DIVIDEND OPPORTUNITY C	IDNYX	AXP NEW DIMENSIONS Y	ISIAZ	AXP SMALL COMPANY INDEX A	AQEAX	RVS DISCIPLINED EQUITY FUND CL A	IEVIC	RVS EQUITY VALUE FUND - CLASS C
AXXIZ	AXP DIVIDEND OPPORTUNITY Y	AFVAX	AXP PARTNERS FUNDAMENTAL VALUE A	ISIBX	AXP SMALL COMPANY INDEX B	AQLBX	RVS DISCIPLINED EQUITY FUND CL B	IEVIX	RVS EQUITY VALUE FUND - CLASS I
INVPX	AXP EQUITY SELECT A	AFVBC	AXP PARTNERS FUNDAMENTAL VALUE B	ISICX	AXP SMALL COMPANY INDEX Y	AQLCX	RVS DISCIPLINED EQUITY FUND CL C	AEVYX	RVS EQUITY VALUE FUND - CLASS Y
IDQBX	AXP EQUITY SELECT B	AFVCX	AXP PARTNERS FUNDAMENTAL VALUE C	INSTX	AXP STOCK A	ALEIX	RVS DISCIPLINED EQUITY FUND CL I	AXEAX	RVS EUROPEAN EQUITY FUND CL A
AESCX	AXP EQUITY SELECT C	AFVYX	AXP PARTNERS FUNDAMENTAL VALUE Y	IDSBX	AXP STOCK B	RQEYX	RVS DISCIPLINED EQUITY FUND CL Y	AEBX	RVS EUROPEAN EQUITY FUND CL B
IESYX	AXP EQUITY SELECT Y	AXPAX	AXP PARTNERS GROWTH A	INSCX	AXP STOCK C	RDIAZ	RVS DISCIPLINED INTERNATIONAL EQUITY FD CL A	AECX	RVS EUROPEAN EQUITY FUND CL C
IEVAX	AXP EQUITY VALUE A	AXRBX	AXP PARTNERS GROWTH B	ISDYX	AXP STOCK Y	RDIRX	RVS DISCIPLINED INTERNATIONAL EQUITY FD CL B	AXEIX	RVS EUROPEAN EQUITY FUND CL I
INEGX	AXP EQUITY VALUE B	AXRCX	AXP PARTNERS GROWTH C	ISAAZ	AXP STRATEGY AGGRESSIVE A	RDICX	RVS DISCIPLINED INTERNATIONAL EQUITY FD CL C	AEYX	RVS EUROPEAN EQUITY FUND CL Y
IEVCX	AXP EQUITY VALUE C	AXRYX	AXP PARTNERS GROWTH Y	INAGX	AXP STRATEGY AGGRESSIVE B	RDIIH	RVS DISCIPLINED INTERNATIONAL EQUITY FD CL I	RFRAF	RVS FLOATING RATE FUND-CLASS A
AEVYX	AXP EQUITY VALUE Y	AXGAX	AXP PARTNERS INTERNATIONAL AGGRESSIVE GROWTH A	ASACX	AXP STRATEGY AGGRESSIVE C	RDIYX	RVS DISCIPLINED INTERNATIONAL EQUITY FD CL Y	RFRBX	RVS FLOATING RATE FUND-CLASS B
AFAPX	AXP FOCUSED GROWTH FUND - CLASS A	APIBX	AXP PARTNERS INTERNATIONAL AGGRESSIVE GROWTH B	ASAYX	AXP STRATEGY AGGRESSIVE Y	RDEAX	RVS DISCIPLINED SMALL AND MID CAP EQUITY CL A	RFRFX	RVS FLOATING RATE FUND-CLASS I
AFTBX	AXP FOCUSED GROWTH FUND - CLASS B	APAGX	AXP PARTNERS INTERNATIONAL AGGRESSIVE GROWTH C	INTAX	AXP TAX EXEMPT BOND A	RSMBX	RVS DISCIPLINED SMALL AND MID CAP EQUITY CL B	RFRYX	RVS FLOATING RATE FUND-CLASS Y
AFCX	AXP FOCUSED GROWTH FUND - CLASS C	AIAYX	AXP PARTNERS INTERNATIONAL AGGRESSIVE GROWTH Y	ITEBX	AXP TAX EXEMPT BOND B	RSMCX	RVS DISCIPLINED SMALL AND MID CAP EQUITY CL C	AXPAX	RVS FUNDAMENTAL GROWTH FUND CL A
IFCIZ	AXP FOCUSED GROWTH FUND - CLASS Y	AAICX	AXP PARTNERS INTERNATIONAL CORE A	AXECX	AXP TAX EXEMPT BOND C	RDEIX	RVS DISCIPLINED SMALL AND MID CAP EQUITY CL I	AXRBC	RVS FUNDAMENTAL GROWTH FUND CL B
IGBFX	AXP GLOBAL BOND A	APCBX	AXP PARTNERS INTERNATIONAL CORE B	IDEAX	AXP THREADNEEDLE EMERGING MARKETS A	RSMYX	RVS DISCIPLINED SMALL AND MID CAP EQUITY CL Y	APVAX	RVS FUNDAMENTAL VALUE FUND CL A
IGLOX	AXP GLOBAL BOND B	AXCCX	AXP PARTNERS INTERNATIONAL CORE C	IEMBX	AXP THREADNEEDLE EMERGING MARKETS B	RDSAX	RVS DISCIPLINED SMALL CAP VALUE FUND CLASS A	AFVBC	RVS FUNDAMENTAL VALUE FUND CL B
AGBCX	AXP GLOBAL BOND C	AXCYX	AXP PARTNERS INTERNATIONAL CORE Y	IEMCX	AXP THREADNEEDLE EMERGING MARKETS C	RDSBX	RVS DISCIPLINED SMALL CAP VALUE FUND CLASS B	AFVCX	RVS FUNDAMENTAL VALUE FUND CL C
ABXIZ	AXP GLOBAL BOND Y	APIAX	AXP PARTNERS INTERNATIONAL SELECT VALUE A	AXECZ	AXP THREADNEEDLE EMERGING MARKETS Y	RDSCX	RVS DISCIPLINED SMALL CAP VALUE FUND CLASS C	AFVIX	RVS FUNDAMENTAL VALUE FUND CL I
AXIAX	AXP GLOBAL TECHNOLOGY A	AXIBX	AXP PARTNERS INTERNATIONAL SELECT VALUE B	AXEAX	AXP THREADNEEDLE EUROPEAN EQUITY A	RDSIX	RVS DISCIPLINED SMALL CAP VALUE FUND CLASS I	AFVYX	RVS FUNDAMENTAL VALUE FUND CL Y
INVBX	AXP GLOBAL TECHNOLOGY B	APICX	AXP PARTNERS INTERNATIONAL SELECT VALUE C	AEBEX	AXP THREADNEEDLE EUROPEAN EQUITY B	RDSYX	RVS DISCIPLINED SMALL CAP VALUE FUND CLASS Y	IGLOX	RVS GLOBAL BOND FUND - CLASS A
AXICX	AXP GLOBAL TECHNOLOGY C	AIVYX	AXP PARTNERS INTERNATIONAL SELECT VALUE Y	AEECX	AXP THREADNEEDLE EUROPEAN EQUITY C	INDYX	RVS DISCOVERY FUND - CLASS A	AGBCX	RVS GLOBAL BOND FUND - CLASS C
AXYIZ	AXP GLOBAL TECHNOLOGY Y	AXVAX	AXP PARTNERS SELECT VALUE A	AEEIZ	AXP THREADNEEDLE EUROPEAN EQUITY Y	IDIBX	RVS DISCOVERY FUND - CLASS B	AGBIX	RVS GLOBAL BOND FUND - CLASS I
INIDX	AXP GROWTH A	AXVBX	AXP PARTNERS SELECT VALUE B	IDGAX	AXP THREADNEEDLE GLOBAL BALANCED A	IDCYX	RVS DISCOVERY FUND - CLASS C	AYGBX	RVS GLOBAL BOND FUND - CLASS Y
IGRBX	AXP GROWTH B	AXVCX	AXP PARTNERS SELECT VALUE C	IGBBX	AXP THREADNEEDLE GLOBAL BALANCED B	IDVYX	RVS DISCOVERY FUND - CLASS Y	IGLGX	RVS GLOBAL EQUITY FUND CL A
AXGCX	AXP GROWTH C	AXSCX	AXP PARTNERS SELECT VALUE Y	IGBCX	AXP THREADNEEDLE GLOBAL BALANCED C	INBNX	RVS DIVERSIFIED BOND FUND - CLASS A	IDGBX	RVS GLOBAL EQUITY FUND CL B
AXDAX	AXP GROWTH DIMENSIONS FUND - CLASS A	AXSAX	AXP PARTNERS SMALL CAP CORE A	IGBYX	AXP THREADNEEDLE GLOBAL BALANCED Y	ININX	RVS DIVERSIFIED BOND FUND - CLASS B	ICDGX	RVS GLOBAL EQUITY FUND CL C
ABGDY	AXP GROWTH DIMENSIONS FUND - CLASS B	AXSBX	AXP PARTNERS SMALL CAP CORE B	IGLGX	AXP THREADNEEDLE GLOBAL EQUITY A	AXBXC	RVS DIVERSIFIED BOND FUND - CLASS C	IDGYX	RVS GLOBAL EQUITY FUND CL Y
AXGDY	AXP GROWTH DIMENSIONS FUND - CLASS C	AXSMX	AXP PARTNERS SMALL CAP CORE C	IDGBX	AXP THREADNEEDLE GLOBAL EQUITY B	RDBIX	RVS DIVERSIFIED BOND FUND - CLASS I	AXIAX	RVS GLOBAL TECHNOLOGY FUND - CLASS A
AXDIZ	AXP GROWTH DIMENSIONS FUND - CLASS Y	ASYZC	AXP PARTNERS SMALL CAP CORE Y	IDCGX	AXP THREADNEEDLE GLOBAL EQUITY C	IDBYX	RVS DIVERSIFIED BOND FUND - CLASS Y	INVBX	RVS GLOBAL TECHNOLOGY FUND - CLASS B
IGRYX	AXP GROWTH Y	ASXCX	AXP PARTNERS SMALL CAP GROWTH A	IDGYX	AXP THREADNEEDLE GLOBAL EQUITY Y	INDZX	RVS DIVERSIFIED EQUITY INCOME FUND - CLASS A	AXICX	RVS GLOBAL TECHNOLOGY FUND - CLASS C
INEAX	AXP HIGH YIELD BOND A	ASGBX	AXP PARTNERS SMALL CAP GROWTH B	INIFX	AXP THREADNEEDLE INTERNATIONAL A				
IEIBX	AXP HIGH YIELD BOND B	APRCX	AXP PARTNERS SMALL CAP GROWTH C	IWWGX	AXP THREADNEEDLE INTERNATIONAL B				
APECX	AXP HIGH YIELD BOND C	ISCCZ	AXP PARTNERS SMALL CAP GROWTH Y	IWWCX	AXP THREADNEEDLE INTERNATIONAL C				
AXNCZ	AXP HIGH YIELD BOND Y	ASVAX	AXP PARTNERS SMALL CAP VALUE A	IDIYX	AXP THREADNEEDLE INTERNATIONAL Y				
INHXY	AXP HIGH YIELD TAX EXEMPT A	ASVBX	AXP PARTNERS SMALL CAP VALUE B	ATSIZ	AXP TOTAL STOCK MARKET INDEX FUND - CLASS D				
IHYBX	AXP HIGH YIELD TAX EXEMPT B	APVCX	AXP PARTNERS SMALL CAP VALUE C	ATMCZ	AXP TOTAL STOCK MARKET INDEX FUND - CLASS E				
AHECX	AXP HIGH YIELD TAX EXEMPT C	APSYX	AXP PARTNERS SMALL CAP VALUE Y	AUGAX	AXP U.S. GOVT MORTGAGE A				
IIINSX	AXP INSURED TAX EXEMPT A	AVLAX	AXP PARTNERS VALUE A	AUGBX	AXP U.S. GOVT MORTGAGE B				
IIINX	AXP INSURED TAX EXEMPT B	AVFBX	AXP PARTNERS VALUE B	AUGCX	AXP U.S. GOVT MORTGAGE C				
IIICX	AXP INSURED TAX EXEMPT C	AVUCX	AXP PARTNERS VALUE C	AUMCZ	AXP U.S. GOVT MORTGAGE Y				
INFX	AXP INTERMEDIATE TAX EXEMPT A	APVYX	AXP PARTNERS VALUE Y	RRCAX	RVS ABSOLUTE RETURN CURRENCY & INCOME FD CL A				
INFBX	AXP INTERMEDIATE TAX EXEMPT B	INPRX	AXP PROGRESSIVE FUND - CLASS A						
INFXX	AXP INTERMEDIATE TAX EXEMPT C								
AXCCZ	AXP INTERNATIONAL EQUITY INDEX FUND - CLASS D								
AIIEEX	AXP INTERNATIONAL EQUITY INDEX FUND - CLASS E								

PROPRIETARY FUNDS

INVIX	RVS GLOBAL TECHNOLOGY FUND - CLASS I	AIGGX	RVS INTERNATIONAL AGGRESSIVE GROWTH FUND CL I	INVVP	RVS MID CAP GROWTH FUND CL A	IBMYX	RVS PORTFOLIO BUILDER MODERATE FUND - CL Y	ASXYX	RVS SMALL CAP EQUITY FUND CL Y
AXIYX	RVS GLOBAL TECHNOLOGY FUND - CLASS Y	AIAYX	RVS INTERNATIONAL AGGRESSIVE GROWTH FUND CL Y	IDQBX	RVS MID CAP GROWTH FUND CL B	AESCX	RVS MID CAP GROWTH FUND CL C	AXSCX	RVS SMALL CAP GROWTH FUND CL A
INIDX	RVS GROWTH FUND - CLASS A	AAICX	RVS INTERNATIONAL EQUITY FUND CL A	IESIX	RVS MID CAP GROWTH FUND CL I	AXTAX	RVS PORTFOLIO BUILDER TOTAL EQUITY FUND CL A	ASGBX	RVS SMALL CAP GROWTH FUND CL B
IGRBX	RVS GROWTH FUND - CLASS B	APCBX	RVS INTERNATIONAL EQUITY FUND CL B	IESYX	RVS MID CAP GROWTH FUND CL Y	AXTBX	RVS PORTFOLIO BUILDER TOTAL EQUITY FUND CL B	APRCX	RVS SMALL CAP GROWTH FUND CL C
AXGCX	RVS GROWTH FUND - CLASS C	AXCCX	RVS INTERNATIONAL EQUITY FUND CL C	AMVAX	RVS MID CAP VALUE FUND - CLASS A	ICBTX	RVS PORTFOLIO BUILDER TOTAL EQUITY FUND CL C	AICGX	RVS SMALL CAP GROWTH FUND CL I
AGWIX	RVS GROWTH FUND - CLASS I	AAILX	RVS INTERNATIONAL EQUITY FUND CL I	AMVBX	RVS MID CAP VALUE FUND - CLASS B	IYBTX	RVS PORTFOLIO BUILDER TOTAL EQUITY FUND CL Y	ASGYX	RVS SMALL CAP GROWTH FUND CL Y
IGRYX	RVS GROWTH FUND - CLASS Y	AXCYX	RVS INTERNATIONAL EQUITY FUND CL Y	AMVCX	RVS MID CAP VALUE FUND - CLASS C	INPMX	RVS PRECIOUS METALS FUND - CLASS A	ASVAX	RVS SMALL CAP VALUE FUND CL A
INEAX	RVS HIGH YIELD BOND FUND - CLASS A	INIFX	RVS INTERNATIONAL OPPORTUNITY FUND CLASS A	AIMVX	RVS MID CAP VALUE FUND - CLASS I	INPBX	RVS PRECIOUS METALS FUND - CLASS B	ASVBX	RVS SMALL CAP VALUE FUND CL B
IEIBX	RVS HIGH YIELD BOND FUND - CLASS B	IJWWGX	RVS INTERNATIONAL OPPORTUNITY FUND CLASS B	RMCVX	RVS MID CAP VALUE FUND - CLASS Y	ICNPX	RVS PRECIOUS METALS FUND - CLASS C	APVCX	RVS SMALL CAP VALUE FUND CL C
APECX	RVS HIGH YIELD BOND FUND - CLASS C	IJWCX	RVS INTERNATIONAL OPPORTUNITY FUND CLASS C	IMNTX	RVS MINNESOTA TAX-EXEMPT FUND - CLASS A	IPMIX	RVS PRECIOUS METALS FUND - CLASS I	APSIY	RVS SMALL CAP VALUE FUND CL I
RSHIX	RVS HIGH YIELD BOND FUND - CLASS I	IJWIX	RVS INTERNATIONAL OPPORTUNITY FUND CLASS I	IDSMX	RVS MINNESOTA TAX-EXEMPT FUND - CLASS B	INPYX	RVS PRECIOUS METALS FUND - CLASS Y	APSYX	RVS SMALL CAP VALUE FUND CL Y
IYHYX	RVS HIGH YIELD BOND FUND - CLASS Y	IDIYX	RVS INTERNATIONAL OPPORTUNITY FUND CLASS Y	ICMNX	RVS MINNESOTA TAX-EXEMPT FUND - CLASS C	ARLAX	RVS REAL ESTATE FUND - CLASS A	ISIAX	RVS SMALL COMPANY INDEX FUND - CLASS A
RBBAX	RVS INCOME BUILDER BASIC INCOME FUND CLASS A	APIAX	RVS INTERNATIONAL SELECT VALUE FUND CL A	IYMNX	RVS MINNESOTA TAX-EXEMPT FUND - CLASS Y	AESBX	RVS REAL ESTATE FUND - CLASS B	ISIBX	RVS SMALL COMPANY INDEX FUND - CLASS B
RBBBX	RVS INCOME BUILDER BASIC INCOME FUND CLASS B	AXIBX	RVS INTERNATIONAL SELECT VALUE FUND CL B	INNDX	RVS NEW DIMENSIONS FUND - CLASS A	ARLCX	RVS REAL ESTATE FUND - CLASS C	ISCYX	RVS SMALL COMPANY INDEX FUND - CLASS Y
RBBXC	RVS INCOME BUILDER BASIC INCOME FUND CLASS C	APICX	RVS INTERNATIONAL SELECT VALUE FUND CL C	INDBX	RVS NEW DIMENSIONS FUND - CLASS B	AESIX	RVS REAL ESTATE FUND - CLASS I	INSTX	RVS STOCK FUND - CLASS A
RBBYX	RVS INCOME BUILDER BASIC INCOME FUND CLASS Y	APRIX	RVS INTERNATIONAL SELECT VALUE FUND CL I	ANDCX	RVS NEW DIMENSIONS FUND - CLASS C	ARLYX	RVS REAL ESTATE FUND - CLASS Y	IDSBX	RVS STOCK FUND - CLASS B
RSBAX	RVS INCOME BUILDER ENHANCED INCOME FUND CL A	AIVYX	RVS INTERNATIONAL SELECT VALUE FUND CL Y	ANDIX	RVS NEW DIMENSIONS FUND - CLASS I	RPAAX	RVS RETIREMENT PLUS 2010 FUND-CLASS A	INSCX	RVS STOCK FUND - CLASS C
RSBAX	RVS INCOME BUILDER ENHANCED INCOME FUND CL A	AISCX	RVS INTERNATIONAL SMALL CAP FUND CL A	IDNYX	RVS NEW DIMENSIONS FUND - CLASS Y	RPAYX	RVS RETIREMENT PLUS 2010 FUND-CLASS Y	RSSIX	RVS STOCK FUND - CLASS I
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	APNBX	RVS INTERNATIONAL SMALL CAP FUND CL B	INYYX	RVS NEW YORK TAX-EXEMPT FUND - CLASS A	RPCAX	RVS RETIREMENT PLUS 2015 FUND-CLASS A	IDSYX	RVS STOCK FUND - CLASS Y
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ACPVX	RVS INTERNATIONAL SMALL CAP FUND CL C	INYYX	RVS NEW YORK TAX-EXEMPT FUND - CLASS Y	RPCYX	RVS RETIREMENT PLUS 2015 FUND-CLASS Y	IMRFX	RVS STRATEGIC ALLOCATION FUND CL A
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	APVIX	RVS INTERNATIONAL SMALL CAP FUND CL I	IOHIX	RVS OHIO TAX-EXEMPT FUND - CLASS A	RPDAX	RVS RETIREMENT PLUS 2020 FUND-CLASS A	IMRBX	RVS STRATEGIC ALLOCATION FUND CL B
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ACPYX	RVS INTERNATIONAL SMALL CAP FUND CL Y	IOHBC	RVS OHIO TAX-EXEMPT FUND - CLASS B	RPDYX	RVS RETIREMENT PLUS 2020 FUND-CLASS Y	RSSCX	RVS STRATEGIC ALLOCATION FUND CL C
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALEAX	RVS LARGE CAP EQUITY FUND - CLASS A	IOHYX	RVS OHIO TAX-EXEMPT FUND - CLASS Y	RPFAX	RVS RETIREMENT PLUS 2025 FUND-CLASS A	IDRYX	RVS STRATEGIC ALLOCATION FUND CL Y
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALEBX	RVS LARGE CAP EQUITY FUND - CLASS B	AUCAX	RVS PORTFOLIO BLDR MOD CONSERVATIVE FUND CL A	RPFYX	RVS RETIREMENT PLUS 2025 FUND-CLASS Y	ISAAX	RVS STRATEGY AGGRESSIVE FUND - CLASS A
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ARQCX	RVS LARGE CAP EQUITY FUND - CLASS C	AMDBX	RVS PORTFOLIO BLDR MOD CONSERVATIVE FUND CL B	RPFGX	RVS RETIREMENT PLUS 2030 FUND-CLASS A	INAGX	RVS STRATEGY AGGRESSIVE FUND - CLASS B
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	AIRQX	RVS LARGE CAP EQUITY FUND - CLASS I	ICMCX	RVS PORTFOLIO BLDR MOD CONSERVATIVE FUND CL C	RPGYX	RVS RETIREMENT PLUS 2030 FUND-CLASS Y	ASACX	RVS STRATEGY AGGRESSIVE FUND - CLASS C
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALYCX	RVS LARGE CAP EQUITY FUND - CLASS Y	AMDYX	RVS PORTFOLIO BLDR MOD CONSERVATIVE FUND CL Y	RPHAX	RVS RETIREMENT PLUS 2035 FUND-CLASS A	ISAIX	RVS STRATEGY AGGRESSIVE FUND - CLASS I
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALVAX	RVS LARGE CAP VALUE FUND - CLASS A	AXMAX	RVS PORTFOLIO BLDR MODERATE AGGRESSIVE FUND A	RPHYX	RVS RETIREMENT PLUS 2035 FUND-CLASS Y	ISAYX	RVS STRATEGY AGGRESSIVE FUND - CLASS Y
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALVBX	RVS LARGE CAP VALUE FUND - CLASS B	ABMBX	RVS PORTFOLIO BLDR MODERATE AGGRESSIVE FUND B	RPIAX	RVS RETIREMENT PLUS 2040 FUND-CLASS A	INTAX	RVS TAX-EXEMPT BOND FUND - CLASS A
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALECX	RVS LARGE CAP VALUE FUND - CLASS C	IMACX	RVS PORTFOLIO BLDR MODERATE AGGRESSIVE FUND C	RPIYX	RVS RETIREMENT PLUS 2040 FUND-CLASS Y	ITEBX	RVS TAX-EXEMPT BOND FUND - CLASS B
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALCIX	RVS LARGE CAP VALUE FUND - CLASS I	IMYAX	RVS PORTFOLIO BLDR MODERATE AGGRESSIVE FUND Y	RPIYX	RVS RETIREMENT PLUS 2040 FUND-CLASS Y	AXECC	RVS TAX-EXEMPT BOND FUND - CLASS C
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALVYX	RVS LARGE CAP VALUE FUND - CLASS Y	AXBAX	RVS PORTFOLIO BUILDER AGGRESSIVE FUND - CL A	RPIAX	RVS RETIREMENT PLUS 2045 FUND-CLASS A	AXEYX	RVS TAX-EXEMPT BOND FUND - CLASS Y
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALDAX	RVS LIMITED DURATION BOND FUND - CLASS A	AXPBX	RVS PORTFOLIO BUILDER AGGRESSIVE FUND - CL B	RPJYX	RVS RETIREMENT PLUS 2045 FUND-CLASS Y	INHYX	RVS TAX-EXEMPT HIGH INCOME FUND CL A
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALDBX	RVS LIMITED DURATION BOND FUND - CLASS B	RBGCX	RVS PORTFOLIO BUILDER AGGRESSIVE FUND - CL C	ADDIX	RVS S & P 500 INDEX FUND - CLASS D	IHYBX	RVS TAX-EXEMPT HIGH INCOME FUND CL B
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALTCX	RVS LIMITED DURATION BOND FUND - CLASS C	AXMIX	RVS PORTFOLIO BUILDER AGGRESSIVE FUND - CLASS I	ADIEX	RVS S & P 500 INDEX FUND - CLASS E	AHECX	RVS TAX-EXEMPT HIGH INCOME FUND CL C
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALDIX	RVS LIMITED DURATION BOND FUND - CLASS I	IBCCX	RVS PORTFOLIO BUILDER CONSERVATIVE FUND CL C	AXVAX	RVS SELECT VALUE FUND CL A	IHTYX	RVS TAX-EXEMPT HIGH INCOME FUND CL Y
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ALDYX	RVS LIMITED DURATION BOND FUND - CLASS Y	IBCBX	RVS PORTFOLIO BUILDER CONSERVATIVE FUND CL B	AXVBX	RVS SELECT VALUE FUND CL B	AUGAX	RVS U.S. GOVERNMENT MORTGAGE FUND - CLASS A
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	IDMAX	RVS MASSACHUSETTS TAX-EXEMPT FUND - CLASS A	IYBAX	RVS PORTFOLIO BUILDER AGGRESSIVE FUND - CL Y	AXVYX	RVS SELECT VALUE FUND CL Y	AUGBX	RVS U.S. GOVERNMENT MORTGAGE FUND - CLASS B
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	AXMBX	RVS MASSACHUSETTS TAX-EXEMPT FUND - CLASS B	ABDAX	RVS PORTFOLIO BUILDER CONSERVATIVE FUND CL A	INSEX	RVS SELECTIVE FUND - CLASS A	AUGCX	RVS U.S. GOVERNMENT MORTGAGE FUND - CLASS C
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	AXMCX	RVS MASSACHUSETTS TAX-EXEMPT FUND - CLASS C	ABBDX	RVS PORTFOLIO BUILDER CONSERVATIVE FUND CL B	ISEBX	RVS SELECTIVE FUND - CLASS B	AUGGX	RVS U.S. GOVERNMENT MORTGAGE FUND - CLASS G
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	IMAYX	RVS MASSACHUSETTS TAX-EXEMPT FUND - CLASS Y	IBCCX	RVS PORTFOLIO BUILDER CONSERVATIVE FUND CL C	ASLCC	RVS SELECTIVE FUND - CLASS C	AUGIX	RVS U.S. GOVERNMENT MORTGAGE FUND - CLASS I
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	INMIX	RVS MICHIGAN TAX-EXEMPT FUND - CLASS A	IYBCX	RVS PORTFOLIO BUILDER CONSERVATIVE FUND CL Y	ASTIX	RVS SELECTIVE FUND - CLASS I	RSGYX	RVS U.S. GOVERNMENT MORTGAGE FUND - CLASS Y
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	IBMIX	RVS MICHIGAN TAX-EXEMPT FUND - CLASS B	ABUAX	RVS PORTFOLIO BUILDER MODERATE FUND - CL A	IDEYX	RVS SELECTIVE FUND - CLASS Y	AVLAX	RVS VALUE FUND CL A
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	ICMIX	RVS MICHIGAN TAX-EXEMPT FUND - CLASS C	AURBX	RVS PORTFOLIO BUILDER MODERATE FUND - CL B	IFINX	RVS SHORT DURATION U.S. GOVERNMENT FUND CL A	AVFBX	RVS VALUE FUND CL B
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B	IMIYX	RVS MICHIGAN TAX-EXEMPT FUND - CLASS Y	IBMCX	RVS PORTFOLIO BUILDER MODERATE FUND - CL C	ISHOX	RVS SHORT DURATION U.S. GOVERNMENT FUND CL B	AVUCX	RVS VALUE FUND CL C
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					AXFCX	RVS SHORT DURATION U.S. GOVERNMENT FUND CL C	AUEIX	RVS VALUE FUND CL I
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					AXFIX	RVS SHORT DURATION U.S. GOVERNMENT FUND CL I	APVYX	RVS VALUE FUND CL Y
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					IDFYX	RVS SHORT DURATION U.S. GOVERNMENT FUND CL Y		
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					ASAAAX	RVS SMALL CAP ADVANTAGE FUND - CLASS A		
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					ASABX	RVS SMALL CAP ADVANTAGE FUND - CLASS B		
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					ADVCC	RVS SMALL CAP ADVANTAGE FUND - CLASS C		
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					ASAIX	RVS SMALL CAP ADVANTAGE FUND - CLASS I		
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					ASVYX	RVS SMALL CAP ADVANTAGE FUND - CLASS Y		
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					AXSAX	RVS SMALL CAP EQUITY FUND CL A		
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					AXSBX	RVS SMALL CAP EQUITY FUND CL B		
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					ASXCX	RVS SMALL CAP EQUITY FUND CL C		
RBBEX	RVS INCOME BUILDER ENHANCED INCOME FUND CL B					ASXIX	RVS SMALL CAP EQUITY FUND CL I		

NON-PROPRIETARY FUNDS

IAGHX	AIM ADV HEALTH SCIENCE - A	GEUBX*	AIM EUROLAND GROWTH - B	AHAYX*	AIM HIGH YIELD II - A	ANTBX*	AIM NEW TECHNOLOGY - B	BWEIX	AIM WEINGARTEN - B	AIDBX	ALL BERN INTRM DIV MUN - B
IGHBX	AIM ADV HEALTH SCIENCE - B	GEUCX*	AIM EUROLAND GROWTH - C	AHBYX*	AIM HIGH YIELD II - B	ANTCX*	AIM NEW TECHNOLOGY - C	CWEIX	AIM WEINGARTEN - C	AIMCX	ALL BERN INTRM DIV MUN - C
IAFAX*	AIM ADVISOR FLEX - A	AEDAX	AIM EUROPEAN GROWTH - A	AHCYX*	AIM HIGH YIELD II - C	ASCOX	AIM OPPORTUNITIES I - A	CHCBX*	ALL ALLIANCE - B	ANIAX	ALL BERN INTRM NY MUNI - A
IAFBX*	AIM ADVISOR FLEX - B	AEDBX	AIM EUROPEAN GROWTH - B	HYINX	AIM HIGH YIELD INV	SCPBX	AIM OPPORTUNITIES I - B	AALAX	ALL BERN ALL-ASIA INV - A	ANYBX	ALL BERN INTRM NY MUNI - B
IAFCX*	AIM ADVISOR FLEX - C	AEDCX	AIM EUROPEAN GROWTH - C	AMIFX	AIM INCOME - A	SCOCX	AIM OPPORTUNITIES I - C	AAABX	ALL BERN ALL-ASIA INV - B	ANMCX	ALL BERN INTRM NY MUNI - C
AAGFX	AIM AGGR GROWTH - A	AEDRX	AIM EUROPEAN GROWTH - R	ABIFX	AIM INCOME - B	AMCOX	AIM OPPORTUNITIES II - A	AAACX	ALL BERN ALL-ASIA INV - C	APMGX	ALL BERN L/CAP GROWTH - A
AAGBX	AIM AGGR GROWTH - B	EGINX	AIM EUROPEAN GROWTH INV	ACIFX	AIM INCOME - C	MCOVX	AIM OPPORTUNITIES II - B	ANAGX	ALL BERN AMER GOVT INC - A	APGBX	ALL BERN L/CAP GROWTH - B
AAGCX	AIM AGGR GROWTH - C	IFSAX	AIM FIN SERVICES - A	AIIVX	AIM INCOME INV	MCODX	AIM OPPORTUNITIES II - C	ANABX	ALL BERN AMER GOVT INC - B	APGCX	ALL BERN L/CAP GROWTH - C
ASIA	AIM ASIA PACIFIC GRW - A	IFSBX	AIM FIN SERVICES - B	AGOVX	AIM INTERM GOVT - A	LCPAX	AIM OPPORTUNITIES III - A	ANACX	ALL BERN AMER GOVT INC - C	APGYX	ALL BERN L/CAP GROWTH ADV
ASIBX	AIM ASIA PACIFIC GRW - B	IFSCX	AIM FIN SERVICES - C	AGVBX	AIM INTERM GOVT - B	LCPBX	AIM OPPORTUNITIES III - B	ABWAX	ALL BERN BAL WLTH STR - A	CHCLX	ALL BERN M/CAP GROWTH - A
ASICX	AIM ASIA PACIFIC GRW - C	FSFSX	AIM FIN SERVICES INV	AGVCX	AIM INTERM GOVT - C	LPCPX	AIM OPPORTUNITIES III - C	ABWBX	ALL BERN BAL WLTH STR - B	CHCBX	ALL BERN M/CAP GROWTH - B
AMBLX	AIM BALANCED - A	GIFAX*	AIM GLB INFRASTRUCT - A	AGIVX	AIM INTERM GOVT INV	AVLFX	AIM PREMIER EQUITY - A	ABWCX	ALL BERN BAL WLTH STR - C	CHCCX	ALL BERN M/CAP GROWTH - C
ABLX	AIM BALANCED - B	GIFBX*	AIM GLB INFRASTRUCT - B	IYVAX*	AIM INTL CORE EQUITY - A	AVLFX	AIM PREMIER EQUITY - B	CABNX	ALL BERN BALANCED - A	CABTX	ALL BERN M/INC INS NAT - A
ABLXC	AIM BALANCED - C	GIFCX*	AIM GLB INFRASTRUCT - C	IBVAX	AIM INTL CORE EQUITY - A	AVLXC	AIM PREMIER EQUITY - C	CABBX	ALL BERN BALANCED - B	CBBBX	ALL BERN M/INC INS NAT - B
AMBRX	AIM BALANCED - R	GTTCX*	AIM GLB SCIENCE & TECH - A	IYVBX*	AIM INTL CORE EQUITY - B	VIIAX*	AIM PREMIER EQUITY II - A	CBACX	ALL BERN BALANCED - C	CACCX	ALL BERN M/INC INS NAT - C
BBLAX	AIM BASIC BALANCED - A	GTTBX*	AIM GLB SCIENCE & TECH - B	IBVBX	AIM INTL CORE EQUITY - B	VII BX*	AIM PREMIER EQUITY II - B	CBFAX	ALL BERN CORP BOND - A	AMMSX	ALL BERN MULTI MKT STR - A
BBLBX	AIM BASIC BALANCED - B	GTDDX*	AIM GLB SCIENCE & TECH - C	IYVCX*	AIM INTL CORE EQUITY - C	VII CX*	AIM PREMIER EQUITY II - C	CBFBX	ALL BERN CORP BOND - B	AMMBX	ALL BERN MULTI MKT STR - B
BBLCX	AIM BASIC BALANCED - C	AUTLX*	AIM GLB UTILITIES - A	IBVCX	AIM INTL CORE EQUITY - C	IARAX*	AIM REAL ESTATE - A	CBFCX	ALL BERN CORP BOND - C	AMMCX	ALL BERN MULTI MKT STR - C
GTVLX	AIM BASIC VALUE - A	AUTBX*	AIM GLB UTILITIES - B	IIBCX	AIM INTL CORE EQUITY INV	IARAX	AIM REAL ESTATE - A	AGDAX	ALL BERN EMRG MKT DEBT - A	AAZAX	ALL BERN MUN INC II AZ - A
GTVBX	AIM BASIC VALUE - B	AUTCX*	AIM GLB UTILITIES - C	AIIEX	AIM INTL GROWTH - A	AARBX*	AIM REAL ESTATE - B	ADGAX	ALL BERN FOC GRW & INC - A	AAZBX	ALL BERN MUN INC II AZ - B
GTVCX	AIM BASIC VALUE - C	GPSAX*	AIM GLBL CONS PROD/SER - A	AIEBX	AIM INTL GROWTH - B	AARBX	AIM REAL ESTATE - B	ADGBX	ALL BERN FOC GRW & INC - B	AAZCX	ALL BERN MUN INC II AZ - C
ABCAX	AIM BLUE CHIP - A	GPSBX*	AIM GLBL CONS PROD/SER - B	AIECX	AIM INTL GROWTH - C	IARCX*	AIM REAL ESTATE - C	ADGCX	ALL BERN FOC GRW & INC - C	AFLAX	ALL BERN MUN INC II FL - A
ABCBX	AIM BLUE CHIP - B	GPSCX*	AIM GLBL CONS PROD/SER - C	IEGAX	AIM INTL SMALL COMPANY - A	IARCX	AIM REAL ESTATE - C	AHLAX	ALL BERN GLB HEALTH - A	AFLBX	ALL BERN MUN INC II FL - B
ABCCX	AIM BLUE CHIP - C	GFSAX*	AIM GLBL FIN SERVICES - A	IEGBX	AIM INTL SMALL COMPANY - B	REINX	AIM REAL ESTATE INV	AHLBX	ALL BERN GLB HEALTH - B	AFLBX	ALL BERN MUN INC II FL - B
BCIVX	AIM BLUE CHIP INV	GFSBX*	AIM GLBL FIN SERVICES - B	IEGCX	AIM INTL SMALL COMPANY - C	SMEAX	AIM S/CAP EQUITY - A	AHLCX	ALL BERN GLB HEALTH - C	AMAAX	ALL BERN MUN INC II MA - A
ACDAX	AIM CAPITAL DEVELOPMNT - A	GFSCX*	AIM GLBL FIN SERVICES - C	GJGRX*	AIM JAPAN GROWTH - A	SMEBX	AIM S/CAP EQUITY - B	AGSAX	ALL BERN GLB STRAT INC - A	AMABX	ALL BERN MUN INC II MA - B
ACDBX	AIM CAPITAL DEVELOPMNT - B	AGAAX	AIM GLOBAL AGGR GROWTH - A	GTJBX*	AIM JAPAN GROWTH - B	SMECX	AIM S/CAP EQUITY - C	AGSBX	ALL BERN GLB STRAT INC - B	AMACX	ALL BERN MUN INC II MA - C
ACDCX	AIM CAPITAL DEVELOPMNT - C	AGABX	AIM GLOBAL AGGR GROWTH - B	GTJCX*	AIM JAPAN GROWTH - C	GTSAX	AIM S/CAP GROWTH - A	AGCCX	ALL BERN GLB STRAT INC - C	AMIAX	ALL BERN MUN INC II MI - A
CHTRX	AIM CHARTER - A	AGACX	AIM GLOBAL AGGR GROWTH - C	LCBAX	AIM L/CAP BASIC VALUE - A	GTSBX	AIM S/CAP GROWTH - B	ALTFX	ALL BERN GLB TECH - A	AMIBX	ALL BERN MUN INC II MI - B
BCHTX	AIM CHARTER - B	GTNAX*	AIM GLOBAL ENERGY - A	LCBBX	AIM L/CAP BASIC VALUE - B	GTSDX	AIM S/CAP GROWTH - C	ATEBX	ALL BERN GLB TECH - B	AMICX	ALL BERN MUN INC II MI - C
CHTCX	AIM CHARTER - C	GTNBX*	AIM GLOBAL ENERGY - B	LCBCX	AIM L/CAP BASIC VALUE - C	AGWFX	AIM SELECT EQUITY - A	ATECX	ALL BERN GLB TECH - C	AMNAX	ALL BERN MUN INC II MN - A
CSTGX	AIM CONSTELLATION - A	GTNCX*	AIM GLOBAL ENERGY - C	LCINX	AIM L/CAP BASIC VALUE INV	AGWBX	AIM SELECT EQUITY - B	ATEYX	ALL BERN GLB TECH ADV	AMNBX	ALL BERN MUN INC II MN - B
CSTBX	AIM CONSTELLATION - B	GTNDX	AIM GLOBAL EQUITY - A	LCGAX	AIM L/CAP GROWTH - A	AGWCX	AIM SELECT EQUITY - C	GSCAX*	ALL BERN GLOBAL S/CAP - A	AMNCX	ALL BERN MUN INC II MN - C
CSTCX	AIM CONSTELLATION - C	GND BX	AIM GLOBAL EQUITY - B	LCGBX	AIM L/CAP GROWTH - B	STBCX	AIM SHORT TERM BOND - C	AGCBX*	ALL BERN GLOBAL S/CAP - B	ANJAX	ALL BERN MUN INC II NJ - A
CSTRX	AIM CONSTELLATION - R	GND CX	AIM GLOBAL EQUITY - C	LCGCX	AIM L/CAP GROWTH - C	ISGAX	AIM SMALL CO GROWTH - A	GSCCX*	ALL BERN GLOBAL S/CAP - C	ANJ BX	ALL BERN MUN INC II NJ - B
ICEAX	AIM CORE STOCK - A	AGGAX	AIM GLOBAL GROWTH - A	LCGX	AIM L/CAP GROWTH INV	ISGBX	AIM SMALL CO GROWTH - B	ABAGX	ALL BERN GLOBAL VALUE - A	ANJ CX	ALL BERN MUN INC II NJ - C
ICEBX	AIM CORE STOCK - B	AGGBX	AIM GLOBAL GROWTH - B	GTLAX*	AIM LATIN AMER GROWTH - A	ISGCX	AIM SMALL CO GROWTH - C	ABAGX	ALL BERN GLOBAL VALUE - B	AOHAX	ALL BERN MUN INC II OH - A
IINCX	AIM CORE STOCK - C	AGGCX	AIM GLOBAL GROWTH - C	GTLBX*	AIM LATIN AMER GROWTH - B	FIEGX	AIM SMALL CO GROWTH INV	ABCGX	ALL BERN GLOBAL VALUE - C	AOH BX	ALL BERN MUN INC II OH - B
FIHX	AIM CORE STOCK INV	GGHCX	AIM GLOBAL HEALTH CARE - A	ILSAX	AIM LEISURE - A	GSIAX*	AIM STRAT INCOME - A	GCHAX	ALL BERN GREATER CHINA - A	AOH CX	ALL BERN MUN INC II OH - C
ADDAX	AIM DENT DEMOGR TRENDS - A	GTHBX	AIM GLOBAL HEALTH CARE - B	ILSBX	AIM LEISURE - B	GSIBX*	AIM STRAT INCOME - B	GCHBX	ALL BERN GREATER CHINA - B	APAAX	ALL BERN MUN INC II PA - A
ADDBX	AIM DENT DEMOGR TRENDS - B	GTHCX	AIM GLOBAL HEALTH CARE - C	FLISX	AIM LEISURE INV	GSIDX*	AIM STRAT INCOME - C	AGRFX	ALL BERN GROWTH - A	APABX	ALL BERN MUN INC II PA - B
ADDCX	AIM DENT DEMOGR TRENDS - C	AGAIX*	AIM GLOBAL INCOME - A	ALAFX	AIM LIBRA - A	AMCTX*	AIM TAX EXEMPT BOND CT - A	AGBBX	ALL BERN GROWTH - B	APACX	ALL BERN MUN INC II PA - C
GTDDX	AIM DEVELOPING MKTS - A	AGBIX*	AIM GLOBAL INCOME - B	ALBFX	AIM LIBRA - B	AITFX	AIM TAX FREE INTERM - A	AGRCX	ALL BERN GROWTH - C	AVAAX	ALL BERN MUN INC II VA - A
GTDBX	AIM DEVELOPING MKTS - B	GLICX*	AIM GLOBAL INCOME - C	ALCFX	AIM LIBRA - C	ATFAX	AIM TAX FREE INTERM - A3	CABDX	ALL BERN GROWTH & INC - A	AVABX	ALL BERN MUN INC II VA - B
GTDCX	AIM DEVELOPING MKTS - C	GTNDX*	AIM GLOBAL TRENDS - A	SHTIX	AIM LTD MATURITY TRES - A	ITYAX	AIM TECHNOLOGY - A	CBBDX	ALL BERN GROWTH & INC - B	AVACX	ALL BERN MUN INC II VA - C
LCEAX	AIM DIVRSFIED DIVIDEND - A	GND BX*	AIM GLOBAL TRENDS - B	LMTAX	AIM LTD MATURITY TRES - A3	ITYBX	AIM TECHNOLOGY - B	CBBCX	ALL BERN GROWTH & INC - C	ALCAX	ALL BERN MUN INCOME CA - A
LCEDX	AIM DIVRSFIED DIVIDEND - B	GND CX*	AIM GLOBAL TRENDS - C	MDCAX	AIM M/CAP BASIC VALUE - A	ITHCX	AIM TECHNOLOGY - C	CBBYX	ALL BERN GROWTH & INC ADV	ALCBX	ALL BERN MUN INCOME CA - B
LCEVX	AIM DIVRSFIED DIVIDEND - C	AWSAX	AIM GLOBAL VALUE - A	MDCBX	AIM M/CAP BASIC VALUE - B	FTCHX	AIM TECHNOLOGY INV	AHYAX	ALL BERN HIGH YIELD - A	ACACX	ALL BERN MUN INCOME CA - C
IDYAX	AIM DYNAMICS - A	AWSBX	AIM GLOBAL VALUE - B	MDCVX	AIM M/CAP BASIC VALUE - C	IATRX	AIM TOTAL RETURN - A	AHHBX	ALL BERN HIGH YIELD - B	ALTHX	ALL BERN MUNI INC NATL - A
IDYBX	AIM DYNAMICS - B	AWSCX	AIM GLOBAL VALUE - C	GTAGX	AIM M/CAP CORE EQUITY - A	IBTRX	AIM TOTAL RETURN - B	AHHCX	ALL BERN HIGH YIELD - C	ALTBX	ALL BERN MUNI INC NATL - B
IDFCX	AIM DYNAMICS - C	IGDAX	AIM GOLD & PREC METALS - A	GTABX	AIM M/CAP CORE EQUITY - B	ITRCX	AIM TOTAL RETURN - C	AWPAX	ALL BERN INTL GROWTH - A	ALNCX	ALL BERN MUNI INC NATL - C
FIDYX	AIM DYNAMICS INV	IGDBX	AIM GOLD & PREC METALS - B	GTACX	AIM M/CAP CORE EQUITY - C	TBRAX	AIM TOTAL RETURN BOND - A	AWPBX	ALL BERN INTL GROWTH - B	ALNYX	ALL BERN MUNI INC NY - A
EMEAX	AIM EMERGING GROWTH - A	FGLDX	AIM GOLD & PREC METALS INV	AMCAX	AIM M/CAP GROWTH - A	TBRDX	AIM TOTAL RETURN BOND - B	AWPCX	ALL BERN INTL GROWTH - C	ALNBX	ALL BERN MUNI INC NY - B
EMEBX	AIM EMERGING GROWTH - B	IAHSX	AIM HEALTH SCIENCES - A	AMCBX	AIM M/CAP GROWTH - B	TBR CX	AIM TOTAL RETURN BOND - C	AIPIX	ALL BERN INTL RES GRW - A	ANYCX	ALL BERN MUNI INC NY - C
EMECX	AIM EMERGING GROWTH - C	IBHSX	AIM HEALTH SCIENCES - B	AMCVX	AIM M/CAP GROWTH - C	FSFLX	AIM TOTAL RETURN INV	AIPIX	ALL BERN INTL RES GRW - B	BUICX	ALL BERN MUNI INSRD CA - A
IENAX	AIM ENERGY - A	IHSCX	AIM HEALTH SCIENCES - C	IMGAX	AIM M/CAP STOCK - A	ATKAX	AIM TRIMARK - A	AIPCX	ALL BERN INTL RES GRW - C	BUIBX	ALL BERN MUNI INSRD CA - B
IENBX	AIM ENERGY - B	FHLSX	AIM HEALTH SCIENCES INV	IMGBX	AIM M/CAP STOCK - B	ATDAX	AIM TRIMARK ENDEAVOR - A	ABIAX	ALL BERN INTL VALUE - A	BUCCX	ALL BERN MUNI INSRD CA - C
IIEFCX	AIM ENERGY - C	AHMAX	AIM HIGH INC MUNI BOND - A	IMGCX	AIM M/CAP STOCK - C	ATIAX	AIM TRIMARK SMALL CO - A	ABIBX	ALL BERN INTL VALUE - B	ANEAX	ALL BERN NEW EUROPE - A
FSTEX	AIM ENERGY INV	AHMBX	AIM HIGH INC MUNI BOND - B	AMBDX	AIM MUNI BOND - A	IAUTX	AIM UTILITIES - A	ABICX	ALL BERN INTL VALUE - C	ANEBX	ALL BERN NEW EUROPE - B
ESMAX	AIM EURO SMALL COMPANY - A	AHMCX	AIM HIGH INC MUNI BOND - C	AMBBX	AIM MUNI BOND - B	IBUTX	AIM UTILITIES - B	AICAX	ALL BERN INTRM CA MUNI - A	ANECX	ALL BERN NEW EUROPE - C
ESMBX	AIM EURO SMALL COMPANY - B	AMHYX	AIM HIGH YIELD - A	AMBCX	AIM MUNI BOND - C	IUTCX	AIM UTILITIES - C	ACL BX	ALL BERN INTRM CA MUNI - B	ABQBX	ALL BERN QUALITY BOND - B
ESMCX	AIM EURO SMALL COMPANY - C	AHYBX	AIM HIGH YIELD - B	AMBIX	AIM MUNI BOND INV	FSTUX	AIM UTILITIES INV	ACMCX	ALL BERN INTRM CA MUNI - C	ABQCX	ALL BERN QUALITY BOND - C
GTGEX*	AIM EUROLAND GROWTH - A	AHYCX	AIM HIGH YIELD - C	ANTAX*	AIM NEW TECHNOLOGY - A	WEINX	AIM WEINGARTEN - A	AIDAX	ALL BERN INTRM DIV MUN - A	AREAX	ALL BERN REAL ESTATE - A

NON-PROPRIETARY FUNDS

AREBX	ALL BERN REAL ESTATE - B	TWBIX	AM CENT BALANCED INV	CAIGX	AM CENT INTL GROWTH - A	CCIMX	CAL CA LTD TERM MUNI - A	GBAAX	COL ASSET ALLOCATION - G	CMISX	COL INTL STOCK - Z
ARECX	ALL BERN REAL ESTATE - C	ABBAX*	AM CENT BOND ADV	CBIGX	AM CENT INTL GROWTH - B	CCAFX	CAL CAP ACCUMULATION - A	GAAXX	COL ASSET ALLOCATION - T	LIBAX	COL INTRM BOND - A
QUASX	ALL BERN S/CAP GROWTH - A	TWLBX*	AM CENT BOND INV	AIWGX	AM CENT INTL GROWTH - C	CWCXB	CAL CAP ACCUMULATION - B	CBLAX	COL BALANCED - A	LIBBX	COL INTRM BOND - B
QUABX	ALL BERN S/CAP GROWTH - B	CAYAX	AM CENT CA HI YLD MUNI - A	TWGAX	AM CENT INTL GROWTH ADV	CCACX	CAL CAP ACCUMULATION - C	CBLBX	COL BALANCED - B	LIBCX	COL INTRM BOND - C
QUACX	ALL BERN S/CAP GROWTH - C	CAYBX	AM CENT CA HI YLD MUNI - B	TWIEX	AM CENT INTL GROWTH INV	CFICX	CAL INCOME - A	CBLCX	COL BALANCED - C	SRBFX	COL INTRM BOND - Z
QUAYX	ALL BERN S/CAP GROWTH ADV	CAYCX	AM CENT CA HI YLD MUNI - C	ALAVX	AM CENT LARGE CO VAL - A	CBINX	CAL INCOME - B	CBLAX	COL BALANCED - Z	LIGAX	COL INTRM GOVT INCOME - A
ASBAX*	ALL BERN SEL INV BIO - A	BCHYX	AM CENT CA HI YLD MUNI INV	ALVXX	AM CENT LARGE CO VAL - B	CIFCX	CAL INCOME - C	CLMPX	COL CA TAX EXEMPT - A	LIGBX	COL INTRM GOVT INCOME - B
AIBBX*	ALL BERN SEL INV BIO - B	BCINX*	AM CENT CA INSD T/F INV	ALPCX	AM CENT LARGE CO VAL - C	CLGAX	CAL L/CAP GROWTH - A	CMSAX*	COL COMMON STOCK - A	LIGCX	COL INTRM GOVT INCOME - C
ASBCX*	ALL BERN SEL INV BIO - C	BCITX	AM CENT CA INTMD T/F INV	ALPAX	AM CENT LARGE CO VAL ADV	CLGBX	CAL L/CAP GROWTH - B	CMSBX*	COL COMMON STOCK - B	GGIBX	COL INTRM GOVT INCOME - G
ASPAX*	ALL BERN SEL INV PREM - A	BCLTX	AM CENT CA L/TRM T/F INV	ALFSX	AM CENT LIFE SCIENCES - C	CLGCX	CAL L/CAP GROWTH - C	CCSCX*	COL COMMON STOCK - C	GALBX	COL INTRM GOVT INCOME - T
ASPBX*	ALL BERN SEL INV PREM - B	BCSTX	AM CENT CA LTD TAX FR INV	ALSIX	AM CENT LIFE SCIENCES INV	CINMX	CAL NATL MUNI INTRM - A	CMSDX*	COL COMMON STOCK - D	LITAX	COL INTRM TAX EX BOND - A
ASPCX*	ALL BERN SEL INV PREM - C	ACCVX	AM CENT CAPITAL VALUE ADV	TWTLX*	AM CENT LONG TRM T/F INV	CNVAX	CAL NEW VISION S/CAP - A	CMSTX*	COL COMMON STOCK - Z	LITBX	COL INTRM TAX EX BOND - B
AITAX*	ALL BERN SEL INV TECH - A	ACCAV	AM CENT CONSERVATIVE ADV	ABLAX*	AM CENT LTD TERM BD ADV	CNVBX	CAL NEW VISION S/CAP - B	CHINX*	COL CONTRARIAN INCOME - A	GIMAX	COL INTRM TAX EX BOND - T
AITBX*	ALL BERN SEL INV TECH - B	TWSCX	AM CENT CONSERVATIVE INV	ABLIX*	AM CENT LTD TERM BD INV	CNVXC	CAL NEW VISION S/CAP - C	LCTAX	COL CT INTRM MUNI BOND - A	SETMX	COL INTRM TAX EX BOND - Z
AITCX*	ALL BERN SEL INV TECH - C	CDBAX	AM CENT DIVERSIFIED BD - A	ACOAX	AM CENT MODERATE ADV	CSDAX	CAL SHORT DUR INCOME - A	LEVAX	COL DISCIPLINED VALUE - A	LCCAX	COL LARGE CAP CORE - A
AISAX*	ALL BERN SEL S/CAP GRW - A	CDBBX	AM CENT DIVERSIFIED BD - B	TWSMX	AM CENT MODERATE INV	CDICX	CAL SHORT DUR INCOME - C	LEVBX	COL DISCIPLINED VALUE - B	LCCBX	COL LARGE CAP CORE - B
AISBX*	ALL BERN SEL S/CAP GRW - B	CDBCX	AM CENT DIVERSIFIED BD - C	ANOAX	AM CENT NEW OPPS II - A	CSIFX	CAL SOC INV BALANCED - A	LEVVC	COL DISCIPLINED VALUE - C	LCCCX	COL LARGE CAP CORE - C
AISCX*	ALL BERN SEL S/CAP GRW - C	ADFAV	AM CENT DIVERSIFIED BD ADV	ANOBX	AM CENT NEW OPPS II - B	CSLBX	CAL SOC INV BALANCED - B	GALEX	COL DISCIPLINED VALUE - T	GGRBX	COL LARGE CAP CORE - G
ADPAX	ALL BERN SHRT DURATION - A	ADFIX	AM CENT DIVERSIFIED BD INV	ANOCX	AM CENT NEW OPPS II - C	CSGCX	CAL SOC INV BALANCED - C	LBSAX	COL DIVIDEND INCOME - A	SGIEX	COL LARGE CAP CORE - T
ADPBX	ALL BERN SHRT DURATION - B	ACECX	AM CENT EMERGING MKTS - C	AREEX	AM CENT REAL ESTATE ADV	CSIBX	CAL SOC INV BOND - A	LBSBX	COL DIVIDEND INCOME - B	LEGAX	COL LARGE CAP GROWTH - A
ADPCX	ALL BERN SHRT DURATION - C	AEMMX	AM CENT EMERGING MKTS ADV	REACX	AM CENT REAL ESTATE INV	CBDBX	CAL SOC INV BOND - B	LBSXC	COL DIVIDEND INCOME - C	LEGBX	COL LARGE CAP GROWTH - B
ABASX	ALL BERN S-M/CAP VALUE - A	TWMIX	AM CENT EMERGING MKTS INV	BSTTX*	AM CENT S/TERM TREAS ADV	CSBCX	CAL SOC INV BOND - C	GEQAX	COL DIVIDEND INCOME - T	LEGCX	COL LARGE CAP GROWTH - C
ABBSX	ALL BERN S-M/CAP VALUE - B	AEYCX	AM CENT EQUITY GROWTH - C	BSTAX*	AM CENT S/TERM TREAS INV	CMIFX	CAL SOC INV ENH EQUITY - A	CFSAX	COL FEDERAL SECURITIES - A	GBEGX	COL LARGE CAP GROWTH - G
ABCSX	ALL BERN S-M/CAP VALUE - C	BEQAX	AM CENT EQUITY GROWTH ADV	AASLX	AM CENT SELECT - A	CDBXB	CAL SOC INV ENH EQUITY - B	CFSOX	COL FEDERAL SECURITIES - B	GAEGX	COL LARGE CAP GROWTH - T
AGLAX	ALL BERN T/M BAL WLTH - A	AEYIX	AM CENT EQUITY INCOME - C	ABSLL	AM CENT SELECT - B	CMICX	CAL SOC INV ENH EQUITY - C	CFSCX	COL FEDERAL SECURITIES - C	COLFX	COL LIBERTY - A
AGIBX	ALL BERN T/M BAL WLTH - B	TWEAX	AM CENT EQUITY INCOME ADV	ACSLX	AM CENT SELECT - C	CSIEH	CAL SOC INV EQUITY - A	CFIAX	COL FIXED INCOME SECS - A	CCFBX	COL LIBERTY - B
AGICX	ALL BERN T/M BAL WLTH - C	TWEIX	AM CENT EQUITY INCOME INV	TWCAX	AM CENT SELECT ADV	CSEBX	CAL SOC INV EQUITY - B	CFIBX	COL FIXED INCOME SECS - B	CTCCX	COL LIBERTY - C
ATWAX	ALL BERN T/M WLTH APPR - A	ACBFX	AM CENT FL MUNI BOND INV	TWCIX	AM CENT SELECT INV	CSECX	CAL SOC INV EQUITY - C	CISCX	COL FIXED INCOME SECS - C	LMIAH	COL MA INTRM MUNI BOND - A
ACIAX	ALL BERN T/M WLTH PRSV - A	AGMCX	AM CENT GINNIE MAE - C	TWAVX	AM CENT SHORT TERM GOV ADV	CSXAX	CAL SOCIAL INDEX - A	CFIDX	COL FIXED INCOME SECS - D	LMIBX	COL MA INTRM MUNI BOND - B
ACIBX	ALL BERN T/M WLTH PRSV - B	BGNAX	AM CENT GINNIE MAE ADV	TWUSX	AM CENT SHORT TERM GOV INV	CSXBX	CAL SOCIAL INDEX - B	CFISX	COL FIXED INCOME SECS - Z	LMICX	COL MA INTRM MUNI BOND - C
ACICX	ALL BERN T/M WLTH PRSV - C	BGNMX	AM CENT GINNIE MAE INV	ACVCX	AM CENT SMALL CAP VAL - C	CSAAX*	CAL SOUTH AFRICA - A	LFIAH	COL FL INTRM MUNI BOND - A	SRMMX	COL MANAGED MUNICIPALS - Z
ABUSX	ALL BERN US GOVT BOND - A	ACGNX*	AM CENT GBL NAT RES ADV	ASVIX	AM CENT SMALL CAP VAL INV	CTTLX	CAL T/F RESERV LNG TRM - A	CGWAX*	COL GROWTH - A	CBSAX	COL MID CAP GROWTH - A
ABUBX	ALL BERN US GOVT BOND - B	BGRIX*	AM CENT GBL NAT RES INV	ASQAX	AM CENT SMALL COMPANY ADV	CTFLX	CAL T/F RESERV LTD TRM - A	CGWBX*	COL GROWTH - B	CBSBX	COL MID CAP GROWTH - B
ABUCX	ALL BERN US GOVT BOND - C	BGEIX	AM CENT GLOBAL GOLD INV	ASQIX	AM CENT SMALL COMPANY INV	CGVTX	CAL T/F RESERV VT MUNI - A	CGWCX*	COL GROWTH - C	CMCCX	COL MID CAP GROWTH - C
ABBAX	ALL BERN US L/CAP - A	AGLCX	AM CENT GLOBAL GROWTH - C	ASTAX	AM CENT STRAT ALL AGG - C	CTHAX*	CAL TECHNOLOGY - A	CLMBX*	COL GROWTH - Z	CBSDX	COL MID CAP GROWTH - D
ABBBX	ALL BERN US L/CAP - B	AGGRX	AM CENT GLOBAL GROWTH ADV	ASTCX	AM CENT STRAT ALL MOD - C	CTHBX*	CAL TECHNOLOGY - B	CFGAX	COL GROWTH & INCOME - A	CLSPX	COL MID CAP GROWTH - Z
ABBCX	ALL BERN US L/CAP - C	TWGGX	AM CENT GLOBAL GROWTH INV	ATRGX	AM CENT TARGET 2005 ADV	CTHCX*	CAL TECHNOLOGY - C	CFGBX	COL GROWTH & INCOME - B	COLGX	COL MID CAP VALUE - A
AUIAX	ALL BERN UTILITY INC - A	ABTAX	AM CENT GOVT BOND ADV	BTFIX	AM CENT TARGET 2005 INV	CWVGX	CAL WRLD VAL INTL EQTY - A	CFGDX	COL GROWTH & INCOME - C	COGBX	COL MID CAP VALUE - B
AUIBX	ALL BERN UTILITY INC - B	CPTNX	AM CENT GOVT BOND INV	ACTRX	AM CENT TARGET 2010 ADV	CWVBX	CAL WRLD VAL INTL EQTY - B	LGISX	COL GROWTH & INCOME - Z	CSVCX	COL MID CAP VALUE - C
AUICX	ALL BERN UTILITY INC - C	TWGCX	AM CENT GROWTH - C	BTNNX	AM CENT TARGET 2010 INV	BWVCX	CAL WRLD VAL INTL EQTY - C	CGSAX	COL GROWTH STOCK - A	LVSX	COL MID CAP VALUE - Z
ABVAX	ALL BERN VALUE - A	TCRAX	AM CENT GROWTH ADV	BTFPX	AM CENT TARGET 2015 INV	LACAX	COL ACORN - A	CGSBX	COL GROWTH STOCK - B	CNLAX	COL NATL MUNI BOND - A
ABVBX	ALL BERN VALUE - B	TWCGX	AM CENT GROWTH INV	ACTEX	AM CENT TARGET 2020 ADV	LACBX	COL ACORN - B	CGSCX	COL GROWTH STOCK - C	CNLBX	COL NATL MUNI BOND - B
ABVCX	ALL BERN VALUE - C	AHGCX	AM CENT HERITAGE - C	BTTTX	AM CENT TARGET 2020 INV	LIACX	COL ACORN - C	SRFSX	COL GROWTH STOCK - Z	CNLDX	COL NATL MUNI BOND - D
AWAAX	ALL BERN WLTH APPR STR - A	ATHAX	AM CENT HERITAGE ADV	ACTVX	AM CENT TARGET 2025 ADV	ACRNX	COL ACORN - Z	CHGAX	COL HIGH YIELD - A	NWAPX*	COL NEWPORT ASIA PAC - A
AWABX	ALL BERN WLTH APPR STR - B	TWHIX	AM CENT HERITAGE INV	BTRRX	AM CENT TARGET 2025 INV	LAIAH	COL ACORN INTL - A	CHGBX	COL HIGH YIELD - B	NJOAX*	COL NEWPORT JAPAN OPPS - A
ABPAX	ALL BERN WLTH PRSRV - A	ACYAX	AM CENT HIGH YIELD - A	ATGXX	AM CENT TARGET 2030 - C	LIABX	COL ACORN INTL - B	CHDCX	COL HIGH YIELD - C	NJOBX*	COL NEWPORT JAPAN OPPS - B
ABPBX	ALL BERN WLTH PRSRV - B	ACYBX	AM CENT HIGH YIELD - B	TWTIX	AM CENT TAX FREE INV	LAICX	COL ACORN INTL - C	CHGDY	COL HIGH YIELD - D	NJOCX*	COL NEWPORT JAPAN OPPS - C
ABPCX	ALL BERN WLTH PRSRV - C	AHDCX	AM CENT HIGH YIELD - C	ACTIX	AM CENT TECHNOLOGY INV	ACINX	COL ACORN INTL - Z	CMHYX	COL HIGH YIELD - Z	CNTAX	COL NEWPORT TIGER - A
ADGCX*	ALL BERNSTEIN DISC VAL - C	ABHIX*	AM CENT HIGH YIELD INV	ABTAX*	AM CENT TREASURY ADV	LAFAX	COL ACORN INTL SELECT - A	LHIAH	COL HIGH YIELD MUNI - A	CNTBX	COL NEWPORT TIGER - B
ALIFX*	ALL INTERNATIONAL - A	ABHIX	AM CENT HIGH YIELD INV	CPTNX*	AM CENT TREASURY INV	LFBBX	COL ACORN INTL SELECT - B	SRHMV	COL HIGH YIELD MUNI - Z	CNTTX	COL NEWPORT TIGER - T
ALIBX*	ALL INTERNATIONAL - B	AYMAX	AM CENT HIGH YLD MUNI - A	TWCCX	AM CENT ULTRA - C	LFPCX	COL ACORN INTL SELECT - C	COLHX	COL HIGH YIELD OPPS - A	NGCAX	COL NEWPRGT GREAT CHINA - A
ALICX*	ALL INTERNATIONAL - C	AYMBX	AM CENT HIGH YLD MUNI - B	TWUAX	AM CENT ULTRA ADV	ACFPX	COL ACORN INTL SELECT - Z	COHXB	COL HIGH YIELD OPPS - B	LNIAX	COL NJ INTRM MUNI BOND - A
AKIAX*	ALL KOREAN INVESTMENT - A	AYMCC	AM CENT HIGH YLD MUNI - C	TWCUX	AM CENT ULTRA INV	LTFAX	COL ACORN SELECT - A	CHYCX	COL HIGH YIELD OPPS - C	LNIHX	COL NJ INTRM MUNI BOND - B
ANAGX*	ALL N AMER GOVT INCOME - A	ACGCX	AM CENT INCOME & GRW - C	ACUTX	AM CENT UTILITIES ADV	LFTBX	COL ACORN SELECT - B	LHYZX	COL HIGH YIELD OPPS - Z	LNICX	COL NJ INTRM MUNI BOND - C
ANABX*	ALL N AMER GOVT INCOME - B	AMADX	AM CENT INCOME & GRW ADV	BULIX	AM CENT UTILITIES INV	LTFCX	COL ACORN SELECT - C	LIIAX	COL INCOME - A	LNYAX	COL NY INTRM MUNI BOND - A
ANACX*	ALL N AMER GOVT INCOME - C	BIGRX	AM CENT INCOME & GRW INV	ACAVX	AM CENT VALUE - A	ACTWX	COL ACORN SELECT - Z	CIOBX	COL INCOME - B	GANYX	COL NY INTRM MUNI BOND - T
ABQBX*	ALL QUALITY BOND PT - B	ALAVX	AM CENT INFL-ADJ BOND ADV	ACBXX	AM CENT VALUE - B	LAUAX	COL ACORN USA - A	SRINX	COL INCOME - Z	CNYBX	COL NY TAX EXEMPT - B
CHCLX*	ALLIANCE - A	ACITX	AM CENT INFL-ADJ BOND INV	ACLXX	AM CENT VALUE - C	LAUBX	COL ACORN USA - B	LIEAX*	COL INTL EQUITY - A	COEAX	COL OR MUNI BOND - A
ACVAX	AM CENT AGGRESSIVE ADV	TWTAX*	AM CENT INTER TRM BD ADV	TWADX	AM CENT VALUE ADV	LAUCX	COL ACORN USA - C	LIEBX*	COL INTL EQUITY - B	COEBX	COL OR MUNI BOND - B
ACVAX	AM CENT AGGRESSIVE ADV	TWITX*	AM CENT INTER TRM BD INV	TWVLX	AM CENT VALUE INV	AUSAX	COL ACORN USA - Z	GAIEX*	COL INTL EQUITY - T	COEDX	COL OR MUNI BOND - D
TWSAX	AM CENT AGGRESSIVE INV	AIBDX	AM CENT INTL BOND ADV	TWVCX	AM CENT VISTA - C	LAAAX	COL ASSET ALLOCATION - A	CISAX	COL INTL STOCK - A	CMBFX	COL OR MUNI BOND - Z
BEAMX	AM CENT AZ MUNI BOND INV	BEBGX	AM CENT INTL BOND INV	TWVAX	AM CENT VISTA ADV	LAABX	COL ASSET ALLOCATION - B	CISBX	COL INTL STOCK - B	LPIAX	COL PA INTRM MUNI BOND - A
TWBAX	AM CENT BALANCED ADV	TWEGX	AM CENT INTL DISCOVERY INV	TWCVX	AM CENT VISTA INV	LAAAX	COL ASSET ALLOCATION - C	CISDX	COL INTL STOCK - D	LQPAX	COL QUALITY PLUS BOND - A

NON-PROPRIETARY FUNDS

LQPBX	COL QUALITY PLUS BOND - B	CTFAX*	COLUMBIA THERMOSTAT - A	RBEGX*	CR SUISSE STRATEGIC VALUE	DFLBC	DREY PR FUTURE LEADERS - B	DASMX	DREY PR SEL M/CAP GRW - A	DPSAX*	DREY PREM SMALL CO STK - A
LQPCX	COL QUALITY PLUS BOND - C	CTFBX*	COLUMBIA THERMOSTAT - B	WIGRX*	CR SUISSE TAX EFF - A	DPFCX	DREY PR FUTURE LEADERS - C	DSBAX	DREY PR SH INT MUNI BD - A	DPSBX*	DREY PREM SMALL CO STK - B
GBHQX	COL QUALITY PLUS BOND - G	CTFDX*	COLUMBIA THERMOSTAT - D	WGRBX*	CR SUISSE TAX EFF - B	PSGNX	DREY PR GNMA - A	DSBBX	DREY PR SH INT MUNI BD - B	DPSCX*	DREY PREM SMALL CO STK - C
GAHQX	COL QUALITY PLUS BOND - T	CUCAX	CR SUISSE CAPITAL APPR	BUCEX*	CR SUISSE US CORE EQUITY	PGMBX	DREY PR GNMA - B	DSIBX	DREY PR SH INT MUNI BD - D	DRBDX	DREYFUS A BONDS PLUS
CREAX	COL REAL ESTATE EQUITY - A	CUAAX	CR SUISSE CAPITAL APPR - A	WAPBX*	CR SUISSE WP BALANCED	DPGCX	DREY PR GNMA - C	DSHAX	DREY PR SHORT TERM INC - A	DGVAX*	DREYFUS AGGRESSIVE GROWTH
CREBX	COL REAL ESTATE EQUITY - B	CUCBX	CR SUISSE CAPITAL APPR - B	CUIEX*	CR SUISSE WP INTL EQUITY	DPCAX	DREY PR GREATER CHINA - A	DSHBX	DREY PR SHORT TERM INC - B	DGAGX	DREYFUS APPRECIATION
CRECX	COL REAL ESTATE EQUITY - C	CUCCX	CR SUISSE CAPITAL APPR - C	WINSX*	CR SUISSE WP INTL SMALL CO	DPCBX	DREY PR GREATER CHINA - B	DSTIX	DREY PR SHORT TERM INC - D	DRBAX*	DREYFUS BALANCED
CREDX	COL REAL ESTATE EQUITY - D	WPEMX	CR SUISSE EMRG MARKETS	WPIPX*	CR SUISSE WP JAPAN SM CO	DPCCX	DREY PR GREATER CHINA - C	DSVAX	DREY PR SM CAP VALUE - A	DIGFX	DREYFUS BASIC US MTG SECS
CREEX	COL REAL ESTATE EQUITY - Z	WPECX*	CR SUISSE EURO EQUITY	WLSCX*	CR SUISSE WP LONG SH MKT	DAPGX*	DREY PR GROWTH - A	DSVBX	DREY PR SM CAP VALUE - B	DCIMX	DREYFUS CA INTER MUNI BOND
LRIAX	COL RI INTRM MUNI BOND - A	CUEAX*	CR SUISSE EURO EQUITY - A	WPMFX*	CR SUISSE WP MAJOR FOR MKT	DBPGX*	DREY PR GROWTH - B	DSVCX	DREY PR SM CAP VALUE - C	DCITX	DREYFUS CT INTER MUNI BOND
GRBAX	COL RI INTRM MUNI BOND - T	CUFIX	CR SUISSE FIXED INCOME	WPSVX*	CR SUISSE WP SM CO VAL II	PEGAX	DREY PR GROWTH & INC - A	DSVTX	DREY PR SM CAP VALUE - T	DDSTX	DREYFUS DISCIPLINED STOCK
CTBAX	COL SHORT TERM BOND - A	CFXAX	CR SUISSE FIXED INCOME - A	FRINX	DREY FOUND BALANCED - F	PEGBX	DREY PR GROWTH & INC - B	DSGAX	DREY PR SMALL CO GRW - A	DRELX	DREYFUS EMERGING LEADERS
CTBBX	COL SHORT TERM BOND - B	CFXBX	CR SUISSE FIXED INCOME - B	FDIDX	DREY FOUND DISCOVERY - A	DGICX	DREY PR GROWTH & INC - C	PSCTX	DREY PR ST MUNI BD CT - A	DFLIX	DREYFUS FL INTER MUNI BOND
CSHCX	COL SHORT TERM BOND - C	CFXCX	CR SUISSE FIXED INCOME - C	FDIEX	DREY FOUND DISCOVERY - B	DI MAX	DREY PR HIGH INCOME - A	PMCBX	DREY PR ST MUNI BD CT - B	DREYX	DREYFUS FUND
CTBDX	COL SHORT TERM BOND - D	CGFIX	CR SUISSE GL FIXED INC	FDISX	DREY FOUND DISCOVERY - F	DIMBX	DREY PR HIGH INCOME - B	PMCCX	DREY PR ST MUNI BD CT - C	GCABX*	DREYFUS GEN CA MUNI BOND
CTBGX	COL SHORT TERM BOND - G	CUGAX	CR SUISSE GL FIXED INC - A	FRMUX	DREY FOUND EQUITY GRW - F	DIMCX	DREY PR HIGH INCOME - C	PSFLX	DREY PR ST MUNI BD FL - A	GMBDX*	DREYFUS GEN MUNI BOND
CTBTX	COL SHORT TERM BOND - T	RBSFX	CR SUISSE GL HIGH YIELD	FGVSX	DREY FOUND GOVT SECS - F	DRGLX	DREY PR INTL GROWTH - A	PSFBX	DREY PR ST MUNI BD FL - B	GNMXX	DREYFUS GEN NY MUNI BOND
LSMAX	COL SMALL CAP - A	WVCCX	CR SUISSE GL SMALL CAP	FRGDY	DREY FOUND GROWTH - A	DGLBX	DREY PR INTL GROWTH - B	PSFCX	DREY PR ST MUNI BD FL - C	DRGMX	DREYFUS GNMA
LSMBX	COL SMALL CAP - B	CPVAX	CR SUISSE GL SMALL CAP - A	FRGEX	DREY FOUND GROWTH - B	DIGCX	DREY PR INTL GROWTH - C	PSMAX	DREY PR ST MUNI BD MA - A	DGRIX	DREYFUS GROWTH & INC
LSMCX	COL SMALL CAP - C	CPVBX	CR SUISSE GL SMALL CAP - B	FRGFY	DREY FOUND GROWTH - C	DPAVX	DREY PR INTL OPPS - A	PBMAX	DREY PR ST MUNI BD MA - B	DREOQ	DREYFUS GROWTH OPP
GBSMX	COL SMALL CAP - G	WPHSX*	CR SUISSE GLB HLTH SCI	FRGRX	DREY FOUND GROWTH - F	DPBVX	DREY PR INTL OPPS - B	PCMAX	DREY PR ST MUNI BD MA - C	TDBDX	DREYFUS INSD MUNICIPAL BD
SSCEX	COL SMALL CAP - T	CHHAX*	CR SUISSE GLB HLTH SCI - A	FOIAX	DREY FOUND INTL EQUITY - A	DPCVX	DREY PR INTL OPPS - C	PSMDX	DREY PR ST MUNI BD MD - A	DRITX	DREYFUS INT TERM INC
CMSCX	COL SMALL CAP GROWTH - Z	WPGTX*	CR SUISSE GLOBAL TECH	FOIEX	DREY FOUND INTL EQUITY - F	DVLAX	DREY PR INTL VALUE - A	PMDBX	DREY PR ST MUNI BD MD - B	DITEX	DREYFUS INTERMEDIATE MUNI
CSMIX	COL SMALL CAP VALUE - A	CUTCX*	CR SUISSE GLOBAL TECH - A	FRSPX	DREY FOUND MIDCAP GRW - F	DIBVX	DREY PR INTL VALUE - B	PMDCX	DREY PR ST MUNI BD MD - C	DTITX*	DREYFUS INTL GROWTH
CSSBX	COL SMALL CAP VALUE - B	CHIAX	CR SUISSE HIGH INCOME - A	FPSAX	DREY FOUND PASSPORT - A	DICVX	DREY PR INTL VALUE - C	PSMIX	DREY PR ST MUNI BD MI - A	DLVCX*	DREYFUS LARGE CO VAL
CSSCX	COL SMALL CAP VALUE - C	CHOBX	CR SUISSE HIGH INCOME - B	FPSBX	DREY FOUND PASSPORT - B	DPJAX*	DREY PR JAPAN - A	PMIBX	DREY PR ST MUNI BD MI - B	DMEBX*	DREYFUS MA TAX EXEMPT BOND
LSEAX	COL SMALL CO EQUITY - A	CHICX	CR SUISSE HIGH INCOME - C	FPCSX	DREY FOUND PASSPORT - C	DPJBX*	DREY PR JAPAN - B	PCMIX	DREY PR ST MUNI BD MI - C	DMAIX	DREYFUS MASS INTER MUNI
LSEBX	COL SMALL CO EQUITY - B	WIEBX*	CR SUISSE INTL EQ II - B	FPSXX	DREY FOUND PASSPORT - F	DPJCX*	DREY PR JAPAN - C	PSMNX	DREY PR ST MUNI BD MN - A	DMCVX	DREYFUS MIDCAP VAL
LSECX	COL SMALL CO EQUITY - C	WPMFX	CR SUISSE INTL FOCUS	FWSGX	DREY FOUND WW GROWTH - F	DRDEX	DREY PR LG CO STOCK - A	PMMNX	DREY PR ST MUNI BD MN - B	DRTAX	DREYFUS MUNICIPAL BOND
GASEX	COL SMALL CO EQUITY - T	CUIAX	CR SUISSE INTL FOCUS - A	DTCAX	DREY PR 3RD CENTURY - A	DRLBX	DREY PR LG CO STOCK - B	PMNCX	DREY PR ST MUNI BD MN - C	DNJIX	DREYFUS NJ INTER MUNI
COSIX	COL STRATEGIC INCOME - A	CUIBX	CR SUISSE INTL FOCUS - B	DTCBX	DREY PR 3RD CENTURY - B	DLCCX	DREY PR LG CO STOCK - C	PSNOX	DREY PR ST MUNI BD NC - A	DRNJX*	DREYFUS NJ MUNI BOND
CLSBX	COL STRATEGIC INCOME - B	CUICX	CR SUISSE INTL FOCUS - C	DTCCX	DREY PR 3RD CENTURY - C	DPIBX	DREY PR LTD TERM INC - B	PMNBX	DREY PR ST MUNI BD NC - B	DRNIX	DREYFUS NY INTER TAX EX BD
CLSCX	COL STRATEGIC INCOME - C	CUIGX*	CR SUISSE INV GRADE BD	DRTHX	DREY PR 3RD CENTURY - Z	PLTMX*	DREY PR LTD TERM MUNI - A	PMCCX	DREY PR ST MUNI BD NC - C	DRNYX	DREYFUS NY TAX EXEMPT BD
CSVAX	COL STRATEGIC INVESTOR - A	CSGAX*	CR SUISSE INV GRADE BD - A	DRLEX*	DREY PR AGGR GROWTH - A	DPLBX*	DREY PR LTD TERM MUNI - B	PSOHX	DREY PR ST MUNI BD OH - A	DPABX	DREYFUS PA INTER MUNI BOND
CSVBX	COL STRATEGIC INVESTOR - B	CSBBX*	CR SUISSE INV GRADE BD - B	PRBAX	DREY PR BALANCED - A	DPLCX*	DREY PR LTD TERM MUNI - C	POHBX	DREY PR ST MUNI BD OH - B	DSIGX	DREYFUS SHORT INTMD GOVT
CSRXC	COL STRATEGIC INVESTOR - C	CRVCX*	CR SUISSE INV GRADE BD - C	PRBBX	DREY PR BALANCED - B	DPLTX	DREY PR LTD TRM HI YLD - A	POHCX	DREY PR ST MUNI BD OH - C	DSCSZ*	DREYFUS SMALL CAP OPP
COLTX	COL TAX EXEMPT - A	WPJGX	CR SUISSE JAPAN EQUITY	DPBCX	DREY PR BALANCED - C	DLTBX	DREY PR LTD TRM HI YLD - B	PTPAX	DREY PR ST MUNI BD PA - A	DSCVX	DREYFUS SMALL COMPANY VAL
CTEBX	COL TAX EXEMPT - B	WFGIX	CR SUISSE L/CP VAL INC - A	PRCA**	DREY PR CA MUNI BOND - A	PTHIX	DREY PR LTD TRM HI YLD - C	PPABX	DREY PR ST MUNI BD PA - B	EVASX	EATON ASIA SMALL CO - A
COLCX	COL TAX EXEMPT - C	WGBIX	CR SUISSE L/CP VAL INC - B	PRCBX*	DREY PR CA MUNI BOND - B	PMNIX	DREY PR MANAGED INCOME - A	PPACX	DREY PR ST MUNI BD PA - C	EBASX	EATON ASIA SMALL CO - B
CEXIX	COL TAX EXEMPT INSD - A	CVUCX	CR SUISSE L/CP VAL INC - C	DPACX*	DREY PR CA MUNI BOND - C	DTMBX	DREY PR MANAGED INCOME - B	PTXBX	DREY PR ST MUNI BD TX - A	EVAZX	EATON AZ MUNI - B
LTMAX*	COL TAX MNGD AGGR GRW - A	CUEGX	CR SUISSE M/CAP GROWTH	DRCAX*	DREY PR CA TAX EX BD - Z	DTMCX	DREY PR MANAGED INCOME - C	PTTBX	DREY PR ST MUNI BD TX - B	EVIFX	EATON BALANCED - A
STMAX	COL TAX MNGD GROWTH - A	CUWAX	CR SUISSE M/CAP GROWTH - A	DSINX	DREY PR CORE BOND - A	DPMAX	DREY PR MIDCAP STOCK - A	PTXCX	DREY PR ST MUNI BD TX - C	EMIFX	EATON BALANCED - B
CTMBX	COL TAX MNGD GROWTH - B	WMCMX	CR SUISSE MUNI BOND	DRCBX	DREY PR CORE BOND - B	DMSBX	DREY PR MIDCAP STOCK - B	PSVAX	DREY PR ST MUNI BD VA - A	ECIFX	EATON BALANCED - C
CTMCX	COL TAX MNGD GROWTH - C	*		DRCCX	DREY PR CORE BOND - C	DMSCX	DREY PR MIDCAP STOCK - C	PVABX	DREY PR ST MUNI BD VA - B	EACAX	EATON CA MUNI - A
LTGAX	COL TAX MNGD GROWTH II - A	CUMAX*	CR SUISSE MUNI BOND - A	DLTSX	DREY PR CORE EQUITY - A	DMVPX	DREY PR MIDCAP VALUE - A	DAGVX	DREY PR STRAT VALUE - A	EVCAX	EATON CA MUNI - B
LTIIIX	COL TAX MNGD GROWTH II - B	CNMBX	CR SUISSE NY MUNI BOND	DPEBX	DREY PR CORE EQUITY - B	PTEBX	DREY PR MUNI BOND - A	DBGVX	DREY PR STRAT VALUE - B	ECFRX	EATON CLASS SR FLOAT RATE
LTGXC	COL TAX MNGD GROWTH II - C	CUNYX	CR SUISSE NY MUNI BOND - A	DPECX	DREY PR CORE EQUITY - C	PMUBX	DREY PR MUNI BOND - B	DTMGX	DREY PR TAX MNGD GRW - A	EVCIX	EATON CT MUNI - B
SRVAX	COL TAX MNGD VALUE - A	WSCGX	CR SUISSE S/CAP GROWTH	DCVIX	DREY PR CORE VALUE - A	DMBCX	DREY PR MUNI BOND - C	DTMTX	DREY PR TAX MNGD GRW - B	ETEMX	EATON EMERGING MARKETS - A
CTMVX	COL TAX MNGD VALUE - B	CUSLX	CR SUISSE S/CAP GROWTH - A	DBC VX	DREY PR CORE VALUE - B	DNLDX	DREY PR NEW LEADERS - A	DPTAX	DREY PR TAX MNGD GRW - C	EMEMX	EATON EMERGING MARKETS - B
LTVCX	COL TAX MNGD VALUE - C	CSWCX	CR SUISSE S/CAP VALUE	DCV CX	DREY PR CORE VALUE - C	DNLBX	DREY PR NEW LEADERS - B	DTGRX	DREY PR TECH GROWTH - A	ETFLX	EATON FL MUNI - A
CTCAX	COL TECHNOLOGY - A	WFAGX	CR SUISSE S/CAP VALUE - A	DPAMX*	DREY PR DEVELOP MKTS - A	DNL CX	DREY PR NEW LEADERS - C	DTGBX	DREY PR TECH GROWTH - B	EVLFX	EATON FL MUNI - B
CTCBX	COL TECHNOLOGY - B	WSCBX	CR SUISSE S/CAP VALUE - B	DPBMX*	DREY PR DEVELOP MKTS - B	DNLTX	DREY PR NEW LEADERS - T	DTGCX	DREY PR TECH GROWTH - C	EBL BX	EATON FLOAT RATE - A
CTHCX	COL TECHNOLOGY - C	CCPCX	CR SUISSE S/CAP VALUE - C	DPCMX*	DREY PR DEVELOP MKTS - C	DPNAX*	DREY PR NEXTECH - A	DPTGX	DREY PR TECH GROWTH - T	EBBLX	EATON FLOAT RATE - B
CTCDX	COL TECHNOLOGY - D	WFD CX	CR SUISSE SELECT EQTY	DRFMX	DREY PR EMERGING MKTS - A	DPNBX*	DREY PR NEXTECH - B	DRSIX	DREY PR VALUE - A	ECBLX	EATON FLOAT RATE - C
CTFAX	COL THERMOSTAT - A	CFFAX	CR SUISSE SELECT EQTY - A	DBPEX	DREY PR EMERGING MKTS - B	DPNCX*	DREY PR NEXTECH - C	DSTBX	DREY PR VALUE - B	EBL BX	EATON FLOAT RATE ADV
CTFBX	COL THERMOSTAT - B	CFFBX	CR SUISSE SELECT EQTY - B	DCPEX	DREY PR EMERGING MKTS - C	DRNIX	DREY PR NJ MUNI BOND - A	PGROX	DREY PR WORLDWIDE GRW - A	EVFHX	EATON FLOAT RT HI INC - A
CTFDX	COL THERMOSTAT - C	CFFCX	CR SUISSE SELECT EQTY - C	DPMGX	DREY PR ENTERPRISE - A	PSNYX	DREY PR NY MUNI BOND - A	PGWBX	DREY PR WORLDWIDE GRW - B	EBFHX	EATON FLOAT RT HI INC - B
CUTLX	COL UTILITIES - A	CSHAX	CR SUISSE SH DUR BOND - A	DMCGX	DREY PR ENTERPRISE - B	PRNBX	DREY PR NY MUNI BOND - B	PGRCX	DREY PR WORLDWIDE GRW - C	ECFHX	EATON FLOAT RT HI INC - C
CUTBX	COL UTILITIES - B	CSSDX	CR SUISSE SH DUR BOND - C	DMCCX	DREY PR ENTERPRISE - C	PNYCX	DREY PR NY MUNI BOND - C	DPYAX	DREY PR YLD ADVANTAGE - A	EAFHX	EATON FLOAT RT HI INC ADV
CUTFX	COL UTILITIES - C	CWSAX*	CR SUISSE STR SM CAP - A	DMCTX	DREY PR ENTERPRISE - T	DASGX*	DREY PR SEL GROWTH - A	DPYBX	DREY PR YLD ADVANTAGE - B	ETIAX	EATON GLOBAL GROWTH - A
LYIAX	COL YOUNG INVESTOR - A	CWSXC*	CR SUISSE STR SM CAP - C	DAEEX*	DREY PR EUROPEAN EQ - A	DPASX	DREY PR SEL INT MUN BD - A	DYADX	DREY PR YLD ADVANTAGE - D	EMIAX	EATON GLOBAL GROWTH - B
LYIBX	COL YOUNG INVESTOR - B	CUVAX*	CR SUISSE STR VAL INC - A	DBEEX*	DREY PR EUROPEAN EQ - B	DPBSX	DREY PR SEL INT MUN BD - B	DHIYX*	DREY PREM HI YIELD SEC - A	ECIAX	EATON GLOBAL GROWTH - C
SRYIX	COL YOUNG INVESTOR - Z	CULBX*	CR SUISSE STR VAL INC - B	DFLAX	DREY PR FUTURE LEADERS - A	DPCSX	DREY PR SEL INT MUN BD - C	DRHCX*	DREY PREM HI YIELD SEC - C	EDIAX*	EATON GLOBAL GROWTH - D

NON-PROPRIETARY FUNDS

EVGOX	EATON GOVT OBLIGATIONS - A	EAEAX	EATON T/M EQ ASSET ALL - A	FHIBX	FRK AGE HIGH INCOME - B	FCIIX	FRK FLEX CAP GROWTH - C	FMINX	FRK MN INSURED T/F INC - A	FRVLX	FRK SMALL CAP VALUE - A
EMGOX	EATON GOVT OBLIGATIONS - B	EBEAX	EATON T/M EQ ASSET ALL - B	FRAIX	FRK AGE HIGH INCOME - C	FRCGX	FRK FLEX CAP GROWTH - R	FMINX	FRK MN INSURED T/F INC - C	FBVAX	FRK SMALL CAP VALUE - B
ECGOX	EATON GOVT OBLIGATIONS - C	ECEAX	EATON T/M EQ ASSET ALL - C	FGRAX	FRK AGGRESSIVE GRW - A	FAFRX	FRK FLOAT DAILY ACCESS - A	FRMOX	FRK MO TAX FREE INCOME - A	FRVFX	FRK SMALL CAP VALUE - C
EVCGX	EATON GREAT CHINA GRW - A	ETTGX	EATON T/M GROWTH 1.1 - A	FKABX	FRK AGGRESSIVE GRW - B	FBFRX	FRK FLOAT DAILY ACCESS - B	FMOIX	FRK MO TAX FREE INCOME - C	FVFRX	FRK SMALL CAP VALUE - R
EMCGX	EATON GREAT CHINA GRW - B	EMTGX	EATON T/M GROWTH 1.1 - B	FKACX	FRK AGGRESSIVE GRW - C	FCFRX	FRK FLOAT DAILY ACCESS - C	FMITX	FRK MODERATE TARGET - A	FRSGX	FRK SMALL-MID CAP GRW - A
ECCGX	EATON GREAT CHINA GRW - C	ECTGX	EATON T/M GROWTH 1.1 - C	FRLX	FRK AL TAX FREE INCOME - A	XFPLX*	FRK FLOAT RATE TRUST - A	FTMTX	FRK MODERATE TARGET - C	FBSMX	FRK SMALL-MID CAP GRW - B
ETGIX	EATON GREATER INDIA - A	EXTGX	EATON T/M GROWTH 1.2 - A	FALEX	FRK AL TAX FREE INCOME - C	FFALX	FRK FOUND FUNDS ALLOC - A	FTMRX	FRK MODERATE TARGET - R	FRSIX	FRK SMALL-MID CAP GRW - C
EMGIX	EATON GREATER INDIA - B	EYTGX	EATON T/M GROWTH 1.2 - B	FKBRX*	FRK ASSET ALLOCATION - A	FFABX	FRK FOUND FUNDS ALLOC - B	TEBIX	FRK MUTUAL BEACON - A	FSMRX	FRK SMALL-MID CAP GRW - R
EVGFX	EATON GROWTH - A	EZTGX	EATON T/M GROWTH 1.2 - C	FTAZX	FRK AZ TAX FREE INCOME - A	FFACX	FRK FOUND FUNDS ALLOC - C	TEBBX	FRK MUTUAL BEACON - B	FRSTX	FRK STRATEGIC INCOME - A
EMGFX	EATON GROWTH - B	EDTGX*	EATON T/M GROWTH 1.2 - D	FBAZX	FRK AZ TAX FREE INCOME - B	FTGAX	FRK GA TAX FREE INCOME - A	TEMBX	FRK MUTUAL BEACON - C	FKSBX	FRK STRATEGIC INCOME - B
ECGFX	EATON GROWTH - C	ETIGX	EATON T/M INTL EQUITY - A	FAZIX	FRK AZ TAX FREE INCOME - C	FGAIX	FRK GA TAX FREE INCOME - C	BEGRX	FRK MUTUAL BEACON - Z	FSGCX	FRK STRATEGIC INCOME - C
EVHIX	EATON HIGH INCOME - B	EMIGX	EATON T/M INTL EQUITY - B	FRBSX*	FRK BALANCE SHEET - A	FGAAX*	FRK GLOBAL AGGR GROWTH - A	TEDEX	FRK MUTUAL DISCOVERY - A	FKSRX	FRK STRATEGIC INCOME - R
ECHIX	EATON HIGH INCOME - C	ECIGX	EATON T/M INTL EQUITY - C	FBSBX	FRK BALANCE SHEET - B	FGABX*	FRK GLOBAL AGGR GROWTH - B	TEDBX	FRK MUTUAL DISCOVERY - B	FSMIX	FRK STRATEGIC MORTGAGE - A
ETHYX	EATON HIGH YIELD MUNI - A	EXMCX	EATON T/M M/CAP CORE - A	FBCSX	FRK BALANCE SHEET - C	FGAFX*	FRK GLOBAL AGGR GROWTH - C	TEDSX	FRK MUTUAL DISCOVERY - C	FTCAX	FRK TECHNOLOGY - A
EVHYX	EATON HIGH YIELD MUNI - B	EBMCX	EATON T/M M/CAP CORE - B	FBDIX	FRK BIOTECH DISCOVERY - A	FRGUX	FRK GLOBAL COMM - A	TEDRX	FRK MUTUAL DISCOVERY - R	*FTFDX	FRK TECHNOLOGY - B
ECHYX	EATON HIGH YIELD MUNI - C	ECMCX	EATON T/M M/CAP CORE - C	FKBCX	FRK BLUE CHIP - A	*FGUBX	FRK GLOBAL COMM - B	MDISX	FRK MUTUAL DISCOVERY - Z	FTFCX	FRK TECHNOLOGY - C
EVIBX	EATON INC FUND BOSTON - A	EACPX	EATON T/M MULT CAP OPP - A	FKBBX	FRK BLUE CHIP - B	FRUTX	FRK GLOBAL COMM - C	TEMBX	FRK MUTUAL EUROPEAN - A	FRITX	FRK TN MUNI BOND - A
EBIBX	EATON INC FUND BOSTON - B	EBCPX	EATON T/M MULT CAP OPP - B	FBCXX	FRK BLUE CHIP - C	FRGLX*	FRK GLOBAL GOVT INCOME - A	TEUBX	FRK MUTUAL EUROPEAN - B	FKBAX	FRK TOTAL RETURN - A
ECIBX	EATON INC FUND BOSTON - C	ECCPX	EATON T/M MULT CAP OPP - C	FCAMX	FRK CA HIGH YIELD MUNI - A	FRGIX*	FRK GLOBAL GOVT INCOME - C	TEURX	FRK MUTUAL EUROPEAN - C	FBTLX	FRK TOTAL RETURN - B
EALCX	EATON L/CAP CORE - A	EDCPX*	EATON T/M MULT CAP OPP - D	FBCAX	FRK CA HIGH YIELD MUNI - B	FGGAX*	FRK GLOBAL GROWTH - A	MEURX	FRK MUTUAL EUROPEAN - Z	FTRLX	FRK TOTAL RETURN - C
EBLCX	EATON L/CAP CORE - B	ETMGX	EATON T/M S/CAP GR 1.1 - A	FAHX	FRK CA HIGH YIELD MUNI - C	FGGBX*	FRK GLOBAL GROWTH - B	TFISX	FRK MUTUAL FINAN SERV - A	FTRLX	FRK TOTAL RETURN - R
ECLCX	EATON L/CAP CORE - C	EMMGX	EATON T/M S/CAP GR 1.1 - B	FRCTX	FRK CA INSD T/F INCOME - A	FGGCX*	FRK GLOBAL GROWTH - C	TBFSX	FRK MUTUAL FINAN SERV - B	FTXTX*	FRK TX TAX FREE INCOME - A
EHSTX	EATON L/CAP VALUE - A	ECMGX	EATON T/M S/CAP GR 1.1 - C	FRCBX	FRK CA INSD T/F INCOME - B	FKGHX	FRK GLOBAL HEALTH CARE - A	TMFSX	FRK MUTUAL FINAN SERV - C	FKUSX	FRK US GOVT SECURITIES - A
EMSTX	EATON L/CAP VALUE - B	EXMGX	EATON T/M S/CAP GR 1.2 - A	FRCAJ	FRK CA INSD T/F INCOME - C	FGHBX	FRK GLOBAL HEALTH CARE - B	TEFAX	FRK MUTUAL FINAN SERV - Z	FUGBX	FRK US GOVT SECURITIES - B
ECSTX	EATON L/CAP VALUE - C	EYMGX	EATON T/M S/CAP GR 1.2 - B	FKCIX	FRK CA INT TRM T/F INC - A	GIHIX	FRK GLOBAL HEALTH CARE - C	TEQIX	FRK MUTUAL QUALIFIED - A	FRUGX	FRK US GOVT SECURITIES - C
ETLAX	EATON LA MUNI - A	EZMGX	EATON T/M S/CAP GR 1.2 - C	FKTFX	FRK CA TAX FREE INCOME - A	FKRCX	FRK GOLD & PREC METALS - A	TEBQX	FRK MUTUAL QUALIFIED - B	FUSLX	FRK US LONG SHORT - A
EVLAX	EATON LA MUNI - B	ESVAX	EATON T/M S/CAP VALUE - A	FCABX	FRK CA TAX FREE INCOME - B	FAGPX	FRK GOLD & PREC METALS - B	TEMQX	FRK MUTUAL QUALIFIED - C	FKUTX	FRK UTILITIES - A
EALDX	EATON LOW DURATION - A	ESVBX	EATON T/M S/CAP VALUE - B	FRCTX	FRK CA TAX FREE INCOME - C	FRGOX	FRK GOLD & PREC METALS - C	MQIFX	FRK MUTUAL QUALIFIED - Z	FRUBX	FRK UTILITIES - B
EBLDX	EATON LOW DURATION - B	ESVCX	EATON T/M S/CAP VALUE - C	FKREX	FRK CAPITAL GROWTH - A	FKGRX	FRK GROWTH - A	MQIFX	FRK MUTUAL QUALIFIED - Z	FRUSX	FRK UTILITIES - C
ECLDX	EATON LOW DURATION - C	EATVX	EATON T/M VALUE - A	FKEQX	FRK CAPITAL GROWTH - B	FKGBX	FRK GROWTH - B	TESIX	FRK MUTUAL SHARES - A	FRVAX	FRK VA TAX FREE INCOME - A
EVMAX	EATON MA MUNI - B	EBTVX	EATON T/M VALUE - B	FREQX	FRK CAPITAL GROWTH - C	FRGSX	FRK GROWTH - C	FMUBX	FRK MUTUAL SHARES - B	FVAIX	FRK VA TAX FREE INCOME - C
EVMYX	EATON MD MUNI - B	ECTVX	EATON T/M VALUE - C	FKIRX	FRK CAPITAL GROWTH - R	FGTIX	FRK GROWTH TARGET - A	TEMTX	FRK MUTUAL SHARES - C	ICPGX*	FRK/TEM GLB CURRENCY - A
EVMIK	EATON MI MUNI - B	EDTVX*	EATON T/M VALUE - D	FRCOX	FRK CO TAX FREE INCOME - A	FTGTIX	FRK GROWTH TARGET - C	TESRX	FRK MUTUAL SHARES - R	GAPAX	GLD AGGR GROWTH STRAT - A
ETMNX	EATON MN MUNI - A	EVTNX	EATON TN MUNI - B	FCOIX	FRK CO TAX FREE INCOME - C	ICPHX	FRK HARD CURRENCY - A	MUTHX	FRK MUTUAL SHARES - Z	GAPBX	GLD AGGR GROWTH STRAT - B
EVMNK	EATON MN MUNI - B	EVTMX	EATON UTILITIES - A	FTCIX	FRK CONSERV TARGET - A	FRHIX	FRK HIGH YIELD T/F INC - A	FRNRX	FRK NATURAL RESOURCES - A	GAXCX	GLD AGGR GROWTH STRAT - C
EVMOX	EATON MO MUNI - B	EMTMX	EATON UTILITIES - B	*FBCTX	FRK CONSERV TARGET - B	FYIBX	FRK HIGH YIELD T/F INC - B	FXNCX	FRK NC TAX FREE INCOME - A	GSAGX	GLD ASIA GROWTH - A
EVMSX	EATON MS MUNI - B	ECTMX	EATON UTILITIES - C	FTCCX	FRK CONSERV TARGET - C	FHYIX	FRK HIGH YIELD T/F INC - C	FXNCX	FRK NC TAX FREE INCOME - C	GSABX	GLD ASIA GROWTH - B
ETMBX	EATON MUNICIPAL BOND - A	EVVAX	EATON VA MUNI - B	FISCX	FRK CONVERTIBLE SEC - A	FKINX	FRK INCOME - A	FRNJX	FRK NJ TAX FREE INCOME - A	GSACX	GLD ASIA GROWTH - C
EBMBX	EATON MUNICIPAL BOND - B	ETHSX	EATON WW HEALTH SCI - A	FRCTX	FRK CONVERTIBLE SEC - C	FBI CX	FRK INCOME - B	FNJBX	FRK NJ TAX FREE INCOME - B	GSBFX	GLD BALANCED - A
EVMBX	EATON MUNICIPAL BOND - I	EMHSX	EATON WW HEALTH SCI - B	FTCOX	FRK COREFOLIO ALLOC - A	FCIBX	FRK INCOME - B1	FNHIX	FRK NJ TAX FREE INCOME - C	GSBBX	GLD BALANCED - B
EANAX	EATON NATIONAL MUNI - A	ECHSX	EATON WW HEALTH SCI - C	FBCOX	FRK COREFOLIO ALLOC - B	FCISX	FRK INCOME - C	FRNYX	FRK NY INSURED T/F INC - A	GSBCX	GLD BALANCED - C
EVHMX	EATON NATIONAL MUNI - B	EDHSX*	EATON WW HEALTH SCI - D	FTCLX	FRK COREFOLIO ALLOC - C	FISRX	FRK INCOME - R	FRNYKX	FRK NY INSURED T/F INC - C	GIPAX	GLD BALANCED STRATEGY - A
ECHMX	EATON NATIONAL MUNI - C	EAYSX*	EV TAX MNGD YNG SHARE - A	FXCTX	FRK CT TAX FREE INCOME - A	FTPIX	FRK INSURED T/F INCOME - A	FKNIX	FRK NY INTERM T/F INC - A	GIPBX	GLD BALANCED STRATEGY - B
EXNAX	EATON NATL LTD MTY MUN - A	EBYSX*	EV TAX MNGD YNG SHARE - B	FCITX	FRK CT TAX FREE INCOME - C	FBITX	FRK INSURED T/F INCOME - B	FRNYTX	FRK NY TAX FREE INCOME - A	GIPCX	GLD BALANCED STRATEGY - C
ELNAX	EATON NATL LTD MTY MUN - B	ECYSX*	EV TAX MNGD YNG SHARE - C	FRPTX	FRK DOUBLE T/F INCOME - A	FRITX	FRK INSURED T/F INCOME - C	FTFBX	FRK NY TAX FREE INCOME - B	GSCGX	GLD CAPITAL GROWTH - A
EZNAX	EATON NATL LTD MTY MUN - C	FASMX	FID ASSET MANAGER	FRPIX	FRK DOUBLE T/F INCOME - C	FRKYX	FRK KY TAX FREE INCOME - A	FRNYIX	FRK NY TAX FREE INCOME - C	GSCBX	GLD CAPITAL GROWTH - B
EVNCX	EATON NC MUNI - B	FBALX	FID BALANCED	FKDNX	FRK DYNATECH - A	FKLAX	FRK LA TAX FREE INCOME - A	FTOIX	FRK OH INSURED T/F INC - A	GSPCX	GLD CAPITAL GROWTH - C
EVNJX	EATON NJ MUNI - B	FAGIX	FID CAPITAL & INCOME	FDNBX	FRK DYNATECH - B	FLAIX	FRK LA TAX FREE INCOME - C	FBIOX	FRK OH INSURED T/F INC - B	GACSX*	GLD CONSV STRATEGY - A
ETNYX	EATON NY MUNI - A	FDIVX	FID DIVERSIFIED INTL	*FDYNX	FRK DYNATECH - C	FKGAX*	FRK LARGE CAP GROWTH - A	FOITX	FRK OH INSURED T/F INC - C	GBCSX*	GLD CONSV STRATEGY - B
EVNYX	EATON NY MUNI - B	FDGFX	FID DIVIDEND GROWTH	FISEX	FRK EQUITY INCOME - A	FRLGB*	FRK LARGE CAP GROWTH - B	FRORX	FRK OR TAX FREE INCOME - A	GCCSX*	GLD CONSV STRATEGY - C
EVOHX	EATON OH MUNI - B	FGOVX	FID GOVERNMENT INCOME	FBEIX	FRK EQUITY INCOME - B	FKGCX*	FRK LARGE CAP GROWTH - C	FORIX	FRK OR TAX FREE INCOME - C	GCFIX	GLD CORE FIXED INCOME - A
EVPAK	EATON PA MUNI - B	FDGRX	FID GROWTH COMPANY	FRCTX	FRK EQUITY INCOME - C	FLVAX	FRK LARGE CAP VALUE - A	FRPAX	FRK PA TAX FREE INCOME - A	GCFBX	GLD CORE FIXED INCOME - B
EVPRX	EATON PRIME RATE RESERVE	FMAGX	FID MAGELLAN	FRFIX	FRK EQUITY INCOME - R	FBLCX	FRK LARGE CAP VALUE - B	FBPTX	FRK PA TAX FREE INCOME - B	GCFCX	GLD CORE FIXED INCOME - C
ETEGX	EATON S/CAP GROWTH - A	FPURX	FID PURITAN	FKITX	FRK FED INT-TRM T/F INC - A	FLVCX	FRK LARGE CAP VALUE - C	FRPTX	FRK PA TAX FREE INCOME - C	GCAIX	GLD CORE INTL EQUITY - A
EVSEX	EATON SPECIAL EQUITIES - A	FBIOX	FID SEL BIOTECHNOLOGY	FKTIX	FRK FEDERAL T/F INC - A	FRGVX	FRK LTD MAT US GOVT - A	FRREX	FRK REAL ESTATE SEC - A	GCI BX	GLD CORE INTL EQUITY - B
EMSEX	EATON SPECIAL EQUITIES - B	FSELX	FID SEL ELECTRONICS	FFTBX	FRK FEDERAL T/F INC - B	FMISX	FRK MA INSURED T/F INC - A	FBREX	FRK REAL ESTATE SEC - B	GCICX	GLD CORE INTL EQUITY - C
ECSEX	EATON SPECIAL EQUITIES - C	FSPTX	FID SEL TECHNOLOGY	FRFTX	FRK FEDERAL T/F INC - C	FMAIX	FRK MA INSURED T/F INC - C	FRRSX	FRK REAL ESTATE SEC - C	GLCGX	GLD CORE LG CAP GROWTH - A
ETSIX	EATON STRATEGIC INCOME - A	FSIBX	FID SPARTAN INV GRADE BOND	FFLTX	FRK FL INSURED T/F INC - A	FMDTX	FRK MD TAX FREE INCOME - A	FRDPX	FRK RISING DIVIDENDS - A	GCLCX	GLD CORE LG CAP GROWTH - B
EVSGX	EATON STRATEGIC INCOME - B	FIUIX	FID UTILITIES	FRFLX	FRK FL TAX FREE INCOME - A	FMDIX	FRK MD TAX FREE INCOME - C	FRDBX	FRK RISING DIVIDENDS - B	GLCCX	GLD CORE LG CAP GROWTH - C
ECSIX	EATON STRATEGIC INCOME - C	FFIDX	FIDELITY	FRFBX	FRK FL TAX FREE INCOME - B	FTTMX	FRK MI INSURED T/F INC - A	FRDTX	FRK RISING DIVIDENDS - C	GCVAX	GLD CORE LG CAP VALUE - A
EADIX	EATON T/M DIV INCOME - A	FISAX	FRK ADJUST US GOV SECS - A	FRFIX	FRK FL TAX FREE INCOME - C	FBMIX	FRK MI INSURED T/F INC - B	FSGRX	FRK SMALL CAP GRW II - A	GCVBX	GLD CORE LG CAP VALUE - B
EBDIX	EATON T/M DIV INCOME - B	FCSCX	FRK ADJUST US GOV SECS - C	FKCGX	FRK FLEX CAP GROWTH - A	FRMTX	FRK MI INSURED T/F INC - C	FBSGX	FRK SMALL CAP GRW II - B	GVCX	GLD CORE LG CAP VALUE - C
EDCIX	EATON T/M DIV INCOME - C	AGEFX	FRK AGE HIGH INCOME - A	FKCBX	FRK FLEX CAP GROWTH - B	FRMCX	FRK MICROCAP VALUE - A	FCSGX	FRK SMALL CAP GRW II - C	GCSAX	GLD CORE SM CAP EQUITY - A

NON-PROPRIETARY FUNDS

GCSBX	GLD CORE SM CAP EQUITY - B	GCMAF	GLD MID CAP VALUE - A	IEUC**	INVESCO EUROPEAN - C	IREC**	INVESCO REAL EST OPP - C	JACCX	JANUS ADV FORTY - C	LAUCX*	LIB ACORN USA - C
GCSCX	GLD CORE SM CAP EQUITY - C	GCMBX	GLD MID CAP VALUE - B	IEUCX*	INVESCO EUROPEAN - C	IVS**	INVESCO REAL EST OPP INV	JARTX	JANUS ADV FORTY - I	AUSAX*	LIB ACORN USA - Z
GCTAX	GLD CORE TAX MNGD EQ - A	GCMCX	GLD MID CAP VALUE - C	FEUR**	INVESCO EUROPEAN INV	IVSRX*	INVESCO REAL EST OPP INV	JGICX	JANUS ADV GRW & INC - C	LAAX*	LIB ASSET ALLOCATION - A
GCTBX	GLD CORE TAX MNGD EQ - B	GSMIX	GLD MUNICIPAL INCOME - A	FEURX*	INVESCO EUROPEAN INV	ISPIX*	INVESCO S&P 500 INDEX INV	JADGX	JANUS ADV GRW & INC - I	LAABX*	LIB ASSET ALLOCATION - B
GCTCX	GLD CORE TAX MNGD EQ - C	GSMBX	GLD MUNICIPAL INCOME - B	IFSAX*	INVESCO FIN SERVICES - A	IAS**	INVESCO SELECT INCOME - A	JIGCX	JANUS ADV INTL GROWTH - C	GBAAX*	LIB ASSET ALLOCATION - G
GSSQX	GLD CORE US EQUITY - A	GSMUX	GLD MUNICIPAL INCOME - C	IFSAX*	INVESCO FIN SERVICES - B	IASIX*	INVESCO SELECT INCOME - A	JIGRX	JANUS ADV INTL GROWTH - I	GAAX*	LIB ASSET ALLOCATION - T
GSSBX	GLD CORE US EQUITY - B	GREAX	GLD REAL ESTATE SEC - A	IFSCX*	INVESCO FIN SERVICES - C	IBSI**	INVESCO SELECT INCOME - B	JGOCX	JANUS ADV L/CAP GROWTH - C	GAATX*	LIB ASSET ALLOCATION - Z
GSUSX	GLD CORE US EQUITY - C	GREBX	GLD REAL ESTATE SEC - B	IFSFX*	INVESCO FIN SERVICES INV	IBSI**	INVESCO SELECT INCOME - B	JGORX	JANUS ADV L/CAP GROWTH - I	CLMPX*	LIB CA TAX EXEMPT - A
GEMAX	GLD EMERGING MKTS EQTY - A	GRECX	GLD REAL ESTATE SEC - C	IGDAX*	INVESCO GLD & PREC MET - A	ISIC**	INVESCO SELECT INCOME - C	JGRTX	JANUS ADV M/CAP GROWTH - I	CHI**	LIB CONTRARIAN INC - A
GEKXB	GLD EMERGING MKTS EQTY - B	GSRAJ	GLD RESEARCH SELECT - A	IGDBX*	INVESCO GLD & PREC MET - B	FBDF**	INVESCO SELECT INCOME INV	IMVEX	JANUS ADV M/CAP VALUE - C	LCTAX*	LIB CT INTERM MUNI BD - A
GSEAX	GLD EUROPEAN EQUITY - A	GSRBX	GLD RESEARCH SELECT - B	IGDCX*	INVESCO GLD & PREC MET - C	FBDSX*	INVESCO SELECT INCOME INV	IMVIX	JANUS ADV M/CAP VALUE - I	LCTBX*	LIB CT INTERM MUNI BD - B
GSUBX	GLD EUROPEAN EQUITY - B	GSRCX	GLD RESEARCH SELECT - C	FGLDX*	INVESCO GLD & PREC MET INV	ISGAX*	INVESCO SMALL CO GRW - A	JLCCX	JANUS ADV RISK MGD COR - C	LEGAX*	LIB EQUITY GROWTH - A
GSUCX	GLD EUROPEAN EQUITY - C	GSSDX	GLD SHORT DUR GOVT - A	IGWAX*	INVESCO GLOBAL GROWTH - A	ISGBX*	INVESCO SMALL CO GRW - B	JLCIX	JANUS ADV RISK MGD COR - I	LEGBX*	LIB EQUITY GROWTH - B
GGCAX*	GLD GLOBAL CONS GRW - A	GSDGX	GLD SHORT DUR GOVT - B	IGWBX*	INVESCO GLOBAL GROWTH - B	ISGCX*	INVESCO SMALL CO GRW - C	JGCGX	JANUS ADV RISK MGD GRW - C	LEGCX*	LIB EQUITY GROWTH - C
GGCBX*	GLD GLOBAL CONS GRW - B	GSDCX	GLD SHORT DUR GOVT - C	IGWCX*	INVESCO GLOBAL GROWTH - C	FIEGX*	INVESCO SMALL CO GRW INV	JCGIX	JANUS ADV RISK MGD GRW - I	GBEGX*	LIB EQUITY GROWTH - G
GGCCX*	GLD GLOBAL CONS GRW - C	GSDTX	GLD SHORT DUR T/F - A	IAGWX*	INVESCO GROWTH - A	IXBA**	INVESCO TAX FREE BOND - A	JGSCX	JANUS ADV SMALL CO VAL - C	GAEGX*	LIB EQUITY GROWTH - T
GGFAX*	GLD GLOBAL FIN SERVS - A	GSDBX	GLD SHORT DUR T/F - B	IAGWX*	INVESCO GROWTH - A	IXBA**	INVESCO TAX FREE BOND - A	JJSCX	JANUS ADV SMALL CO VAL - I	LEVAX*	LIB EQUITY VALUE - A
GGFBX*	GLD GLOBAL FIN SERVS - B	GSTCX	GLD SHORT DUR T/F - C	IGBC**	INVESCO GROWTH - C	IXBB**	INVESCO TAX FREE BOND - B	JJVCX*	JANUS ADV STRAT VALUE - C	LEVBX*	LIB EQUITY VALUE - B
GGFCX*	GLD GLOBAL FIN SERVS - C	GSSMX	GLD SMALL CAP VALUE - A	IBGCX*	INVESCO GROWTH - C	ITFC**	INVESCO TAX FREE BOND - C	JASVX*	JANUS ADV STRAT VALUE - I	GEVBX*	LIB EQUITY VALUE - G
GHSAX*	GLD GLOBAL HEALTH SCI - A	GSQBX	GLD SMALL CAP VALUE - B	IGIA**	INVESCO GROWTH & INC - A	ITFC**	INVESCO TAX FREE BOND - C	JWWCX	JANUS ADV WORLDWIDE - C	GALEX*	LIB EQUITY VALUE - T
GHSBX*	GLD GLOBAL HEALTH SCI - B	GSSCX	GLD SMALL CAP VALUE - C	IGIAX*	INVESCO GROWTH & INC - A	FTI**	INVESCO TAX FREE BOND INV	JWGRX	JANUS ADV WORLDWIDE - I	CFA5X*	LIB FEDERAL SECURITIES - A
GHSXC*	GLD GLOBAL HEALTH SCI - C	GGRAX	GLD STRATEGIC GROWTH - A	IGIB**	INVESCO GROWTH & INC - B	FTIFX*	INVESCO TAX FREE BOND INV	JABAX	JANUS BALANCED	CF5OX*	LIB FEDERAL SECURITIES - B
GSGIX	GLD GLOBAL INCOME - A	GSWBX	GLD STRATEGIC GROWTH - B	IGRC**	INVESCO GROWTH & INC - C	ITYAX*	INVESCO TECHNOLOGY - A	JSVAX	JANUS CONTRARIAN	CF5CX*	LIB FEDERAL SECURITIES - C
GSLBX	GLD GLOBAL INCOME - B	GGRCX	GLD STRATEGIC GROWTH - C	IVG**	INVESCO GROWTH & INC INV	ITYBX*	INVESCO TECHNOLOGY - B	JAEIX	JANUS CORE EQUITY	CFGAX*	LIB GROWTH & INCOME - A
GSLCX	GLD GLOBAL INCOME - C	GITAX	GLD TOLLKEEPER - A	IVGX*	INVESCO GROWTH & INC INV	ITHCX*	INVESCO TECHNOLOGY - C	JAEIX	JANUS ENTERPRISE	CFGBX*	LIB GROWTH & INCOME - B
GIRBX*	GLD GLOBAL INFRA & RES - B	GITBX	GLD TOLLKEEPER - B	FLRFX*	INVESCO GROWTH INV	FTCHX*	INVESCO TECHNOLOGY INV	JATEX	JANUS FEDERAL TAX EXEMPT	CFGDX*	LIB GROWTH & INCOME - C
GIRCX*	GLD GLOBAL INFRA & RES - C	GITCX	GLD TOLLKEEPER - C	FLR**	INVESCO GROWTH INV	ITR**	INVESCO TELECOMM - A	JAFIX	JANUS FLEXIBLE BOND	LGISX*	LIB GROWTH & INCOME - Z
GGTAX*	GLD GLOBAL TECHNOLOGY - A	GSAMX	GLD ULTRA S/DUR GOVT - A	IAHSX*	INVESCO HEALTH SCIENCE - A	ITLAX*	INVESCO TELECOMM - A	JANSX	JANUS FUND	CGSAX*	LIB GROWTH STOCK - A
GGTBX*	GLD GLOBAL TECHNOLOGY - B	IAGHX*	INV ADV GLBL HLTH SCI - A	IBHSX*	INVESCO HEALTH SCIENCE - B	ITL**	INVESCO TELECOMM - B	JAGLX	JANUS GLOBAL LIFE SCIENCES	CGSBX*	LIB GROWTH STOCK - B
GGTCX*	GLD GLOBAL TECHNOLOGY - C	IGHBX*	INV ADV GLBL HLTH SCI - B	IHSX**	INVESCO HEALTH SCIENCE - C	INTC**	INVESCO TELECOMM - C	JGVAX	JANUS GLOBAL OPPORTUNITIES	CGSCX*	LIB GROWTH STOCK - C
GSGOX	GLD GOVERNMENT INCOME - A	IGHCX*	INV ADV GLBL HLTH SCI - C	FHLSX*	INVESCO HEALTH SCIENCE INV	INTCX*	INVESCO TELECOMM - C	JAGTX	JANUS GLOBAL TECHNOLOGY	SRSFX*	LIB GROWTH STOCK - Z
GSOBX	GLD GOVERNMENT INCOME - B	IBVAX*	INV INTL CORE EQUITY - A	IAHY**	INVESCO HIGH YIELD - A	ISW**	INVESCO TELECOMM INV	JAGIX	JANUS GROWTH & INCOME	LHIAH*	LIB HIGH YIELD MUNI - A
GSOCX	GLD GOVERNMENT INCOME - C	IBVBX*	INV INTL CORE EQUITY - B	IAHY**	INVESCO HIGH YIELD - A	ISW**	INVESCO TELECOMM INV	JAHYX	JANUS HIGH YIELD	CHMCX*	LIB HIGH YIELD MUNI - C
GSGRX	GLD GROWTH & INCOME - A	IBVCX*	INV INTL CORE EQUITY - C	IBHY**	INVESCO HIGH YIELD - B	IATRX*	INVESCO TOTAL RETURN - A	JTWOX*	JANUS II FUND	SRHMX*	LIB HIGH YIELD MUNI - Z
GSGBX	GLD GROWTH & INCOME - B	IBCCX*	INV INTL CORE EQUITY INV	IBHY**	INVESCO HIGH YIELD - B	IBTRX*	INVESCO TOTAL RETURN - B	JAMRX	JANUS MERCURY	COLHX*	LIB HIGH YIELD SECS - A
GSGCX	GLD GROWTH & INCOME - C	IADA**	INVESCO ADVANTAGE - A	IHYC**	INVESCO HIGH YIELD - C	ITRCX*	INVESCO TOTAL RETURN - C	JMCVX	JANUS MID CAP VALUE INV	COHXB*	LIB HIGH YIELD SECS - B
GGSAJ	GLD GROWTH STRATEGY - A	IADAX*	INVESCO ADVANTAGE - A	IHYCX*	INVESCO HIGH YIELD - C	FSFLX*	INVESCO TOTAL RETURN INV	JAOIX	JANUS OLYMPUS	GHCYX*	LIB HIGH YIELD SECS - C
GGSBX	GLD GROWTH STRATEGY - B	IADB**	INVESCO ADVANTAGE - B	FHY**	INVESCO HIGH YIELD INV	IGVAX**	INVESCO US GOVT SECS - A	JORNX	JANUS ORION FUND	LHYZX*	LIB HIGH YIELD SECS - Z
GGSCX	GLD GROWTH STRATEGY - C	IAD**	INVESCO ADVANTAGE - C	FHY**	INVESCO HIGH YIELD INV	IGVAX**	INVESCO US GOVT SECS - A	JAOIX	JANUS OVERSEAS	LHAX*	LIB INCOME - A
GOIAX	GLD GRW & INC STRAT - A	IBLAX**	INVESCO BALANCED - A	IIBC**	INVESCO INTL BLU CHP VL INV	IGVB**	INVESCO US GOVT SECS - B	JASBX	JANUS SHORT TERM BOND	SRINX*	LIB INCOME - Z
GOIBX	GLD GRW & INC STRAT - B	IBLAX**	INVESCO BALANCED - A	IBVA**	INVESCO INTL BLUE CHIP - A	IGVBX*	INVESCO US GOVT SECS - B	JSCVX	JANUS SMALL CAP VALUE INV	LIBAX*	LIB INTERM BOND - A
GOICX	GLD GRW & INC STRAT - C	IBLB**	INVESCO BALANCED - B	IBVB**	INVESCO INTL BLUE CHIP - B	IUGC**	INVESCO US GOVT SECS - C	JASSX*	JANUS SPECIAL SITUATIONS	LIBBX*	LIB INTERM BOND - B
GGOAX	GLD GRW OPPORTUNITIES - A	IBLBX**	INVESCO BALANCED - B	IBV**	INVESCO INTL BLUE CHIP - C	IUGC**	INVESCO US GOVT SECS - C	JAVLX	JANUS TWENTY	LIRBX*	LIB INTERM BOND - C
GGOBX	GLD GRW OPPORTUNITIES - B	IBNC**	INVESCO BALANCED - C	ILSAX*	INVESCO LEISURE - A	FB*GX*	INVESCO US GOVT SECS INV	JAVTX	JANUS VENTURE FUND	SRFBX*	LIB INTERM BOND - Z
GGOCX	GLD GRW OPPORTUNITIES - C	IBNCX*	INVESCO BALANCED - C	ILSBX*	INVESCO LEISURE - B	FBDGX*	INVESCO US GOVT SECS INV	JAWWX	JANUS WORLDWIDE	LIGAX*	LIB INTERM GOVT INC - A
GSHAX	GLD HIGH YIELD - A	IMA**	INVESCO BALANCED INV	IVLCX*	INVESCO LEISURE - C	IAUTX*	INVESCO UTILITIES - A	LACAX*	LIB ACORN - A	LIGBX*	LIB INTERM GOVT INC - B
GSHBX	GLD HIGH YIELD - B	IMABX**	INVESCO BALANCED INV	FLISX*	INVESCO LEISURE INV	IBUTX*	INVESCO UTILITIES - B	LACBX*	LIB ACORN - B	LIGCX*	LIB INTERM GOVT INC - C
GSHCX	GLD HIGH YIELD - C	ICEAX*	INVESCO CORE EQUITY - A	IMGA**	INVESCO MID-CAP GROWTH - A	IUTCX*	INVESCO UTILITIES - C	LIACX*	LIB ACORN - C	GALBX*	LIB INTERM GOVT INC - T
GHYAX	GLD HIGH YIELD MUNI - A	ICEBX*	INVESCO CORE EQUITY - B	IMGAX*	INVESCO MID-CAP GROWTH - A	FSTUX*	INVESCO UTILITIES INV	ACRNX*	LIB ACORN - Z	LITAX*	LIB INTERM TAX EX BD - A
GHYBX	GLD HIGH YIELD MUNI - B	IINCX*	INVESCO CORE EQUITY - C	IMGB**	INVESCO MID-CAP GROWTH - B	IAVE**	INVESCO VALUE EQUITY - A	LAFAX*	LIB ACORN FOREIGN 40 - A	LITBX*	LIB INTERM TAX EX BD - B
GHYCX	GLD HIGH YIELD MUNI - C	FIIX*	INVESCO CORE EQUITY INV	IMGB**	INVESCO MID-CAP GROWTH - B	IBVE**	INVESCO VALUE EQUITY - B	LFBBX*	LIB ACORN FOREIGN 40 - B	LITCX*	LIB INTERM TAX EX BD - C
GSIFX	GLD INTL EQUITY - A	IDYAX*	INVESCO DYNAMICS - A	IMGC**	INVESCO MID-CAP GROWTH - C	IVAC**	INVESCO VALUE EQUITY - C	LFPCX*	LIB ACORN FOREIGN 40 - C	SETMX*	LIB INTERM TAX EX BD - Z
GSEBX	GLD INTL EQUITY - B	IDYBX*	INVESCO DYNAMICS - B	IMGCX*	INVESCO MID-CAP GROWTH - C	FSE**	INVESCO VALUE EQUITY INV	ACFFX*	LIB ACORN FOREIGN 40 - Z	LIEA**	LIB INTL EQUITY - A
GSICX	GLD INTL EQUITY - C	IFDCX*	INVESCO DYNAMICS - C	IAMS**	INVESCO MULTI-SECTOR - A	FSEQX*	INVESCO VALUE EQUITY INV	LAIAX*	LIB ACORN INTL - A	LIEB**	LIB INTL EQUITY - B
GISAX	GLD INTL GROWTH OPPS - A	FIDYX*	INVESCO DYNAMICS INV	IAMSX*	INVESCO MULTI-SECTOR - A	JABCX	JANUS ADV BALANCED - C	LIABX*	LIB ACORN INTL - B	LIEC**	LIB INTL EQUITY - C
GISBX	GLD INTL GROWTH OPPS - B	INECX*	INVESCO ENDEAVOR - C	IBMS**	INVESCO MULTI-SECTOR - B	JABRX	JANUS ADV BALANCED - I	LAICX*	LIB ACORN INTL - C	GBIE**	LIB INTL EQUITY - G
GISCX	GLD INTL GROWTH OPPS - C	IVENX*	INVESCO ENDEAVOR INV	IBMSX*	INVESCO MULTI-SECTOR - B	JADCX	JANUS ADV CORE EQUITY - C	ACINX*	LIB ACORN INTL - Z	GAIE**	LIB INTL EQUITY - T
GSJAX	GLD JAPANESE EQUITY - A	IENAX*	INVESCO ENERGY - A	ICMS**	INVESCO MULTI-SECTOR - C	JADFX	JANUS ADV CORE EQUITY - I	LTFAX*	LIB ACORN TWENTY - A	LCCAX*	LIB LARGE CAP CORE - A
GSJBX	GLD JAPANESE EQUITY - B	IENBX*	INVESCO ENERGY - B	ICMSX*	INVESCO MULTI-SECTOR - C	JFICX	JANUS ADV FLEX BOND - C	LTFBX*	LIB ACORN TWENTY - B	LCCBX*	LIB LARGE CAP CORE - B
GSJCX	GLD JAPANESE EQUITY - C	IEFCX*	INVESCO ENERGY - C	IARE**	INVESCO REAL EST OPP - A	JADFX	JANUS ADV FLEX BOND - I	LTFPX*	LIB ACORN TWENTY - C	LCCCX*	LIB LARGE CAP CORE - C
GSLAX	GLD LARGE CAP VALUE - A	FSTEX*	INVESCO ENERGY INV	IAREX*	INVESCO REAL EST OPP - A	JVUIX	JANUS ADV FOCUS VALUE - I	ACTWX*	LIB ACORN TWENTY - Z	GGRBX*	LIB LARGE CAP CORE - G
GSVBX	GLD LARGE CAP VALUE - B	IEUA**	INVESCO EUROPEAN - A	IBRE**	INVESCO REAL EST OPP - B	JGVCX	JANUS ADV FOREIGN STK - C	LAUAX*	LIB ACORN USA - A	SGIEX*	LIB LARGE CAP CORE - T
GSVCX	GLD LARGE CAP VALUE - C	IEUB**	INVESCO EUROPEAN - B	IBREX*	INVESCO REAL EST OPP - B	JADVX	JANUS ADV FOREIGN STK - I	LAUBX*	LIB ACORN USA - B	LMIAX*	LIB MA INTERM MUNI BD - A

NON-PROPRIETARY FUNDS

LMBX*	LIB MA INTERM MUNI BD - B	LTIX*	LIB TAX MANAGED GRW II - B	MWOFX	MFS GLOBAL GROWTH - A	MAMAX	MFS MODERATE ALLOC - A	MEICX	MFS VALUE - C	ODINX	OPP DISCOVERY - N
LMICX*	LIB MA INTERM MUNI BD - C	SRVAX*	LIB TAX MANAGED VALUE - A	MWOBX	MFS GLOBAL GROWTH - B	MMABX	MFS MODERATE ALLOC - B	MFVWX	MFS WV MUNI BOND - A	OEGAX	OPP EMERGING GROWTH - A
COMAX*	LIB MA TAX EXEMPT - A	CTMVX*	LIB TAX MANAGED VALUE - B	MWOCX	MFS GLOBAL GROWTH - C	MMACX	MFS MODERATE ALLOC - C	MNCTX*	MONT CA TAX FREE INTRM BD	OEGBX	OPP EMERGING GROWTH - B
LMMAX*	LIB MANAGED MUNICIPALS - A	LTVCX*	LIB TAX MANAGED VALUE - C	MGTAX*	MFS GLOBAL TELECOM - A	MISSX	MFS MS MUNI BOND - A	MNEAX*	MONT EMERGING ASIA	OEGCX	OPP EMERGING GROWTH - C
SRMMX*	LIB MANAGED MUNICIPALS - Z	LTMA**	LIB TAX MNGD AGGR GRW - A	MGBTX*	MFS GLOBAL TELECOM - B	MMHYX	MFS MUNI HIGH INCOME - A	MNEMX*	MONT EMERGING MARKETS - R	OETAX	OPP EMERGING TECH - A
NWAP**	LIB NEW ASIA PACIFIC - A	CUTLX*	LIB UTILITIES - A	MGCTX*	MFS GLOBAL TELECOM - C	MMHBY	MFS MUNI HIGH INCOME - B	MNEFX*	MONT EMRG MKTS FOCUS - R	OETBX	OPP EMERGING TECH - B
CGUAX*	LIB NEWPORT GLOBAL EQ - A	CUTBX*	LIB UTILITIES - B	MFWTX	MFS GLOBAL TOTAL RET - A	MMHCX	MFS MUNI HIGH INCOME - C	MNGCX*	MONT GL TECH,TELE& MED - R	OETCX	OPP EMERGING TECH - C
CGUBX*	LIB NEWPORT GLOBAL EQ - B	CUTFX*	LIB UTILITIES - C	MFWBX	MFS GLOBAL TOTAL RET - B	MTLFX	MFS MUNI LTD MATURITY - A	MNSFX*	MONT GLOBAL FOCUS - R	OENAX	OPP ENTERPRISE - A
NJOA**	LIB NEWPORT JAPAN OPP - A	LYIAX*	LIB YOUNG INVESTOR - A	MPWCX	MFS GLOBAL TOTAL RET - C	MTLBY	MFS MUNI LTD MATURITY - B	MNGOX*	MONT GLOBAL OPSS - R	OENBX	OPP ENTERPRISE - B
NJOB**	LIB NEWPORT JAPAN OPP - B	LYIBX*	LIB YOUNG INVESTOR - B	MGLFX	MFS GOVLT LTD MATURITY - A	MTLCX	MFS MUNI LTD MATURITY - C	MNGFX*	MONT GROWTH - R	OENCX	OPP ENTERPRISE - C
NJOC**	LIB NEWPORT JAPAN OPP - C	LYICX*	LIB YOUNG INVESTOR - C	MGLBX	MFS GOVLT LTD MATURITY - B	MMBFX	MFS MUNICIPAL BOND - A	MNIGX*	MONT INTL GROWTH - R	OENNX	OPP ENTERPRISE - N
CNTAX*	LIB NEWPORT TIGER - A	SRYIX*	LIB YOUNG INVESTOR - Z	MGLCX	MFS GOVLT LTD MATURITY - C	MMBBX	MFS MUNICIPAL BOND - B	MNMCX*	MONT MID CAP - R	OEQAX	OPP EQUITY - A
CNTBX*	LIB NEWPORT TIGER - B	COLFX*	LIBERTY FUND - A	MGMTX*	MFS GOVT MORTGAGE - A	MFIAX	MFS MUNICIPAL INCOME - A	MNGLX*	MONT PART LG/SHORT EQ PLUS	OEQBX	OPP EQUITY - B
CNTDX*	LIB NEWPORT TIGER - C	CCFBX*	LIBERTY FUND - B	MGTBX*	MFS GOVT MORTGAGE - B	MMIBX	MFS MUNICIPAL INCOME - B	MSDAX*	MONT SHORT DUR GOVT BD - A	OEQCX	OPP EQUITY - C
CNTZX*	LIB NEWPORT TIGER - Z	CTCCX*	LIBERTY FUND - C	MFGSX	MFS GOVT SECURITIES - A	MMICX	MFS MUNICIPAL INCOME - C	MSDBX*	MONT SHORT DUR GOVT BD - B	OEFAX*	OPP EUROPE - A
LNIAX*	LIB NJ INTERM MUNI BD - A	MINMX	MELLON INTERM MUNI BD INV	MFGBX	MFS GOVT SECURITIES - B	MSNCX	MFS NC MUNI BOND - A	MSDCX*	MONT SHORT DUR GOVT BD - C	OEFBX*	OPP EUROPE - B
LNIBX*	LIB NJ INTERM MUNI BD - B	MIMSX*	MELLON MID CAP STOCK INV	MFGDX	MFS GOVT SECURITIES - C	MBNCX	MFS NC MUNI BOND - B	MNSGX*	MONT SHORT DUR GOVT BD - R	OEFXX*	OPP EUROPE - C
LNICX*	LIB NJ INTERM MUNI BD - C	MMSPX	MELLON MID CAP STOCK PREM	MAGWX	MFS GROWTH ALLOC - A	MNCX	MFS NC MUNI BOND - C	MNSCX*	MONT SMALL CAP - R	OPPAX	OPP GLOBAL - A
NGCAX*	LIB NW GREATER CHINA - A	MNMBX	MELLON NATL INT MUN BD PRM	MBGWX	MFS GROWTH ALLOC - B	MNDAX	MFS NEW DISCOVERY - A	MBFAX*	MONT TOTAL RETURN BOND - A	OGLBX	OPP GLOBAL - B
NGCBX*	LIB NW GREATER CHINA - B	MAAGX	MFS AGGR GRW ALLOC - A	MCGWX	MFS GROWTH ALLOC - C	MNDPX	MFS NEW DISCOVERY - B	MBFBX*	MONT TOTAL RETURN BOND - B	OGLCX	OPP GLOBAL - C
LNAX*	LIB NY INTERM MUNI BD - A	MBAGX	MFS AGGR GRW ALLOC - B	MGOFX	MFS GROWTH OPP - A	MNDXX	MFS NEW DISCOVERY - C	MBFCX*	MONT TOTAL RETURN BOND - C	OGLNX	OPP GLOBAL - N
LNBYX*	LIB NY INTERM MUNI BD - B	MCAGX	MFS AGGR GRW ALLOC - C	MGOBX	MFS GROWTH OPP - B	MECAX	MFS NEW ENDEAVOR - A	MNTRX*	MONT TOTAL RETURN BOND - R	OPGIX	OPP GLOBAL OPSS - A
LNVCX*	LIB NY INTERM MUNI BD - C	MFALX	MFS AL MUNI BOND - A	MHITX	MFS HIGH INCOME - A	MECBX	MFS NEW ENDEAVOR - B	OPTAX	OPP AMT FREE MUNI - A	OGGIX	OPP GLOBAL OPSS - B
COLNX*	LIB NY TAX EXEMPT - A	MFARX	MFS AR MUNI BOND - A	MHIBX	MFS HIGH INCOME - B	MECCX	MFS NEW ENDEAVOR - C	OTFBX	OPP AMT FREE MUNI - B	OGICX	OPP GLOBAL OPSS - C
LPIAX*	LIB PA INTERM MUNI BD - A	MBARX	MFS AR MUNI BOND - B	MHICX	MFS HIGH INCOME - C	MSNYX	MFS NY MUNI BOND - A	OMFCX	OPP AMT FREE MUNI - C	OGINX	OPP GLOBAL OPSS - N
LQPAX*	LIB QUALITY PLUS BOND - A	MBFBX	MFS BOND - A	MHOAX	MFS HIGH YIELD OPP - A	MBNYX	MFS NY MUNI BOND - B	OPNYX	OPP AMT FREE NY MUNI - A	OPGSX	OPP GOLD & SP MINERALS - A
LQPBX*	LIB QUALITY PLUS BOND - B	MBFBX	MFS BOND - B	MHOBX	MFS HIGH YIELD OPP - B	MCNYX	MFS NY MUNI BOND - C	ONYBX	OPP AMT FREE NY MUNI - B	OGMBX	OPP GOLD & SP MINERALS - B
LQPCX*	LIB QUALITY PLUS BOND - C	MBFCX	MFS BOND - C	MHOCX	MFS HIGH YIELD OPP - C	MFPAX	MFS PA MUNI BOND - A	ONCYX	OPP AMT FREE NY MUNI - C	OGMCX	OPP GOLD & SP MINERALS - C
GBHQX*	LIB QUALITY PLUS BOND - G	MCFTX	MFS CA MUNI BOND - A	MGRAX	MFS INTL GROWTH - A	MBPAX	MFS PA MUNI BOND - B	OPASX	OPP BALANCED - A	OPPSX	OPP GROWTH - A
GAHQX*	LIB QUALITY PLUS BOND - T	MBCAX	MFS CA MUNI BOND - B	MGRBX	MFS INTL GROWTH - B	MFRFX	MFS RESEARCH - A	OASBX	OPP BALANCED - B	OPSBX	OPP GROWTH - B
LRIAX*	LIB RI INTERM MUNI BD - A	MCCAX	MFS CA MUNI BOND - C	MGRCX	MFS INTL GROWTH - C	MFRBX	MFS RESEARCH - B	OASCX	OPP BALANCED - C	OGRCX	OPP GROWTH - C
LRIBX*	LIB RI INTERM MUNI BD - B	MCOFX	MFS CAPITAL OPSS - A	MIDAX	MFS INTL NEW DISC - A	MFRCX	MFS RESEARCH - C	OASNX	OPP BALANCED - N	OPPHX	OPP HIGH YIELD - A
LRICX*	LIB RI INTERM MUNI BD - C	MCOBX	MFS CAPITAL OPSS - B	MIDBX	MFS INTL NEW DISC - B	MRBFX	MFS RESEARCH BOND - A	OPCAX	OPP CA MUNICIPAL - A	OHYBX	OPP HIGH YIELD - B
GRBAX*	LIB RI INTERM MUNI BD - T	MCOCX	MFS CAPITAL OPSS - C	MIDCX	MFS INTL NEW DISC - C	MRBBX	MFS RESEARCH BOND - B	OCABX	OPP CA MUNICIPAL - B	OHYCX	OPP HIGH YIELD - C
COLGX*	LIB SELECT VALUE - A	MACFX	MFS CONSERV ALLOC - A	MGIAX	MFS INTL VALUE - A	MRBCX	MFS RESEARCH BOND - C	OCACX	OPP CA MUNICIPAL - C	OPISX*	OPP INSURED MUNI - A
COGBX*	LIB SELECT VALUE - B	MACBX	MFS CONSERV ALLOC - B	MGBX	MFS INTL VALUE - B	MRSAX	MFS RESEARCH INTL - A	OPTFX	OPP CAPITAL APPREC - A	OIBAX	OPP INTL BOND - A
CSVX*	LIB SELECT VALUE - C	MACVX	MFS CONSERV ALLOC - C	MGICX	MFS INTL VALUE - C	MRIBX	MFS RESEARCH INTL - B	OTGBX	OPP CAPITAL APPREC - B	OIBBX	OPP INTL BOND - B
LSVSX*	LIB SELECT VALUE - Z	MARGX	MFS CORE EQUITY - A	MGBFX	MFS INTRM INV GRW BD - A	MRICX	MFS RESEARCH INTL - C	OTFCX	OPP CAPITAL APPREC - C	OIBCX	OPP INTL BOND - C
LSMAX*	LIB SMALL CAP - A	MRGBX	MFS CORE EQUITY - B	MBVX	MFS INTRM INV GRW BD - B	MFSCX	MFS SC MUNI BOND - A	OTCNX	OPP CAPITAL APPREC - N	OIGAX	OPP INTL GROWTH - A
LSMBX*	LIB SMALL CAP - B	MARGCX	MFS CORE EQUITY - C	MGBXC	MFS INTRM INV GRW BD - C	MBSXC	MFS SC MUNI BOND - B	OPPEX	OPP CAPITAL INCOME - A	IGRWX	OPP INTL GROWTH - B
LSMCX*	LIB SMALL CAP - C	MFCAX	MFS CORE GROWTH - A	MCGAX*	MFS LARGE CAP GROWTH - A	MFSGX	MFS STRAT GROWTH - A	OPEBX	OPP CAPITAL INCOME - B	OIGCX	OPP INTL GROWTH - C
GBSMX*	LIB SMALL CAP - G	MFCBX	MFS CORE GROWTH - B	MCGBX*	MFS LARGE CAP GROWTH - B	MSBGX	MFS STRAT GROWTH - B	OPECX	OPP CAPITAL INCOME - C	OSMAX	OPP INTL SMALL COMPANY - A
SSCEX*	LIB SMALL CAP - T	MFFCX	MFS CORE GROWTH - C	MQLFX	MFS LIMITED MATURITY - A	MFGCX	MFS STRAT GROWTH - C	OPCHX	OPP CHAMPION INCOME - A	OSMBX	OPP INTL SMALL COMPANY - B
CSMIX*	LIB SMALL CAP VALUE - A	MFEGX	MFS EMERGING GROWTH - A	MQLBX	MFS LIMITED MATURITY - B	MFIQX	MFS STRAT INCOME - A	OCHBX	OPP CHAMPION INCOME - B	OSMCX	OPP INTL SMALL COMPANY - C
CSNBX*	LIB SMALL CAP VALUE - B	MEGBX	MFS EMERGING GROWTH - B	MQLCX	MFS LIMITED MATURITY - C	MIOBX	MFS STRAT INCOME - B	OCHCX	OPP CHAMPION INCOME - C	OSMNX	OPP INTL SMALL COMPANY - N
CSSCX*	LIB SMALL CAP VALUE - C	MFECCX	MFS EMERGING GROWTH - C	MIGFX	MFS MA INV GRW STOCK - A	MIOCX	MFS STRAT INCOME - C	OCHNX	OPP CHAMPION INCOME - N	OLGFX*	OPP LARGE CAP GROWTH - A
LSEAX*	LIB SMALL COMPANY EQ - A	MEDAX	MFS EMERGING MKTS DEBT - A	MIGBX	MFS MA INV GRW STOCK - B	MSVTX	MFS STRAT VALUE - A	RCVAX	OPP CONVERTIBLE SECS - A	OLGBX*	OPP LARGE CAP GROWTH - B
LSEBX*	LIB SMALL COMPANY EQ - B	MEDBX	MFS EMERGING MKTS DEBT - B	MIGDX	MFS MA INV GRW STOCK - C	MSVLX	MFS STRAT VALUE - B	RCVBX	OPP CONVERTIBLE SECS - B	OPTIX	OPP LTD INTERM MUNI - A
GASEX*	LIB SMALL COMPANY EQ - T	MEDCX	MFS EMERGING MKTS DEBT - C	MITTX	MFS MA INV TRUST - A	MQSVX	MFS STRAT VALUE - C	RCVCX	OPP CONVERTIBLE SECS - C	OIMBX	OPP LTD INTERM MUNI - B
LBSAX*	LIB STRATEGIC EQUITY - A	MEMAX	MFS EMERGING MKTS EQTY - A	MITBX	MFS MA INV TRUST - B	MTACX	MFS TECHNOLOGY - A	RCVGX	OPP CONVERTIBLE SECS - M	OITCX	OPP LTD INTERM MUNI - C
LBSBX*	LIB STRATEGIC EQUITY - B	MEMBX	MFS EMERGING MKTS EQTY - B	MITCX	MFS MA INV TRUST - C	MTCBX	MFS TECHNOLOGY - B	OPIGX	OPP CORE BOND - A	OPGVX	OPP LTD TERM GOVT - A
LBSCX*	LIB STRATEGIC EQUITY - C	MEMCX	MFS EMERGING MKTS EQTY - C	MFSXX	MFS MA MUNI BOND - A	MTCCX	MFS TECHNOLOGY - C	OIGBX	OPP CORE BOND - B	OSGBX	OPP LTD TERM GOVT - B
GEQAX*	LIB STRATEGIC EQUITY - T	MFFLX	MFS FL MUNI BOND - A	MBMAX	MFS MA MUNI BOND - B	MSTNX	MFS TN MUNI BOND - A	OPBCX	OPP CORE BOND - C	OLTXX	OPP LTD TERM GOVT - C
COSIX*	LIB STRATEGIC INC - A	MBFLX	MFS FL MUNI BOND - B	MMSNX	MFS MANAGED SECTORS - A	MSFRX	MFS TOTAL RETURN - A	OPBNX	OPP CORE BOND - N	OLTNX	OPP LTD TERM GOVT - N
CLSBX*	LIB STRATEGIC INC - B	MMGAX	MFS GA MUNI BOND - A	MSEBX	MFS MANAGED SECTORS - B	MTRBX	MFS TOTAL RETURN - B	ODMAX	OPP DEVELOPING MKTS - A	LTNYX	OPP LTD TERM NY MUNI - A
CLSCX*	LIB STRATEGIC INC - C	MBGAX	MFS GA MUNI BOND - B	MFSMX	MFS MD MUNI BOND - A	MTRCX	MFS TOTAL RETURN - C	ODVBX	OPP DEVELOPING MKTS - B	LTBBX	OPP LTD TERM NY MUNI - B
COLTX*	LIB TAX EXEMPT - A	MWAAAX*	MFS GLOBAL ASSET ALLOC - A	MBMDX	MFS MD MUNI BOND - B	MUEAX	MFS UNION STANDARD EQ - A	ODVCX	OPP DEVELOPING MKTS - C	LTNCX	OPP LTD TERM NY MUNI - C
CTEBX*	LIB TAX EXEMPT - B	MWABX*	MFS GLOBAL ASSET ALLOC - B	OTCAX	MFS MID CAP GROWTH - A	MMUFX	MFS UTILITIES - A	CNMTX	OPP DISCIPLINED ALLOC - A	MSIGX	OPP MAIN STREET - A
COLCX*	LIB TAX EXEMPT - C	MWFBX	MFS GLOBAL EQUITY - A	OTCBX	MFS MID CAP GROWTH - B	MMUBX	MFS UTILITIES - B	CDABX	OPP DISCIPLINED ALLOC - B	OMSBX	OPP MAIN STREET - B
STMAX*	LIB TAX MANAGED GROWTH - A	MWEBX	MFS GLOBAL EQUITY - B	OTCCX	MFS MID CAP GROWTH - C	MMUCX	MFS UTILITIES - C	CDACX	OPP DISCIPLINED ALLOC - C	MIGCX	OPP MAIN STREET - C
CTMBX*	LIB TAX MANAGED GROWTH - B	MWECX	MFS GLOBAL EQUITY - C	MVCAX	MFS MID CAP VALUE - A	MSVAX	MFS VA MUNI BOND - A	OPOCX	OPP DISCOVERY - A	OMSOX	OPP MAIN STREET OPP - A
CTMCX*	LIB TAX MANAGED GROWTH - C	MWGTX*	MFS GLOBAL GOVERNMENTS - A	MCBVX	MFS MID CAP VALUE - B	MEIAX	MFS VALUE - A	ODIBX	OPP DISCOVERY - B	OMOBX	OPP MAIN STREET OPP - B
LTGAX*	LIB TAX MANAGED GRW II - A	MWGBX*	MFS GLOBAL GOVERNMENTS - B	MVCCX	MFS MID CAP VALUE - C	MFBEX	MFS VALUE - B	ODICX	OPP DISCOVERY - C	OMSXX	OPP MAIN STREET OPP - C

NON-PROPRIETARY FUNDS

OPMSX	OPP MAIN STREET SM CAP - A	OWBBX*	OPP WORLD BOND FUND - B	PMCEX*	PUT EMERGING MARKETS - C	PNCMX	PUT INCOME - M	POTBX	PUT OTC EMRG GROWTH - B	SADBXX*	STRONG ADV BOND - B
OPMBX	OPP MAIN STREET SM CAP - B	OWBCX*	OPP WORLD BOND FUND - C	PEYAX	PUT EQUITY INCOME - A	PNVAX	PUT INTL CAP OPSS - A	POECX	PUT OTC EMRG GROWTH - C	SABCX*	STRONG ADV BOND - C
OPMCX	OPP MAIN STREET SM CAP - C	PABAX	PUT AA BALANCED - A	PEQNX	PUT EQUITY INCOME - B	PVNBX	PUT INTL CAP OPSS - B	POEMX	PUT OTC EMRG GROWTH - M	SBDIX*	STRONG ADV BOND - Z
OMDAX	OPP MIDCAP - A	PABBX	PUT AA BALANCED - B	PEQCX	PUT EQUITY INCOME - C	PUVCX	PUT INTL CAP OPSS - C	PTEPX	PUT PA TAX EXEMPT INC - A	SCSAX*	STRONG ADV COMMON STK - A
OMDBX	OPP MIDCAP - B	AABCX	PUT AA BALANCED - C	PEIMX	PUT EQUITY INCOME - M	PIVMX	PUT INTL CAP OPSS - M	PNBXX	PUT PA TAX EXEMPT INC - B	SCSKX*	STRONG ADV COMMON STK - B
OMDCX	OPP MIDCAP - C	PABMX	PUT AA BALANCED - M	PEUGX	PUT EUROPE EQUITY - A	POVSX	PUT INTL EQUITY - A	*PPATX	PUT PA TAX EXEMPT INC - M	STLAX*	STRONG ADV COMMON STK - C
OMDNX	OPP MIDCAP - N	PACAX	PUT AA CONSERVATIVE - A	PEUBX	PUT EUROPE EQUITY - B	POVBX	PUT INTL EQUITY - B	PIAAX*	PUT PREFERRED INCOME - A	STLX*	STRONG ADV COMMON STK - L
ONJAX	OPP NJ MUNICIPAL - A	PACBX	PUT AA CONSERVATIVE - B	*PEUCX	PUT EUROPE EQUITY - C	PIGCX	PUT INTL EQUITY - C	PPP*	PUT PREFERRED INCOME - M	STCSX*	STRONG ADV COMMON STK - Z
ONJBX	OPP NJ MUNICIPAL - B	PACCX	PUT AA CONSERVATIVE - C	PEUMX	PUT EUROPE EQUITY - M	POVMX	PUT INTL EQUITY - M	PNRAX	PUT RESEARCH - A	STALX*	STRONG ADV END LG CAP - A
ONJCX	OPP NJ MUNICIPAL - C	PAEAX	PUT AA GROWTH - A	PTFLX	PUT FL TAX EXEMPT INC - A	POVSX*	PUT INTL GROWTH - A	PRFBX	PUT RESEARCH - B	SBELX*	STRONG ADV END LG CAP - B
OPATX	OPP PA MUNICIPAL - A	PAEBX	PUT AA GROWTH - B	PFLBX	PUT FL TAX EXEMPT INC - B	POVBX*	PUT INTL GROWTH - B	PRACX	PUT RESEARCH - C	SCELX*	STRONG ADV END LG CAP - C
OPABX	OPP PA MUNICIPAL - B	PAECX	PUT AA GROWTH - C	PGRWX	PUT FUND FOR GRW & INC - A	PIGCX*	PUT INTL GROWTH - C	PRFMX	PUT RESEARCH - M	SAFAX*	STRONG ADV FOCUS - A
OPACX	OPP PA MUNICIPAL - C	PAGMX	PUT AA GROWTH - M	PGIBX	PUT FUND FOR GRW & INC - B	POVMX*	PUT INTL GROWTH - M	PNSAX	PUT SMALL CAP GROWTH - A	SBAFX*	STRONG ADV FOCUS - B
OAAPPX	OPP PRIN PRO MAIN ST - A	PAGVX	PUT AMERICAN GOVT INC - A	*PGRIX	PUT FUND FOR GRW & INC - C	PNGAX	PUT INTL GROWTH & INC - A	PNSBX	PUT SMALL CAP GROWTH - B	SACFX*	STRONG ADV FOCUS - C
OBPPX	OPP PRIN PRO MAIN ST - B	PAMBX	PUT AMERICAN GOVT INC - B	PGRMX	PUT FUND FOR GRW & INC - M	PNGBX	PUT INTL GROWTH & INC - B	*PCSFX	PUT SMALL CAP GROWTH - C	SICAX*	STRONG ADV INTL CORE - A
OCPPX	OPP PRIN PRO MAIN ST - C	*PGCVX	PUT AMERICAN GOVT INC - C	PGEOX	PUT GEORGE PUT BOSTON - A	PIGRX	PUT INTL GROWTH & INC - C	PSGMX	PUT SMALL CAP GROWTH - M	SCBIX*	STRONG ADV INTL CORE - B
ONPPX	OPP PRIN PRO MAIN ST - N	PAMMX	PUT AMERICAN GOVT INC - M	PGBEX	PUT GEORGE PUT BOSTON - B	PIGMX	PUT INTL GROWTH & INC - M	PSLAX	PUT SMALL CAP VALUE - A	SCCIX*	STRONG ADV INTL CORE - C
OAPMX	OPP PRIN PRO MAIN ST 2 - A	PPNAX	PUT AMT FREE INSD MUNI - A	*PGPCX	PUT GEORGE PUT BOSTON - C	PINOX	PUT INTL NEW OPSS - A	PSLBX	PUT SMALL CAP VALUE - B	SLGAX*	STRONG ADV L/CO CORE - A
OBPMX	OPP PRIN PRO MAIN ST 2 - B	PTFIX	PUT AMT FREE INSD MUNI - B	PGEMX	PUT GEORGE PUT BOSTON - M	PINWX	PUT INTL NEW OPSS - B	PSLCX	PUT SMALL CAP VALUE - C	SLGBX*	STRONG ADV L/CO CORE - B
OCPMX	OPP PRIN PRO MAIN ST 2 - C	*PTTFX	PUT AMT FREE INSD MUNI - C	PUTIX*	PUT GLBL GROWTH & INC - A	PIOCX	PUT INTL NEW OPSS - C	PSLMX	PUT SMALL CAP VALUE - M	SACCX*	STRONG ADV L/CO CORE - C
QVGIX	OPP QUEST BALANCED - A	PAPAX*	PUT ASIA PAC GROWTH - A	PGGBX*	PUT GLBL GROWTH & INC - B	PINMX	PUT INTL NEW OPSS - M	PDVAX*	PUT STRATEGIC INCOME - A	SAMGX*	STRONG ADV M/CAP GRW - A
QGRBX	OPP QUEST BALANCED - B	PAPBX*	PUT ASIA PAC GROWTH - B	PGGIC*	PUT GLBL GROWTH & INC - C	PBLGX*	PUT INTRM US GOVT INC - A	PDIBX*	PUT STRATEGIC INCOME - B	SBMGX*	STRONG ADV M/CAP GRW - B
QGRCX	OPP QUEST BALANCED - C	PAPCX*	PUT ASIA PAC GROWTH - C	PGGIM*	PUT GLBL GROWTH & INC - M	PBGBX*	PUT INTRM US GOVT INC - B	PSIFM*	PUT STRATEGIC INCOME - M	SCMGX*	STRONG ADV M/CAP GRW - C
QCVAX	OPP QUEST CAP VALUE - A	PAPMX*	PUT ASIA PAC GROWTH - M	PEQX	PUT GLOBAL EQUITY - A	PVICX*	PUT INTRM US GOVT INC - C	PTAEX	PUT TAX EXEMPT INCOME - A	SMGLX*	STRONG ADV M/CAP GRW - L
QCVBX	OPP QUEST CAP VALUE - B	PTAZX	PUT AZ TAX EXEMPT INC - A	PDETX*	PUT GLOBAL EQUITY - A	PBGMX*	PUT INTRM US GOVT INC - M	PTBEX	PUT TAX EXEMPT INCOME - B	SMDCX*	STRONG ADV M/CAP GRW - Z
QVCX	OPP QUEST CAP VALUE - C	PAZBX	PUT AZ TAX EXEMPT INC - B	PDEBX*	PUT GLOBAL EQUITY - B	PINVX	PUT INVESTORS - A	*PTCEX	PUT TAX EXEMPT INCOME - C	SAMAX*	STRONG ADV MUNI BOND - A
QIVAX	OPP QUEST INTL VALUE - A	PMITX*	PUT BAL RETIREMENT - A	PEQBX	PUT GLOBAL EQUITY - B	PNVBX	PUT INVESTORS - B	PTHAX	PUT TAX FREE HIGH YLD - A	SMBBX*	STRONG ADV MUNI BOND - B
QIVBX	OPP QUEST INTL VALUE - B	PMYBX*	PUT BAL RETIREMENT - B	PGECX*	PUT GLOBAL EQUITY - C	PCINX	PUT INVESTORS - C	PTHYX	PUT TAX FREE HIGH YLD - B	SCMXX*	STRONG ADV MUNI BOND - C
QIVCX	OPP QUEST INTL VALUE - C	PMYCX*	PUT BAL RETIREMENT - C	PUCGX	PUT GLOBAL EQUITY - C	PNVMX	PUT INVESTORS - M	*PTFCX	PUT TAX FREE HIGH YLD - C	SMVAX*	STRONG ADV S/CAP VALUE - A
QVOPX	OPP QUEST OPP VALUE - A	PBRMX*	PUT BAL RETIREMENT - M	PDTMX*	PUT GLOBAL EQUITY - M	PXMAX	PUT MA TAX EXEMPT INC - A	PTYMX	PUT TAX FREE HIGH YLD - M	SMVBX*	STRONG ADV S/CAP VALUE - B
QOPBX	OPP QUEST OPP VALUE - B	PBAAX*	PUT BALANCED - A	PEQMX	PUT GLOBAL EQUITY - M	PMABX	PUT MA TAX EXEMPT INC - B	PATSX	PUT TAX SMART EQUITY - A	SMVCX*	STRONG ADV S/CAP VALUE - C
QOPCX	OPP QUEST OPP VALUE - C	PBFBX*	PUT BALANCED - B	PGGIX*	PUT GLOBAL GOVT INCOME - A	*PMATX	PUT MA TAX EXEMPT INC - M	PBTSX	PUT TAX SMART EQUITY - B	SSCLX*	STRONG ADV S/CAP VALUE - L
QVFEX	OPP QUEST VALUE - A	*PBFXX*	PUT BALANCED - C	PGLBX*	PUT GLOBAL GOVT INCOME - B	PKMIX	PUT MI TAX EXEMPT INC - A	PCSMX	PUT TAX SMART EQUITY - C	SSMVX*	STRONG ADV S/CAP VALUE - Z
QFVBX	OPP QUEST VALUE - B	PBFMX*	PUT BALANCED - M	PGLCX*	PUT GLOBAL GOVT INCOME - C	PMEBX	PUT MI TAX EXEMPT INC - B	PGSIX	PUT US GOVT INCOME - A	STAEX*	STRONG ADV SELECT - A
QFVCX	OPP QUEST VALUE - C	PCTEX	PUT CA TAX EXEMPT INC - A	PGGIX	PUT GLOBAL INCOME - A	PMVAX	PUT MID CAP VALUE - A	PGSBX	PUT US GOVT INCOME - B	SETFX*	STRONG ADV SELECT - B
QRAAX	OPP REAL ASSET - A	PCTBX	PUT CA TAX EXEMPT INC - B	PGLBX	PUT GLOBAL INCOME - B	PMVBX	PUT MID CAP VALUE - B	PGVCX	PUT US GOVT INCOME - C	SETCX*	STRONG ADV SELECT - C
QRABX	OPP REAL ASSET - B	PCTCX	PUT CA TAX EXEMPT INC - C	*PGLCX	PUT GLOBAL INCOME - C	PMPCX	PUT MID CAP VALUE - C	PGSMX	PUT US GOVT INCOME - M	STSDX*	STRONG ADV SH DUR BOND - A
QRACX	OPP REAL ASSET - C	PCAPX	PUT CAPITAL APPREC - A	EBERX	PUT GLOBAL NATURAL RES - A	*PMMVX	PUT MID CAP VALUE - M	PUGIX	PUT UTILITIES GR & INC - A	SSDKX*	STRONG ADV SH DUR BOND - B
RMUNX	OPP ROCHESTER MUNI - A	PCABX	PUT CAPITAL APPREC - B	PNRBX	PUT GLOBAL NATURAL RES - B	PXMNX	PUT MN TAX EXEMPT INC - A	PUTBX	PUT UTILITIES GR & INC - B	SSHCX*	STRONG ADV SH DUR BOND - C
RMUBX	OPP ROCHESTER MUNI - B	*PCAFX	PUT CAPITAL APPREC - C	*PGCNX	PUT GLOBAL NATURAL RES - C	PMTBX	PUT MN TAX EXEMPT INC - B	*PUTCX	PUT UTILITIES GR & INC - C	SSDLX*	STRONG ADV SH DUR BOND - L
RMUCX	OPP ROCHESTER MUNI - C	PCAMX	PUT CAPITAL APPREC - M	PGLMX	PUT GLOBAL NATURAL RES - M	PTFH*	PUT MUNICIPAL INCOME - A	PUTMX	PUT UTILITIES GR & INC - M	STGBX*	STRONG ADV SH DUR BOND - Z
ORNAX	OPP ROCHESTR NATL MUNI - A	PCOAX	PUT CAPITAL OPSS - A	POGAX	PUT GROWTH OPSS - A	PFHAX*	PUT MUNICIPAL INCOME - B	PVISX	PUT VISTA - A	SASAX*	STRONG ADV STRAT INC - A
ORNBX	OPP ROCHESTR NATL MUNI - B	POPBX	PUT CAPITAL OPSS - B	POGBX	PUT GROWTH OPSS - B	PFHCX*	PUT MUNICIPAL INCOME - C	PVTBX	PUT VISTA - B	SASIX*	STRONG ADV STRAT INC - B
ORNCX	OPP ROCHESTR NATL MUNI - C	PCOCX	PUT CAPITAL OPSS - C	POGCX	PUT GROWTH OPSS - C	PNOPX	PUT NEW OPPORTUNITIES - A	PCVEX	PUT VISTA - C	SASCX*	STRONG ADV STRAT INC - C
OGMGX*	OPP SEL GARTMORE MILL - A	POPMX	PUT CAPITAL OPSS - M	PGOMX	PUT GROWTH OPSS - M	PNOBX	PUT NEW OPPORTUNITIES - B	PVIMX	PUT VISTA - M	SATAX*	STRONG ADV TECHNOLOGY - A
OSBRX*	OPP SEL SALOMON ALLCAP - A	PXGIX	PUT CLASSIC EQUITY - A	PHSTX	PUT HEALTH SCIENCES - A	PNOCX	PUT NEW OPPORTUNITIES - C	PVOYX	PUT VOYAGER - A	SBGTX*	STRONG ADV TECHNOLOGY - B
OSBBX*	OPP SEL SALOMON ALLCAP - B	PGIIX*	PUT CLASSIC EQUITY - B	PHSBX	PUT HEALTH SCIENCES - B	PNOMX	PUT NEW OPPORTUNITIES - M	PVOBX	PUT VOYAGER - B	SATCX*	STRONG ADV TECHNOLOGY - C
QVSCX	OPP SMALL CAP VALUE - A	*PGTCX*	PUT CLASSIC EQUITY - C	PHCSX	PUT HEALTH SCIENCES - C	PANVX	PUT NEW VALUE - A	PVFCX	PUT VOYAGER - C	SUMAX*	STRONG ADV US SM/MID - A
QSCBX	OPP SMALL CAP VALUE - B	PGIMX*	PUT CLASSIC EQUITY - M	PHLMX	PUT HEALTH SCIENCES - M	PBNVX	PUT NEW VALUE - B	PVOMX	PUT VOYAGER - M	SUMBX*	STRONG ADV US SM/MID - B
QSCCX	OPP SMALL CAP VALUE - C	PCONX	PUT CONVERT INC & GRW - A	PUHAX*	PUT HIGH YIELD TR II - A	PNVCX	PUT NEW VALUE - C	PVIX*	PUT VOYAGER II - A	SUMGX*	STRONG ADV US SM/MID - C
OPSI	OPP STRATEGIC INCOME - A	PCNBX	PUT CONVERT INC & GRW - B	PUHBX*	PUT HIGH YIELD TR II - B	PMNVX	PUT NEW VALUE - M	PVYBX*	PUT VOYAGER II - B	SGAVX*	STRONG ADV US VALUE - A
OPSGX	OPP STRATEGIC INCOME - B	*PCNCX	PUT CONVERT INC & GRW - C	PCHYX*	PUT HIGH YIELD TR II - C	PTNJX	PUT NY TAX EXEMPT INC - A	PVYCX*	PUT VOYAGER II - C	SGBVX*	STRONG ADV US VALUE - B
OSICX	OPP STRATEGIC INCOME - C	PCNMX	PUT CONVERT INC & GRW - M	PHTMX*	PUT HIGH YIELD TR II - M	PNJBX	PUT NY TAX EXEMPT INC - B	PVYMX*	PUT VOYAGER II - M	SGCVX*	STRONG ADV US VALUE - C
OLCAX*	OPP TRINITY L/CAP GRW - A	PVHIX	PUT DISCOVERY GROWTH - A	PHIGX	PUT HIGH YIELD TRUST - A	PTPIX	PUT NY TAX EXEMPT INC - A	PNCAX*	PUTNAM NEW CENTURY GRW - A	SEULX*	STRONG ADV US VALUE - L
OLCBX*	OPP TRINITY L/CAP GRW - B	PVYBX	PUT DISCOVERY GROWTH - B	PHBBX	PUT HIGH YIELD TRUST - B	PEIBX	PUT NY TAX EXEMPT INC - B	*POEBX*	PUTNAM NEW CENTURY GRW - B	SQIX*	STRONG ADV US VALUE - Z
OLCCX*	OPP TRINITY L/CAP GRW - C	PVYCX	PUT DISCOVERY GROWTH - C	PCHYX	PUT HIGH YIELD TRUST - C	*PCEIX	PUT NY TAX EXEMPT INC - C	PNGCX*	PUTNAM NEW CENTURY GRW - C	SUEAX*	STRONG ADV UTIL&ENERGY - A
OUSGX	OPP US GOVT TRUST - A	PVYMX	PUT DISCOVERY GROWTH - M	PHIMX	PUT HIGH YIELD TRUST - M	*PNYMX	PUT NY TAX EXEMPT INC - M	PNGMX*	PUTNAM NEW CENTURY GRW - M	SUEBX*	STRONG ADV UTIL&ENERGY - B
UTGBX	OPP US GOVT TRUST - B	PDINX	PUT DIVERSIFIED INCOME - A	PHYIX	PUT HIGH YLD ADVANTAGE - A	PTNHX*	PUT NY TAX EXEMPT OPP - A	PSICX*	PUTNAM STRATEGIC INC - C	SUECX*	STRONG ADV UTIL&ENERGY - C
OUSCX	OPP US GOVT TRUST - C	PSIBX	PUT DIVERSIFIED INCOME - B	PHYBX	PUT HIGH YLD ADVANTAGE - B	PTNBX*	PUT NY TAX EXEMPT OPP - B	PTOAX*	PUTNAM TECHNOLOGY - A	SBDLX*	STRONG ADVISOR BOND - L
CGRWX	OPP VALUE - A	PDVCX	PUT DIVERSIFIED INCOME - C	PHYMX	PUT HIGH YLD ADVANTAGE - M	PTNCX*	PUT NY TAX EXEMPT OPP - C	PTGBX*	PUTNAM TECHNOLOGY - B	SAFLX*	STRONG ADVISOR FOCUS - L
CGRBX	OPP VALUE - B	PDVMX	PUT DIVERSIFIED INCOME - M	PINCX	PUT INCOME - A	PXOXX	PUT OH TAX EXEMPT INC - A	PTFCX*	PUTNAM TECHNOLOGY - C	SASPX*	STRONG ASIA PACIFIC
CGRCX	OPP VALUE - C	PMEAX*	PUT EMERGING MARKETS - A	PNCBX	PUT INCOME - B	POXBX	PUT OH TAX EXEMPT INC - B	SAHLX*	STRONG ADV AGGR HI YLD - L	STAAX*	STRONG BALANCED
OWBAX*	OPP WORLD BOND FUND - A	PMBEX*	PUT EMERGING MARKETS - B	PUICX	PUT INCOME - C	POEGX	PUT OTC EMRG GROWTH - A	SVBDX*	STRONG ADV BOND - A	SBSFX*	STRONG BALANCED ASSET

NON-PROPRIETARY FUNDS

SBCHX*	STRONG BLUE CHIP	TDADX	TEM DEVELOPING MKTS ADV	VEGBX	VK EQUITY GROWTH - B	VASCX	VK SMALL CAP GROWTH - A
SBCCZ*	STRONG BLUE CHIP ADV	TEMFY	TEM FOREIGN - A	VEGCX	VK EQUITY GROWTH - C	VBSCX	VK SMALL CAP GROWTH - B
SCBDX*	STRONG CORPORATE BOND ADV	TFRBX	TEM FOREIGN - B	VEEBX*	VK EURO VALUE EQUITY - B	VCSCX	VK SMALL CAP GROWTH - C
STDIX*	STRONG DISCOVERY	TEFTX	TEM FOREIGN - C	MSQAX*	VK FOCUS EQUITY - A	VSCAX	VK SMALL CAP VALUE - A
SDVIX*	STRONG DIVIDEND INCOME	TEFRX	TEM FOREIGN - R	MSABX*	VK FOCUS EQUITY - B	VSMBX	VK SMALL CAP VALUE - B
SDOWX*	STRONG DOW 30 VALUE	FINEY	TEM FOREIGN SMALL CO - A	MAECX*	VK FOCUS EQUITY - C	VSMCX	VK SMALL CAP VALUE - C
SENGX*	STRONG ENERGY	*TFPSX	TEM FOREIGN SMALL CO - B	MSGAX	VK GLOBAL EQUITY ALL - A	VKMHX	VK STRAT MUNI INCOME - A
SENTX*	STRONG ENTERPRISE	FCFSX	TEM FOREIGN SMALL CO - C	MSGBX	VK GLOBAL EQUITY ALL - B	VKTFX	VK STRAT MUNI INCOME - B
SEMAX*	STRONG ENTERPRISE ADV	TLSEX	TEM GLBL LONG-SHORT - A	MSGCX	VK GLOBAL EQUITY ALL - C	VMHCX	VK STRAT MUNI INCOME - C
SFMMX*	STRONG FOREIGN MAJOR MKTS	TLSSBX	TEM GLBL LONG-SHORT - B	VGFAX	VK GLOBAL FRANCHISE - A	VTMAX*	VK TAX MANAGED EQ GRW - A
SCGVX*	STRONG GOVT SECS - C	TEGOX	TEM GLBL OPPORTUNITIES - A	VGFBX	VK GLOBAL FRANCHISE - B	VTMCX*	VK TAX MANAGED EQ GRW - C
SGVDX*	STRONG GOVT SECS ADV	*TGGOBX	TEM GLBL OPPORTUNITIES - B	VGFXX	VK GLOBAL FRANCHISE - C	VTMAX*	VK TAX MANAGED EQ GRW - C
STVSX*	STRONG GOVT SECS INV	TEGPX	TEM GLBL OPPORTUNITIES - C	MGEAX	VK GLOBAL VALUE EQ - A	VTFBX	VK TECHNOLOGY - B
SCGWX*	STRONG GROWTH - C	TPINX	TEM GLOBAL BOND - A	MGBEX	VK GLOBAL VALUE EQ - B	VTFCX	VK TECHNOLOGY - C
SGRIX*	STRONG GROWTH & INC	TEGBX	TEM GLOBAL BOND - C	MGECX	VK GLOBAL VALUE EQ - C	VKMGX	VK US MORTGAGE - A
SGNAX*	STRONG GROWTH & INC ADV	TEMGX	TEM GLOBAL SMALLER CO - A	ACGVX	VK GOVT SECURITIES - A	VUSBX	VK US MORTGAGE - B
SGRTX*	STRONG GROWTH 20	*TGSBX	TEM GLOBAL SMALLER CO - B	ACGTG	VK GOVT SECURITIES - B	VUSCX	VK US MORTGAGE - C
SGTWX*	STRONG GROWTH 20 ADV	TESGX	TEM GLOBAL SMALLER CO - C	ACGSX	VK GOVT SECURITIES - C	VKUAX	VK UTILITY - A
SGRAX*	STRONG GROWTH ADV	TEPLX	TEM GROWTH - A	ACGIX	VK GROWTH & INCOME - A	VKUBX	VK UTILITY - B
SGROX*	STRONG GROWTH INV	TMGBX	TEM GROWTH - B	ACGJX	VK GROWTH & INCOME - B	VKUCX	VK UTILITY - C
SHMCZ*	STRONG HI YLD MUNI BD ADV	TEGTX	TEM GROWTH - C	ACGKX	VK GROWTH & INCOME - C	MVUAX*	VK VALUE - A
STHYX*	STRONG HIGH YIELD BD	TEGEX	TEM INTERNATIONAL - A	ACHBX	VK HARBOR - A	MVUBX*	VK VALUE - B
SHBAX*	STRONG HIGH YIELD BD ADV	TGEFX	TEM INTERNATIONAL - C	ACHAX	VK HARBOR - B	MVUCX*	VK VALUE - C
SHYLY*	STRONG HIGH YIELD MUNI BD	TELAX*	TEM LATIN AMERICA - A	ACHCX	VK HARBOR - C	VVOAX	VK VALUE OPPORTUNITIES - A
SIBUX*	STRONG INTERNATIONAL BOND	TLAIX*	TEM LATIN AMERICA - C	VKHYX*	VK HIGH YIELD - A	VVOBX	VK VALUE OPPORTUNITIES - B
SNETX*	STRONG INTERNET FUND	FKPGX*	TEM PACIFIC GROWTH - A	ACHYX	VK HIGH YIELD - A	VVOCX	VK VALUE OPPORTUNITIES - C
STISX*	STRONG INTL STOCK	FPGCX*	TEM PACIFIC GROWTH - C	ACHZX	VK HIGH YIELD - B	CUIG**	WARBURG INTERM MATURE GOVT
SLCRX*	STRONG LARGE CAP CORE	TEMWX	TEM WORLD - A	VHYBX*	VK HIGH YIELD - B		
STREX*	STRONG LARGE CAP GROWTH	TWDBX	TEM WORLD - B	ACHWX	VK HIGH YIELD - C	CNMBX*	WARBURG NY INTERM MUNI COM
SMCDX*	STRONG MIDCAP DISCIPLINED	TEWTX	TEM WORLD - C	VHYCX*	VK HIGH YIELD - C		
SCMNX*	STRONG MN TAX FREE - C	VAGAX	VK AGGRESSIVE GROWTH - A	ACTHX	VK HIGH YIELD MUNI - A	CUCAX*	WARBURG PINCUS CAP APP
SMTVX*	STRONG MULTI CAP VALUE	VAGBX	VK AGGRESSIVE GROWTH - B	ACTGX	VK HIGH YIELD MUNI - B	CUEGX*	WARBURG PINCUS EMER GRW
SMDCZ*	STRONG MUNI BOND ADV	VAGCX	VK AGGRESSIVE GROWTH - C	ACTFX	VK HIGH YIELD MUNI - C	WPBMX*	WARBURG PINCUS EMER MKTS
SXFIX*	STRONG MUNICIPAL BOND	MSAVX	VK AMERICAN VALUE - A	VKMTX	VK INSD TAX FREE INC - A	WPEC**	WARBURG PINCUS EUROPEAN EQUITY
SOPFX*	STRONG OPPORTUNITY	MGAVX	VK AMERICAN VALUE - B	MIMAX*	VK INTL MAGNUM - A		
SOPVX*	STRONG OPPORTUNITY ADV	MSVCX	VK AMERICAN VALUE - C	MSNBX*	VK INTL MAGNUM - B	CUFIX*	WARBURG PINCUS FIX INC
SOVRX*	STRONG OVERSEAS	MSAAX*	VK ASIAN EQUITY - A	VKLMX	VK INTMD TERM MUNI INC - A	WPMS**	WARBURG PINCUS GL HLTH SCI
SSTHX*	STRONG S/TERM HI YLD ADV	MSBAX*	VK ASIAN EQUITY - B	MSLAX*	VK LATIN AMERICAN - A	CGFIX*	WARBURG PINCUS GLB FIX INC
STHBX*	STRONG S/TERM HI YLD BOND	MSCAX*	VK ASIAN EQUITY - C	MSLBX*	VK LATIN AMERICAN - B	WVCCX*	WARBURG PINCUS GLB PST VEN
SSHMX*	STRONG S/TERM HI YLD MUNI	VKCIX	VK CA INSD TAX FREE - A	ACFMX	VK LIMITED DURATION - A	WPGT**	WARBURG PINCUS GLB TELECOM
SCTBX*	STRONG S/TERM MUNI BD - C	VCIBX	VK CA INSD TAX FREE - B	ACFTX	VK LIMITED DURATION - B	CUIE**	WARBURG PINCUS INTL EQUITY
SMTCZ*	STRONG S/TERM MUNI BD ADV	ACSTX	VK COMSTOCK - A	ACFWX	VK LIMITED DURATION - C	WINXX*	WARBURG PINCUS INTL SM CO
STSMX*	STRONG S/TERM MUNI BD INV	ACSXW	VK COMSTOCK - B	VMGAX*	VK MID CAP GROWTH - A	WPJGX*	WARBURG PINCUS JAPAN GRW
SM****	STRONG SCHAFFER VALUE	ACSXW	VK COMSTOCK - C	VGRAX	VK MID CAP GROWTH - A	WPJP**	WARBURG PINCUS JAPAN SM CO
SSTVX*	STRONG SHORT TERM BD ADV	ACCBX	VK CORPORATE BOND - A	VMGBX*	VK MID CAP GROWTH - B	WPMF**	WARBURG PINCUS MAJOR FOR
SSTBX*	STRONG SHORT TERM BD INV	ACCDX	VK CORPORATE BOND - B	VGRBX	VK MID CAP GROWTH - B	WMCM**	WARBURG PINCUS MUNI BOND
SCOVX*	STRONG SMALL CO VALUE INV	ACEEX	VK CORPORATE BOND - C	VGRCX	VK MID CAP GROWTH - C	WSCGX*	WARBURG PINCUS SM CO GRW
STEXX*	STRONG TECHNOLOGY 100 INV	ACEGX	VK EMERGING GROWTH - A	VMGCX*	VK MID CAP GROWTH - C	RBEG**	WARBURG PINCUS VALUE
SMAVX*	STRONG ULT S/T MUN INC ADV	ACEMX	VK EMERGING GROWTH - B	VKMMX	VK MUNI INCOME - A	WPSV**	WARBURG SMALL CO VALUE II
SMUAX*	STRONG ULT S/T MUN INC INV	ACEFX	VK EMERGING GROWTH - C	VNYAX	VK NY TAX FREE INCOME - A	PCGWX*	WELLS EQUITY VALUE - A
SADAX*	STRONG ULT S/TERM INC ADV	MSRAX	VK EMERGING MARKETS - A	VBNYX	VK NY TAX FREE INCOME - B	SEVBX*	WELLS EQUITY VALUE - B
STADX*	STRONG ULT S/TERM INC INV	MSRBX	VK EMERGING MARKETS - B	VNYCX	VK NY TAX FREE INCOME - C	SFGRX*	WELLS GROWTH - A
SEMRX*	STRONG US EMGR GROWTH INV	MSRCX	VK EMERGING MARKETS - C	VKMPX	VK PA TAX FREE INCOME - A	SBGWX*	WELLS GROWTH - B
STVAX*	STRONG VALUE INV	MSWAX	VK EMERGING MKTS INC - A	ACPAX	VK PACE - A	NWINX*	WELLS INCOME - A
SWICX*	STRONG WI TAX FREE - C	MSWBX	VK EMERGING MKTS INC - B	ACPBX	VK PACE - B	NWBX*	WELLS INCOME - B
SWFRX*	STRONG WI TAX FREE INV	MSWCX	VK EMERGING MKTS INC - C	ACREX	VK REAL ESTATE SECS - A	NINTX*	WELLS INCOME - I
TCWAX	TEM CHINA WORLD - A	ACENX	VK ENTERPRISE - A	ACRBX	VK REAL ESTATE SECS - B	SFSIX*	WELLS LTD TERM GOV INC - A
TCWBX	TEM CHINA WORLD - B	ACEOX	VK ENTERPRISE - B	ACRCX	VK REAL ESTATE SECS - C	WFLBX*	WELLS LTD TERM GOV INC - B
TCWCX	TEM CHINA WORLD - C	ACEPX	VK ENTERPRISE - C	VSGAX	VK SELECT GROWTH - A	SOCAX*	WELLS SMALL CAP GROWTH - A
TACWX	TEM CHINA WORLD ADV	ACEIX	VK EQUITY & INCOME - A	VBSGX	VK SELECT GROWTH - B	SOCBX*	WELLS SMALL CAP GROWTH - B
TEDMX	TEM DEVELOPING MKTS - A	ACEQX	VK EQUITY & INCOME - B	VSGCX	VK SELECT GROWTH - C	WFCX*	WELLS SMALL CAP GROWTH - C
TDMBX	TEM DEVELOPING MKTS - B	ACERX	VK EQUITY & INCOME - C	XVFRX*	VK SENIOR FLOATING RATE		
TDMTX	TEM DEVELOPING MKTS - C	VEGAX	VK EQUITY GROWTH - A	XPRTX	VK SENIOR LOAN - IB		

REMEDIAL MEASURES TO BE PART OF IN RE AMERIPRISE SETTLEMENT

1. Ameriprise shall provide a Mutual Fund Selection training course to all of its financial advisors. The training course shall be substantially similar to that approved by the New Hampshire Bureau of Securities Regulation in its settlement with Ameriprise with regard to New Hampshire financial advisors. The training course shall explicitly emphasize and reinforce that client suitability and individual investment objectives must be a priority when selecting mutual funds to recommend or sell to clients. The training course shall be mandatory for all financial advisors. Although the parties recognize that those financial advisors who have independent contractor status generally are not subject to mandatory training (and that Ameriprise has only limited authority to enforce and verify such training with respect to independent contractors), Ameriprise shall take reasonable steps to ensure that all financial advisors (including those with independent contractor status) take the training course within 6 months of Final Approval of the Settlement Agreement.

2. Within 90 days of Final Approval of the Settlement Agreement, Ameriprise shall send a bulletin or other communication to all of its financial advisors explicitly reminding them that individual suitability factors are the primary determinant when recommending mutual funds or other financial products to Ameriprise customers or potential customers.

3. Within 90 days of Final Approval of the Settlement Agreement, Ameriprise shall send a bulletin or other communication to all of its financial advisors reinforcing that: 1) only the corporate office may hold product sales contests in which attaining pre-set sales goals for particular products are a basis for receiving cash or non-cash compensation; and 2) if mutual fund sales are an element of any sales contest, the sales measured will include all mutual funds, both proprietary and non-proprietary funds, weighed equally.

4. Within 90 days of Final Approval of the Settlement Agreement, Ameriprise shall send a bulletin or other communication to all of its field managers reminding them that recommendations of mutual funds to clients or potential clients need to be accompanied by written disclosures (via a fund prospectus, mutual fund fact sheets, Form ADV Part II, the Investor's Guide to Mutual Funds or other appropriate authorized written materials) explaining financial advisor compensation and the fees and costs associated with and applicable to any mutual funds being recommended.

5. Ameriprise shall prominently display on its website a link to "An Investor's Guide to Purchasing Mutual Funds" which includes disclosures on mutual fund sales charges, operating expenses and other costs as well as information on the fund companies that make payments to Ameriprise and the way Ameriprise financial advisors are compensated for mutual fund sales. Information on how to access the Investor's Guide shall be set forth in the first text section of the Ameriprise Form ADV (also referred to as the Ameriprise Financial Advisory Service Brochure). The Guide may be updated and amended as needed to meet with current regulatory and legal requirements. As part of the Class Notice mailing or in another mailing sent to each putative class member, Ameriprise shall also mail a copy of the Investor's Guide to Purchasing Mutual Funds or direct the recipients' attention to the Investor's Guide to Purchasing Mutual Funds on Ameriprise's website.

6. The Ameriprise Compliance Policy Manual (or its equivalent), which contains sections on "Making Suitable Recommendations", "Providing Full and Fair Disclosures", "Guidelines for Product Sales," and other important legal and regulatory information shall be moved to the main page of the Ameriprise advisor website (currently called AdvisorLink Jazz) via a link or tab that is clearly and prominently displayed. The Compliance Resource Guide may be updated and amended as needed to reflect current regulatory and legal requirements.

7. To the extent that Defendants agree to any further remedial procedures with any other state or federal authority prior to the date of final approval of the Settlement, any such procedures shall be incorporated herein, where reasonably feasible.

PROPOSED PLAN OF ALLOCATION

A. All defined terms contained and not otherwise defined herein shall have the same meanings as set forth in the Stipulation of Settlement dated January 18, 2007 (“Stipulation”).

B. The Net Settlement Fund will be allocated among Class Members in accordance with this Plan of Allocation, subject to the approval of the Court.

I. DEFINITIONS

As used herein, the following terms have the meanings set forth below:

1.1. “Authorized Claimant” means a Class Member who submits a valid Proof of Claim in accordance with paragraph 35 of the Stipulation, whether by (a) timely submitting a Proof of Claim that is not deemed deficient by the Claims Administrator; (b) timely submitting a Proof of Claim that is deemed deficient by the Claims Administrator and thereafter curing any deficiency within the time prescribed by the Claims Administrator; or (c) timely submitting a Proof of Claim that becomes the subject of a notice of rejection by the Claims Administrator and thereafter successfully contesting the rejection.

1.2. “AXP Claim” means a valid claim based on the purchase of an AXP Fund, during the Class Period, that was made through AEFA or for which AEFA was listed as the broker.

1.3. “Financial Advisory Claim” means a valid claim based on the payment of fees, during the Class Period, for Financial Advisory Services pursuant to a Financial Advisory Service Agreement.

1.4. “Preferred Fund Claim” means a valid claim based on the purchase of a Preferred Fund, during the Class Period, that was made through AEFA or for which AEFA was listed as the broker.

1.5. “SPS/WMS/SMA Claim” means a valid claim based on the payment of a wrap account fee, during the Class Period, in connection with an SPS, WMS and/or SMA account for services that included financial advice, financial planning, or other financial advisory services rendered in connection with the account.

II. ASSIGNMENT OF CREDITS TO CLAIMS

Allocation of the Net Settlement Fund among Authorized Claimants will be based on the numerical credits they receive for their claims. Credits will be assigned as follows.

2.1. An AXP Claim will receive a credit of 20, regardless of the dollar amount and number of AXP Fund purchases made during the Class Period by the Authorized Claimant submitting the claim. Only one AXP Claim may be made for all such purchases.

2.2. A Preferred Fund Claim will receive a credit of 50, regardless of the dollar amount and number of Preferred Fund purchases made during the Class Period by the Authorized Claimant submitting the claim. Only one Preferred Fund Claim may be made for all such purchases.

2.3. (a) A Financial Advisory Claim will receive a credit equal to the total dollar amount of the fee payments on which the claim is based, except that such fee payments made after March 31, 2004 will be credited at 50 cents on the dollar, in consideration of the additional disclosures available after that date. If, during the Class Period, the Authorized Claimant asserting the Financial Advisory Claim purchased any American Express proprietary product such as an AXP Fund, AXP annuity, or AXP life insurance policy, then the amount credited will be increased by 25 percent.

(b) The reduction for post-March 31, 2004 payments will be applied before the increase for purchases of American Express proprietary products. For example, if an Authorized Claimant submits a Financial Advisory Claim based on the payment of \$500 for a financial plan in June 2003, the claim would receive a credit of 500. If the Authorized Claimant also purchased an American Express proprietary product during the Class Period, the credit for the claim would be increased to 625 (i.e., increased by the amount of 25 percent of 500, or 125). If the claim is based on the payment of \$500 in June 2004, the claim would receive a credit of 250 (500 credited at 50 cents on the dollar). If the Authorized Claimant also purchased an American Express proprietary product during the Class Period, the credit for the claim would be increased to 312.5 (i.e., increased by the amount of 25 percent of 250, or 62.5).

2.4. (a) An SPS/WMS/SMA Claim will receive a credit equal to 25 percent of the total dollar amount of the fee payments on which the claim is based, as other services in addition to financial advice were provided with the SPS, WMS and/or SMA accounts, such as securities brokerage and account management, except that fee payments made after March 31, 2004 will be credited at 12.5 cents on the dollar, in consideration of the additional disclosures available after that date. If, during the Class Period, the Authorized Claimant asserting the

SPS/WMS/SMA Claim purchased any American Express proprietary product such as an AXP Fund, AXP annuity, or AXP life insurance policy, then the amount credited will be increased by 25 percent.

(b) The reduction for post-March 31, 2004 payments will be applied before the increase for purchases of American Express proprietary products. For example, if an Authorized Claimant submits an SPS/WMA/SMA Claim based on the payment of a \$1,000 wrap fee for an SPS account in June 2003 and the payment of a \$1,000 wrap fee for the account in June 2004, the claim would receive a credit of 250 for the June 2003 fee (25 percent of 1000) and 125 for the June 2004 fee (12.5 percent of 1000), for a total credit of 375. If the Authorized Claimant also purchased an American Express proprietary product during the Class Period, the credit for the claim would be increased to 468.75 (i.e., increased by the amount of 25 percent of 375, or 93.75).

III. ALLOCATION AND DISTRIBUTION OF NET SETTLEMENT FUND

3.1. **Priority for AXP Claims and Preferred Fund Claims.** Each AXP Claim and each Preferred Fund Claim will be allocated a payment from the Net Settlement Fund in the dollar amount of the credit assigned to the claim. Allocation of payments to AXP Claims will be made first, before allocation of payments to any other claims. Allocation of payments to Preferred Fund Claims will be made second, before allocation of payments to Financial Advisory Claims and SPS/WMA/SMA Claims.

3.2. Maximum total amount payable for AXP Claims and Preferred Fund Claims.

(a) The total dollar amount of payments allocated to AXP Claims will be the “AXP Allocation.” The total dollar amount of payments allocated to Preferred Fund Claims will be the “Preferred Fund Allocation.” The sum of the AXP Allocation and Preferred Fund Allocation will be the “Fund Claim Allocation.”

(b) Subject to subparagraph (c) below, if the Fund Claim Allocation would exceed 25 percent of the Net Settlement Fund if payments were allocated to all AXP Claims and Preferred Fund Claims in accordance with paragraph 3.1, then (i) the Preferred Fund Allocation will be reduced by the amount necessary to cause the Fund Claim Allocation to equal 25 percent of the Net Settlement Fund (“Necessary Reduction”); and (ii) the payment allocated to each Preferred Fund Claim will be reduced by an amount equal to the Necessary Reduction divided by the number of all valid Preferred Fund Claims.

(c) If, however, the reduction of the Preferred Fund Allocation provided for in subparagraph (b) above causes the payment allocated to each Preferred Fund Claim to be less than \$30, then the Preferred Fund Allocation will be set at the lesser of (i) the amount such that the Preferred Fund Allocation divided by the number of valid Preferred Fund Claims equals \$30; or (ii) the amount such that the sum of the Preferred Fund Allocation and AXP Allocation equals 30 percent of the Net Settlement Fund. In either case, the payment allocated to each Preferred Fund Claim will be the Preferred Fund Allocation divided by the number of valid Preferred Fund Claims.

3.3. **Allocation of payments to Financial Advisory Claims and SPS/WMS/SMA Claims.** The balance of the Net Settlement Fund remaining after deduction of the Fund Claim Allocation (“Remaining Balance”) will be allocated among all Financial Advisory Claims and SPS/WMS/SMA Claims as follows. The total of all credits assigned to all Financial Advisory Claims and SPS/WMS/SMA Claims will constitute the “Total Credit Value.” Each such claim will be allocated a payment from the Remaining Balance bearing the same proportion to the Remaining Balance that the claim credit bears to the Total Credit Value. The calculation of the payment is expressed mathematically thus:

$$\text{Claim payment} = \frac{(\text{Claim credit})}{(\text{Total Credit Value})} \times \text{Remaining Balance}$$

3.4. **Allocation and distribution of payments to Authorized Claimants.** Each Authorized Claimant will be allocated a payment from the Net Settlement Fund equal to the payment allocated to his or her valid claim in accordance with the foregoing provisions. If an Authorized Claimant has more than one valid claim, he or she will be allocated a payment equal to the total of the payments allocated to all of his or her valid claims. Subject to the approval of Plaintiffs’ Co-Lead Counsel and the Court, the Claims Administrator will send each Authorized Claimant, by first-class mail at the address reported by the Authorized Claimant to the Claims Administrator on the Proof of Claim or otherwise, a check drawn on the Settlement Account, payable to the Authorized Claimant in the amount of the payment allocated to the Authorized Claimant, and with an expiration date of 120 days after issuance.